

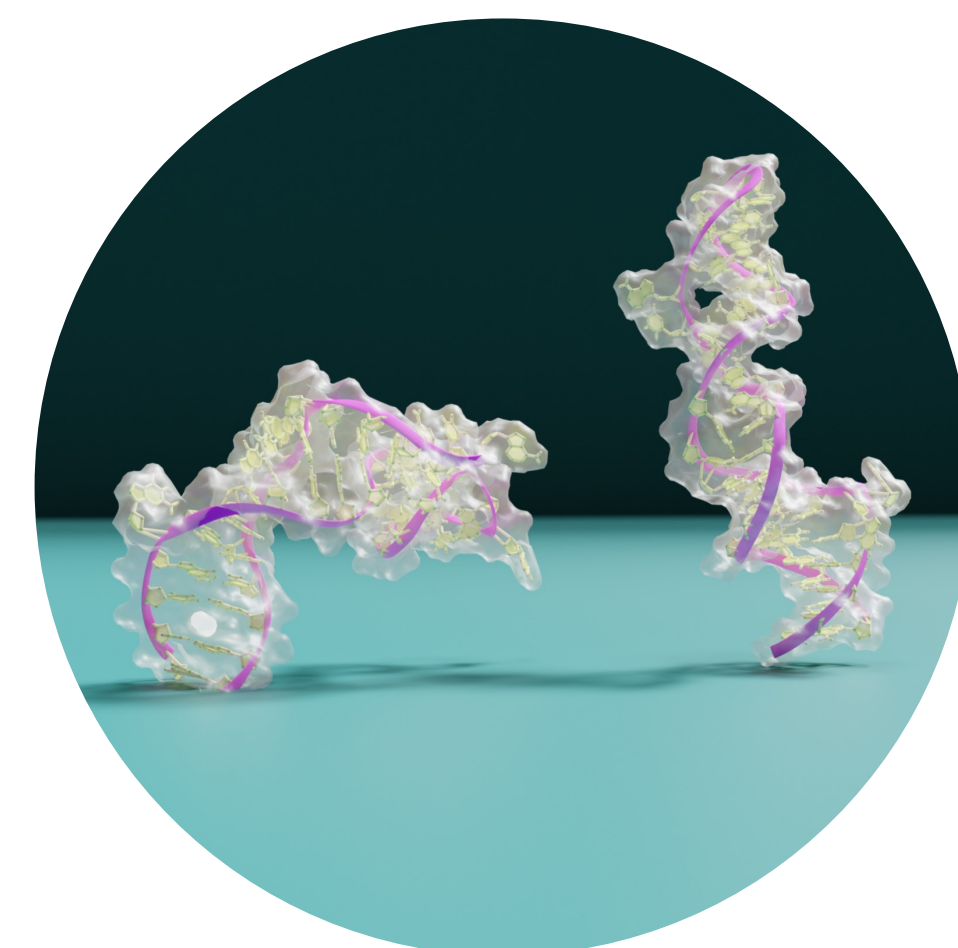
Applications Lesson 14

MSE 304

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December 20th 2024



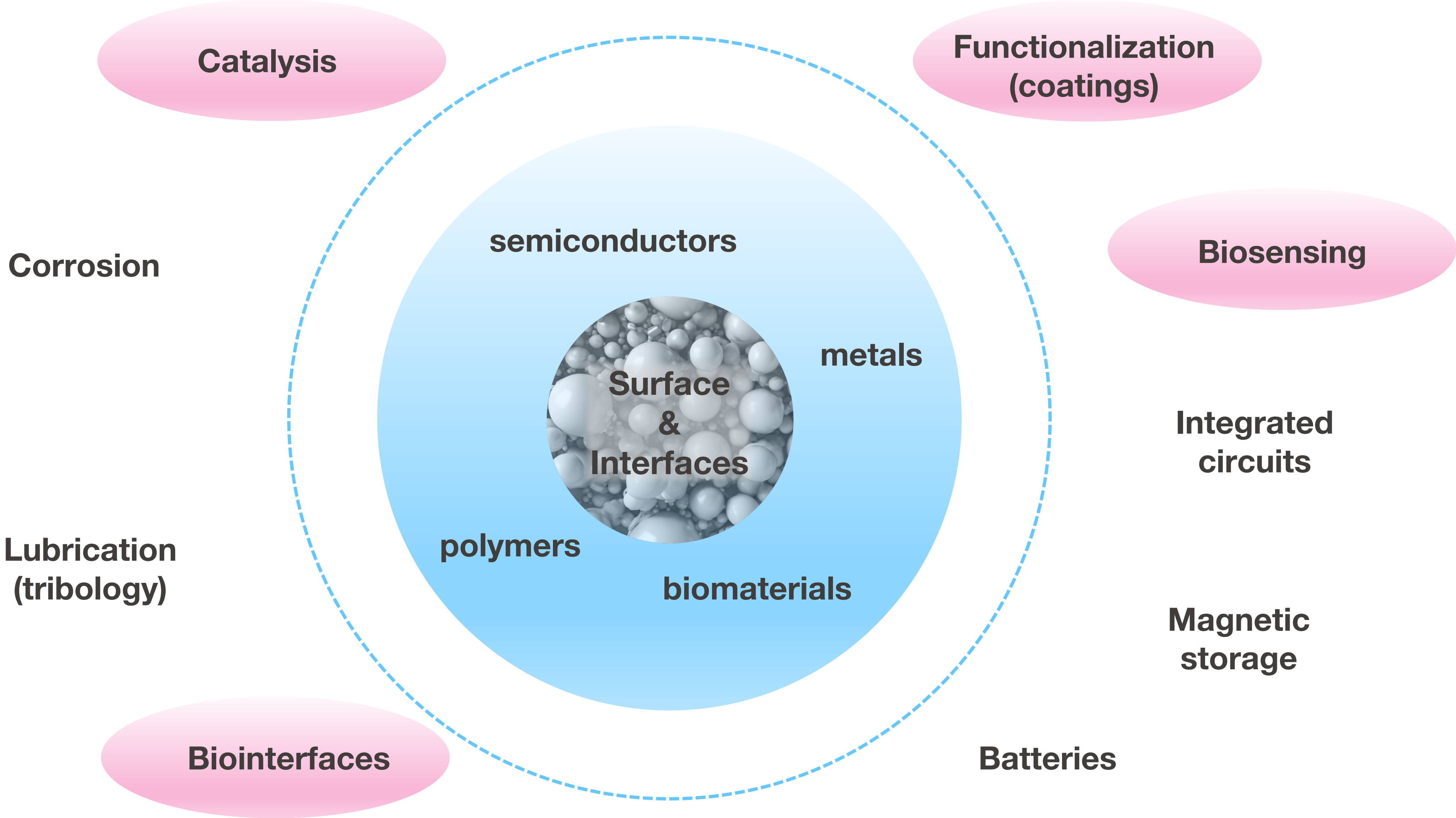
Goals We Set at the Start of the Section on Applications

- Be mesmerized by the diverse applications where surfaces & interfaces play a key role
- Observe how the fundamentals you learned in prior classes can be applied
- Recap on some basic chemistry concepts to understand surfaces & interfaces
- Learn techniques that enable characterization of surfaces & interfaces

What we recap in today's class:

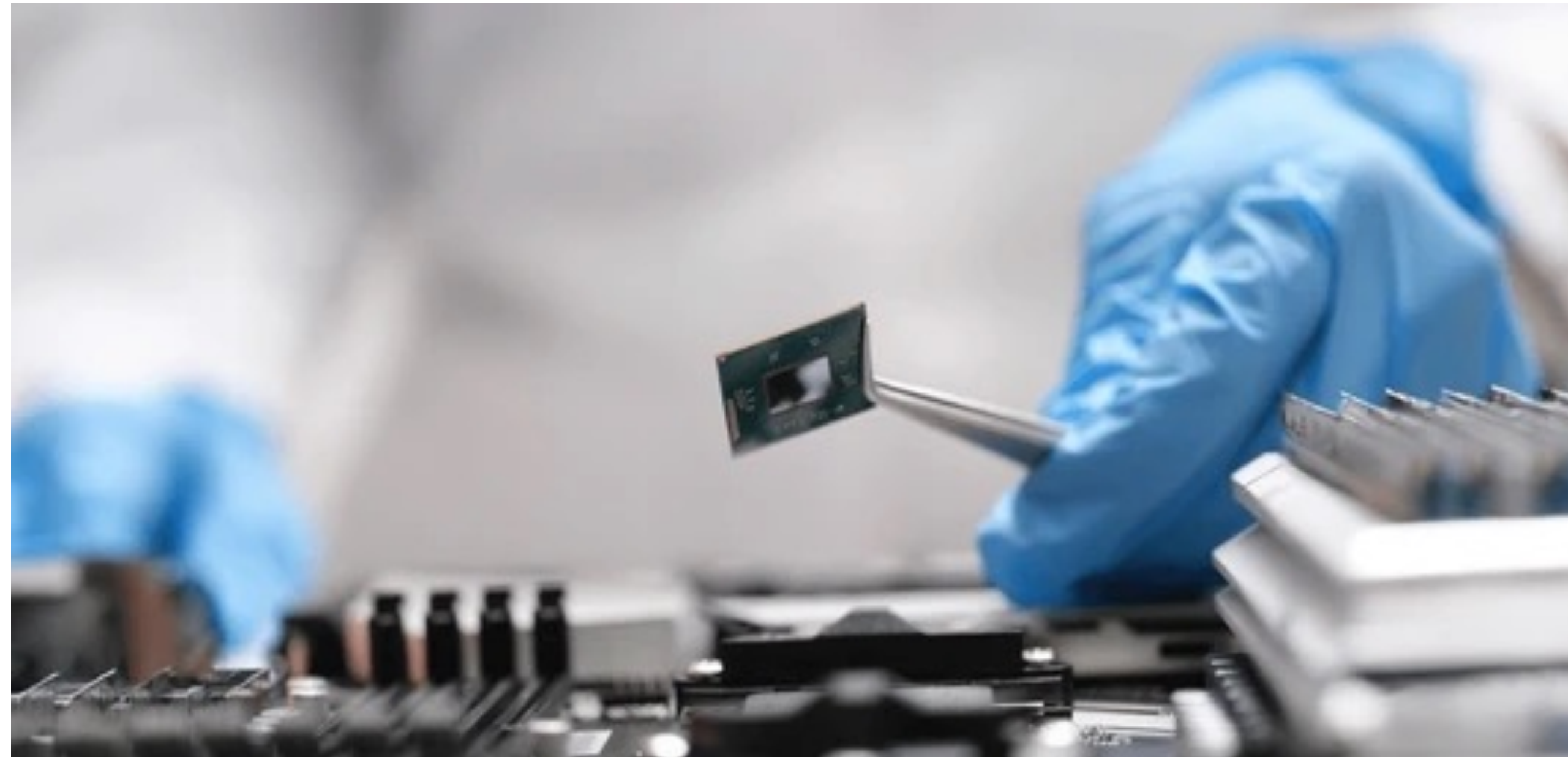
- Surface Chemistry/Functionalization
- Nanoscience and Plasmonics
- Biosensors
- Catalysis
- Techniques to monitor surfaces

Diverse Applications of Surfaces & Interfaces



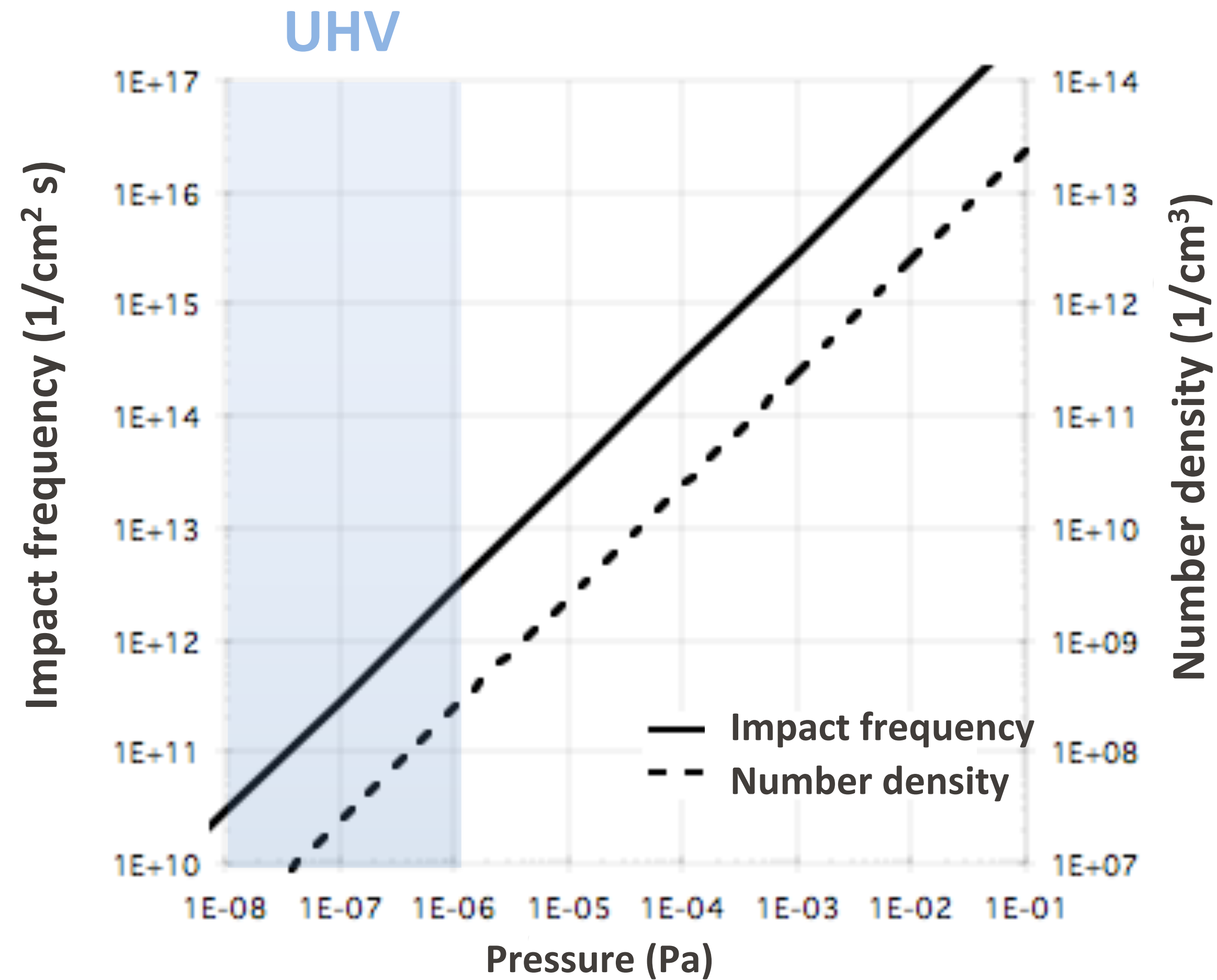
Challenge of Studying Surfaces

How can vacuum influence contamination?



Ultra High Vacuum (UHV) Needed for Ultra Clean Surfaces

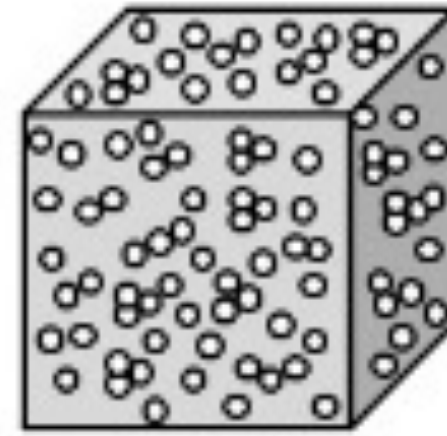
The number of impacts per second per cm^2 can only be decreased by pressure reduction



Impact frequency/unit area and number density
as a function of the pressure for an ideal gas

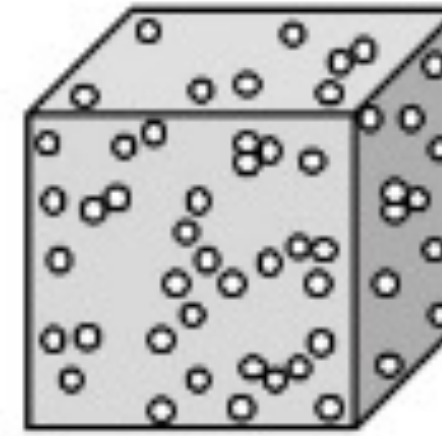
Vacuum Levels Significantly Impacts Monolayer Formation

Rough Vacuum
1 atm – 10^{-3} Torr



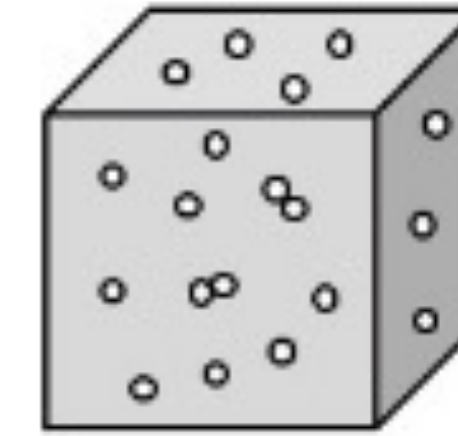
1×10^{-3} Torr
 10^{13} atoms/cm³

High Vacuum
 10^{-3} Torr – 10^{-8} Torr



1×10^{-6} Torr
 10^{10} atoms/cm³

Ultra High Vacuum
 10^{-8} Torr – 10^{-12} Torr

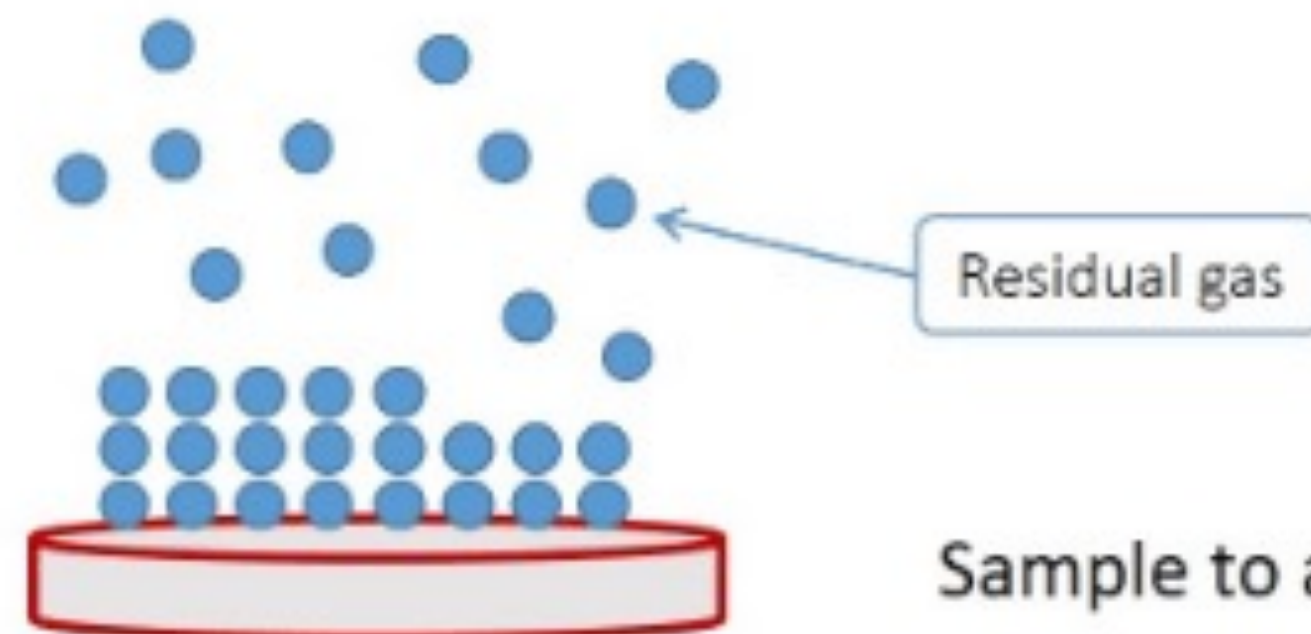


1×10^{-11} Torr
 10^5 atoms/cm³

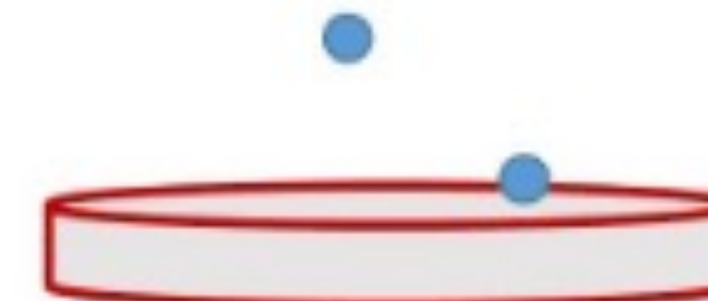
1 residual gas monolayer
every **4 SECONDS**

1 residual gas monolayer
every **4 DAYS**

High Vacuum



UHV

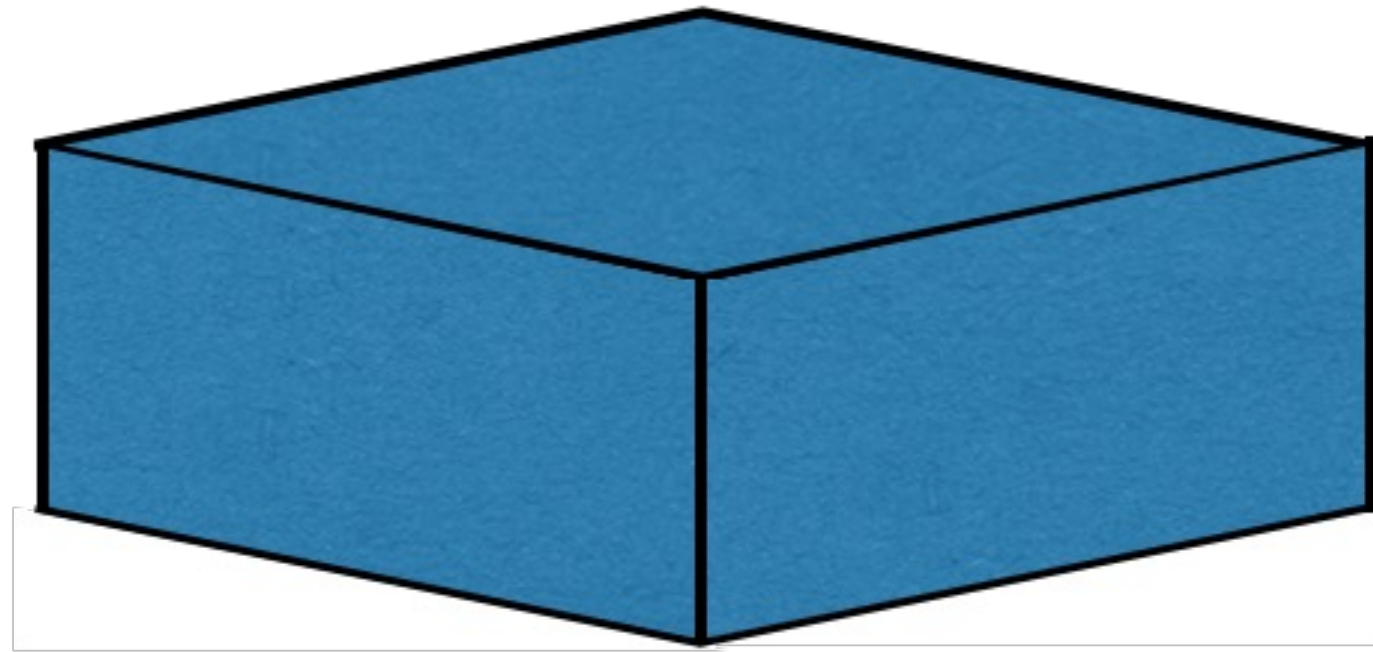


VAC COAT

CHEM\$NA

Surface Chemistry – Why Functionalize Surfaces?

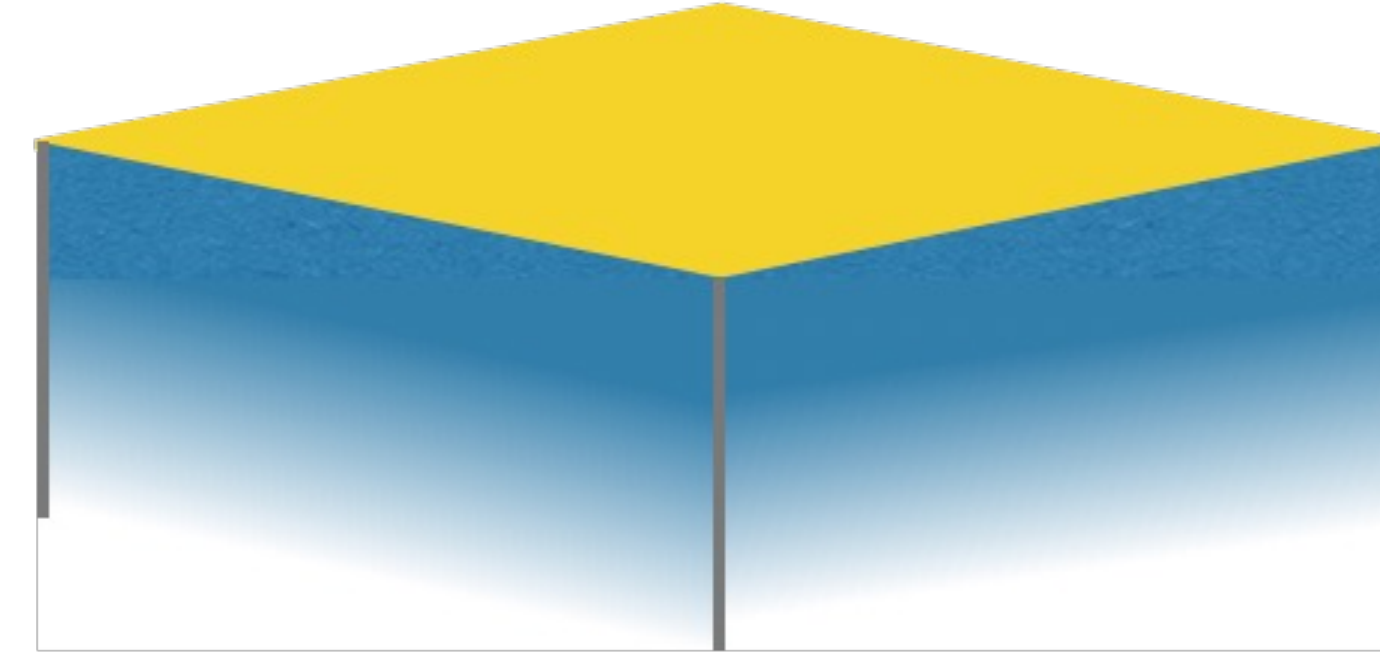
The goal is to combine ideal bulk properties with ideal surface properties



Bulk Properties

Reflect behavior of atoms/molecules within interior of material

Porosity
Thermal conductivity
Electrical conductivity
Density
Elasticity
Tensile strength
Magnetism



Surface Properties

Properties unique to atoms on material's surface where they are less coordinated and experience different forces

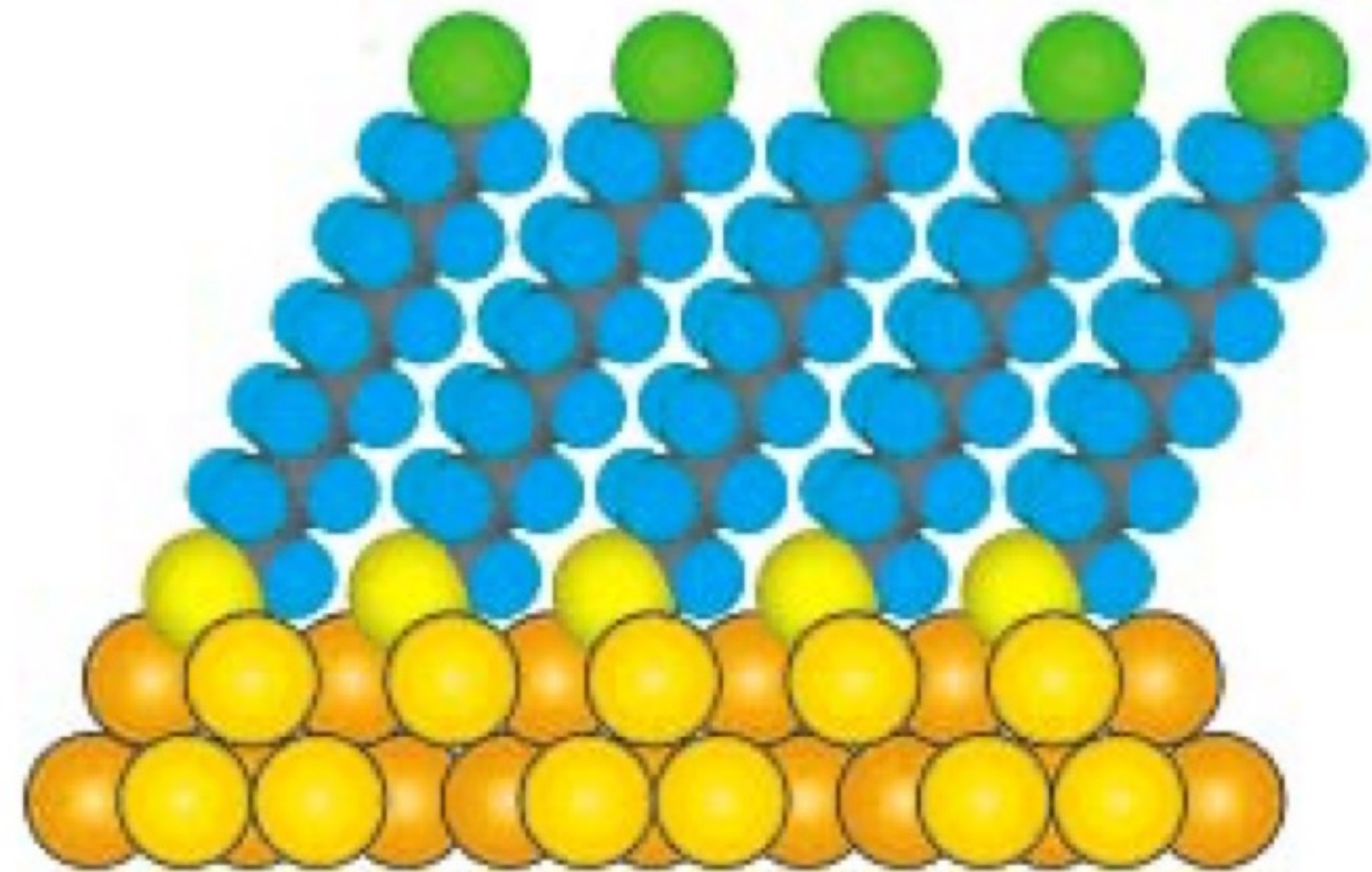
Wettability
Biocompatibility
Corrosion resistance
Roughness
Lubricity/ease-of-cleaning
Catalytic activity

How to Functionalize Surfaces? Self-Assembled Monolayers

Examples of alkanethiols that spontaneously self-assemble on surfaces:



X = tail group/functional group
 CH_3 , OH, COOH, NH_2 , *etc.*



Functional group: mainly determines surface properties

Hydrocarbon chain: interchain van der Waals interactions

Head/anchoring group: interacts with substrate (chemisorption)

Spontaneously adsorbed, single layer of species
with a high degree of lateral organization

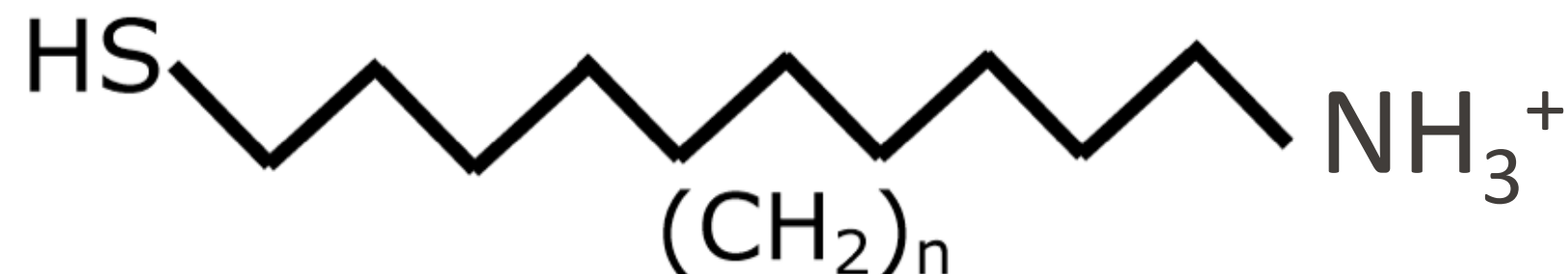
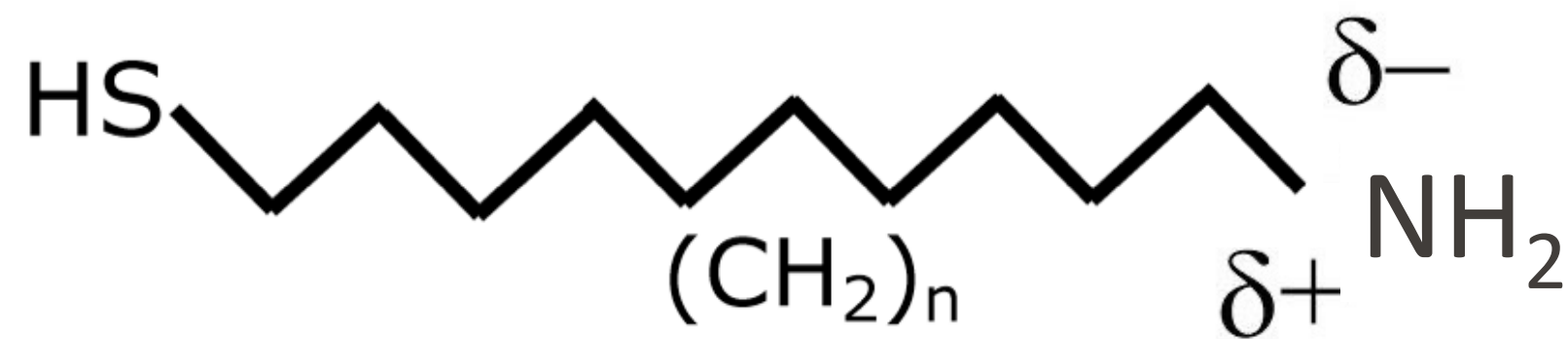
Hydrophilic vs. Hydrophobic Terminal Groups

Hydrophilic terminal groups

- OH (hydroxyl)
- COOH (carboxyl)
- NH₂ (amine)

Polar

Hydrogen-bonds or ionic interactions with H₂O



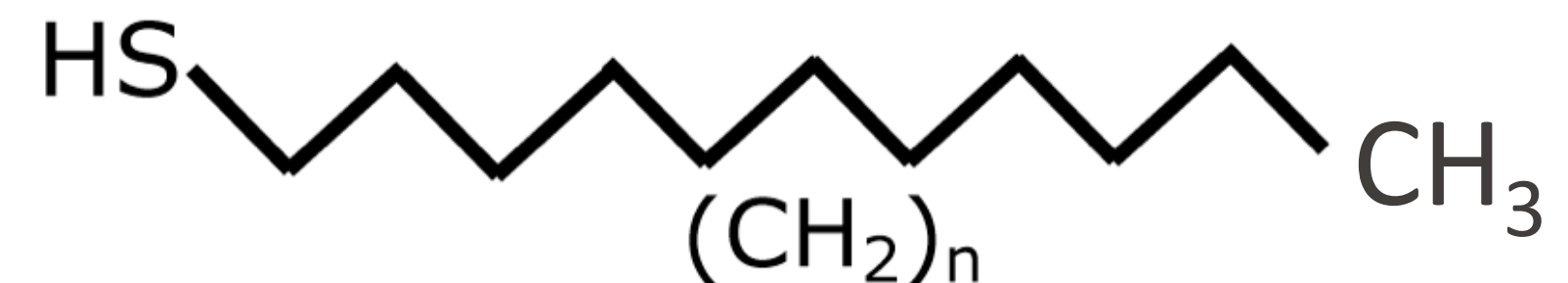
Which form of the amine-group is more hydrophilic?

Hydrophobic terminal groups

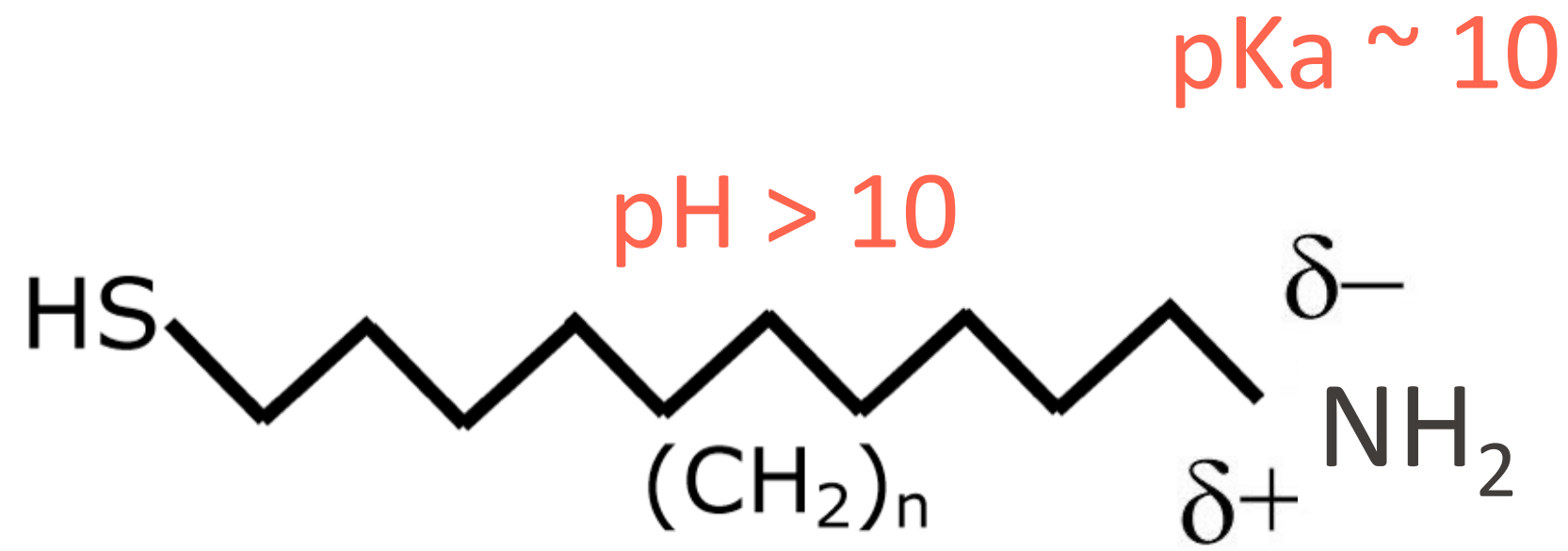
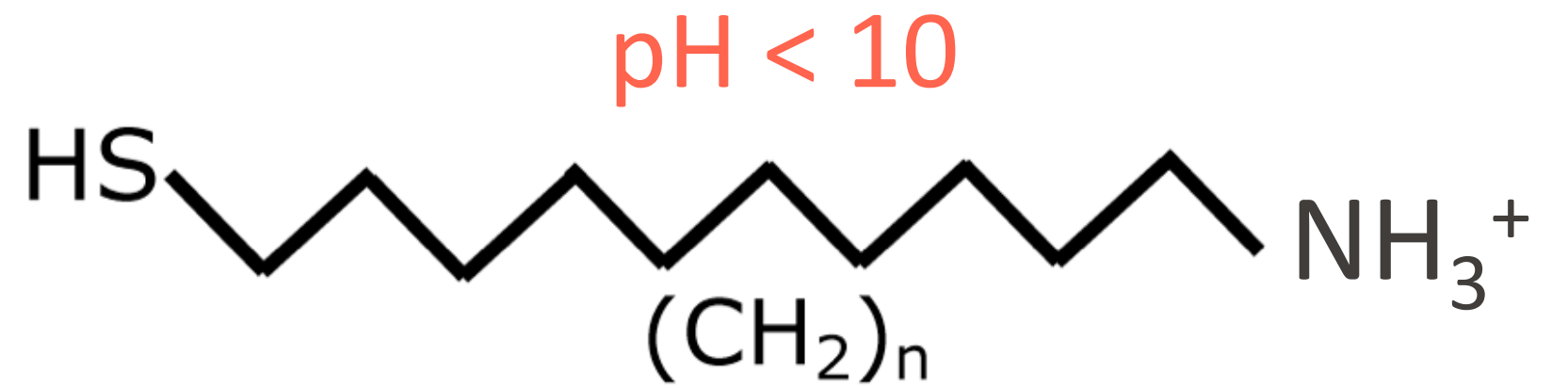
- CH₃ (methyl)
- CF₃ (trifluoromethyl)
- C₆H₅ (phenyl group)

Non-polar

Minimal interactions with water due to low polarity



Recall the Strength of Intermolecular Interactions/Forces



Acid dissociation



$$K_a = \frac{[\text{A}^-][\text{H}^+]}{[\text{HA}]}$$

Henderson-Hasselbach
equation

Intermolecular Forces	Formed by attraction between:
Ion-dipole	Ion + polar molecule
Hydrogen bond	Molecules with H, N, O, F atoms
Dipole-dipole	Two polar molecules
Ion-induced dipole	Ion + nonpolar molecule
Dipole-induced dipole	Polar + Nonpolar molecule
Van der Waals forces	Two nonpolar molecules

Strength of force

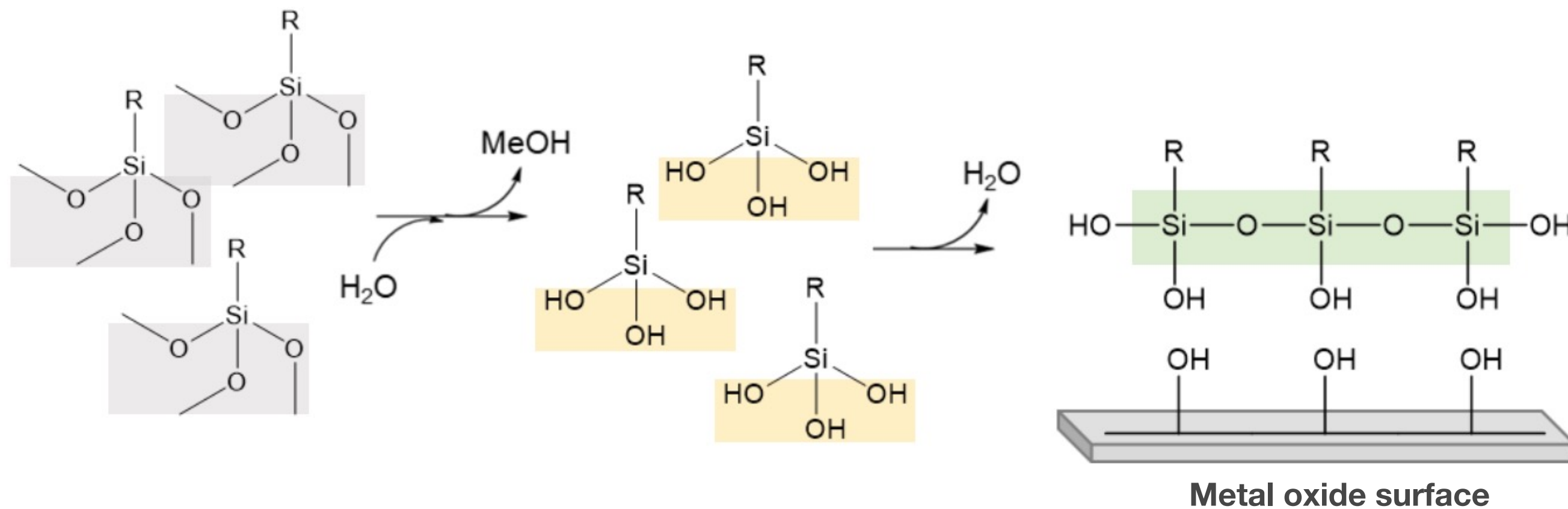
$$\text{pH} = \text{pK}_a + \log \frac{[\text{A}^-]}{[\text{HA}]}$$

pH < pKa → protonated
pH > pKa → deprotonated

Beyond Alkanethiol-Gold Surface Chemistry

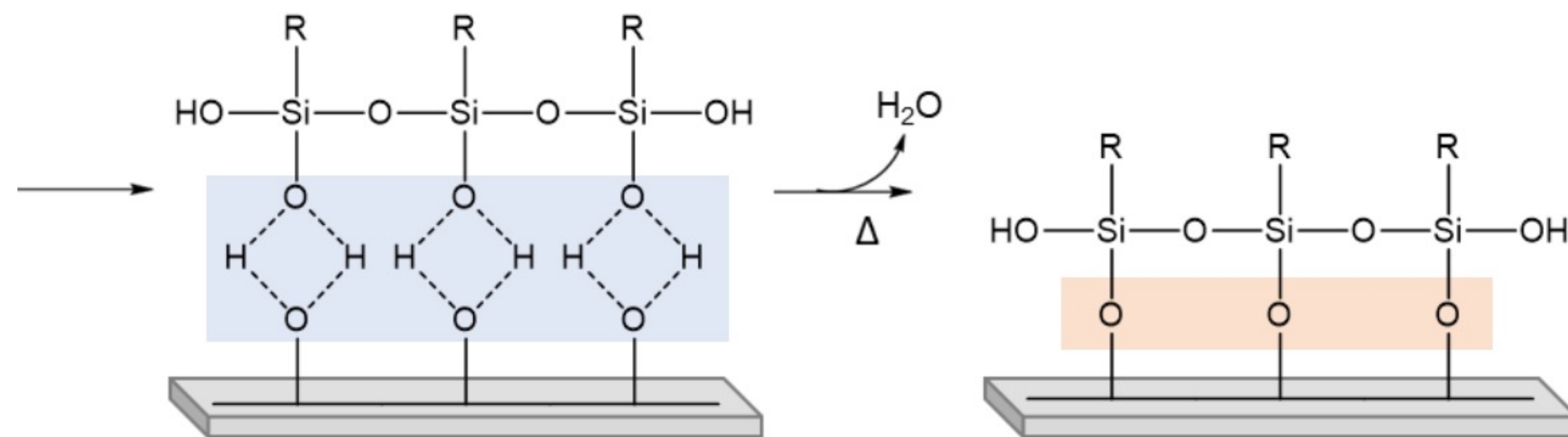
Silane chemistry for surfaces with -OH groups (e.g., metal oxides)

**The precise mechanism of assembly is unknown*



Hydrolysis

Condensation

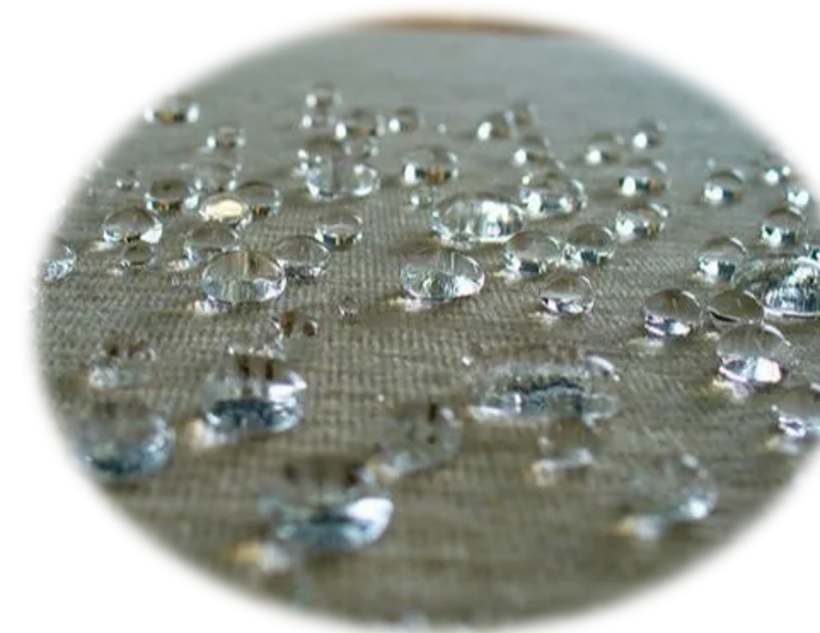


Hydrogen bonding

Covalent bond formation

Arkles, *CHEMTECH*, 7, 766, 1977

Applications of silane chemistry



Water repellent coatings



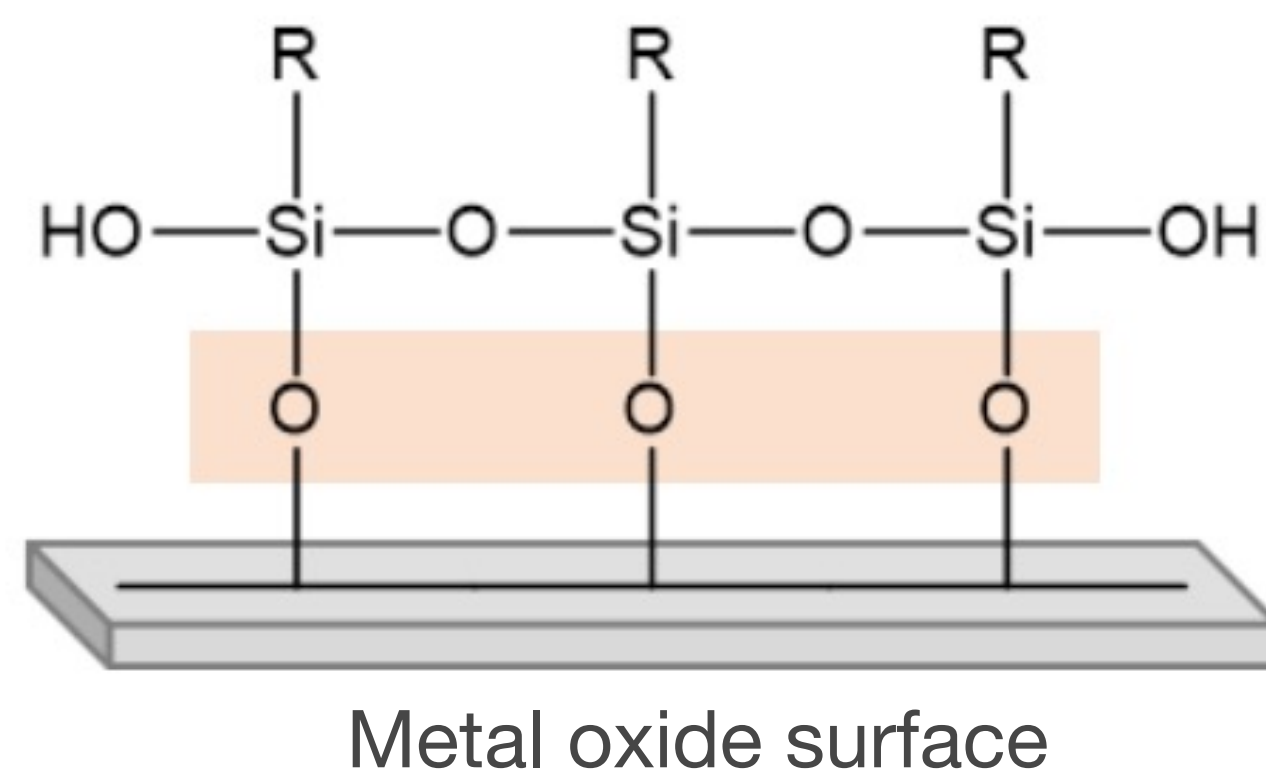
Adhesives, primers, paints



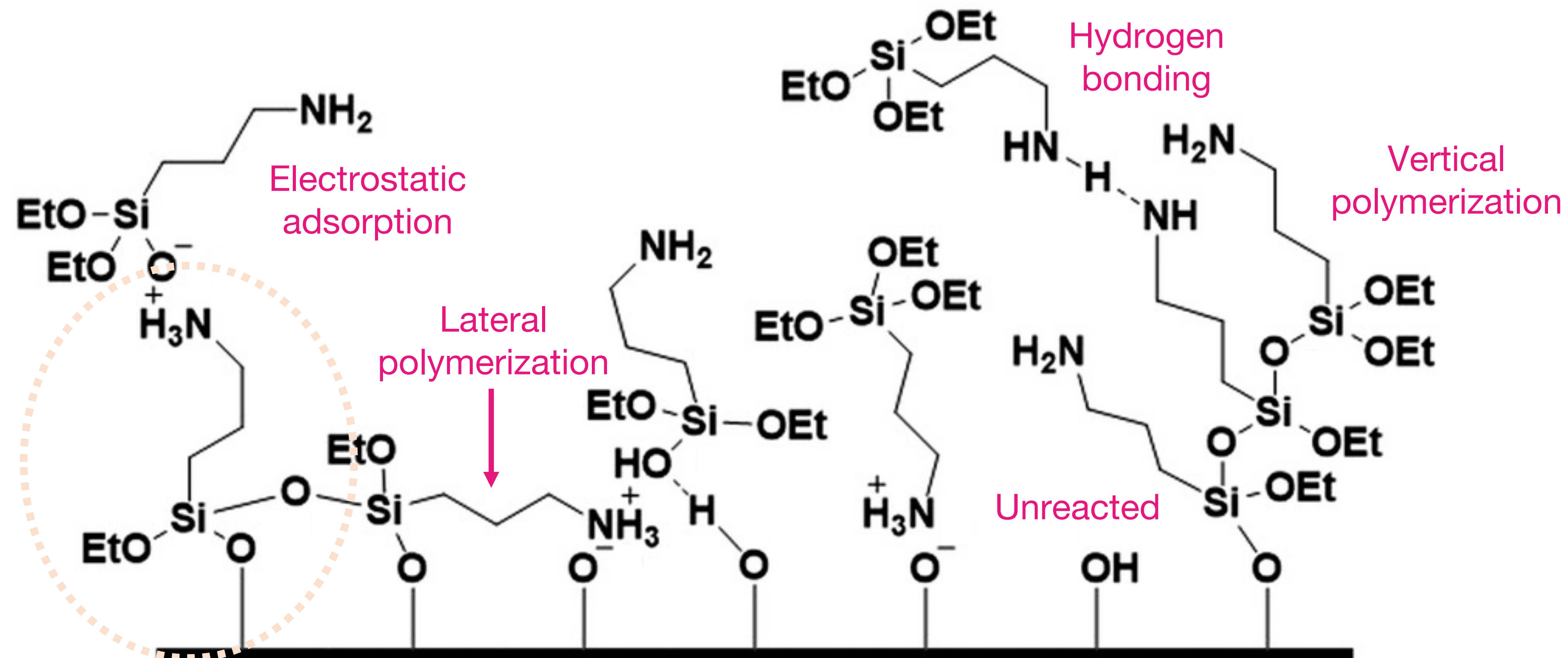
Antifog

Challenges of Homogenous and Reproducible Silane Chemistry

Expectation 😊



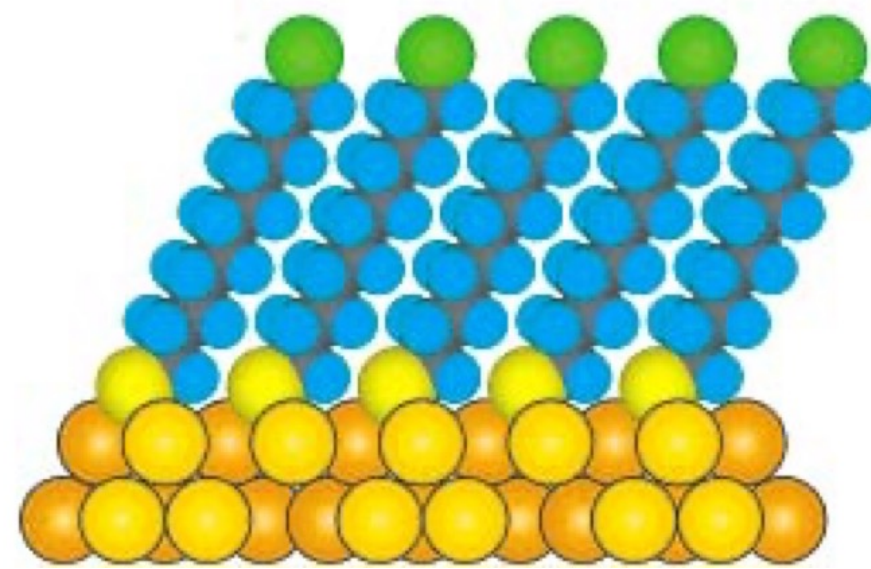
Reality 😞



Layer characteristics are heavily influenced by solvent, water content, environmental humidity, pH, silane concentration, reaction time, temperature, etc.

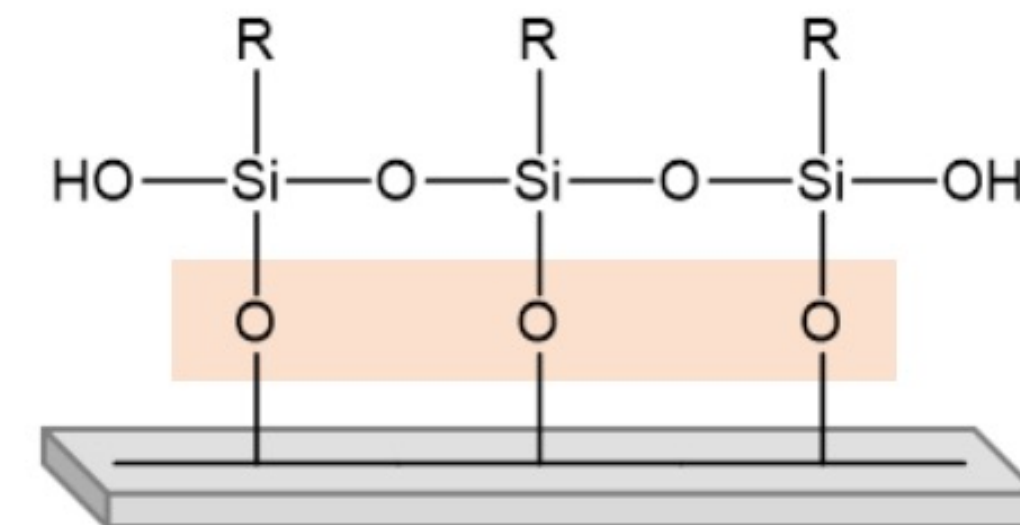
The Pros and Cons of Alkanethiols vs. Alkylsilanes

Alkanethiols



Metal surface (e.g., Au)

Alkylsilanes



Metal oxide surface (-OH)

Selective bonds formed with noble metal surfaces

Many different functional end groups
commercially available

Easy to prepare (Au chemically inert)

Very well studied (order and packing well known)

Patterning is possible

Do not absorb on most technologically important materials

Sensitivity to oxidation leads to low stability

Only requirement for substrate is presence of -OH groups

Many functionalized silanes commercially available

High stability due to polysiloxane formation

Limitation in functional groups due to possible reactivity
with head/anchor group

Reproducible sample prep can be difficult

Multilayer formation is possible (and common)

Surface Assembly of Polymers

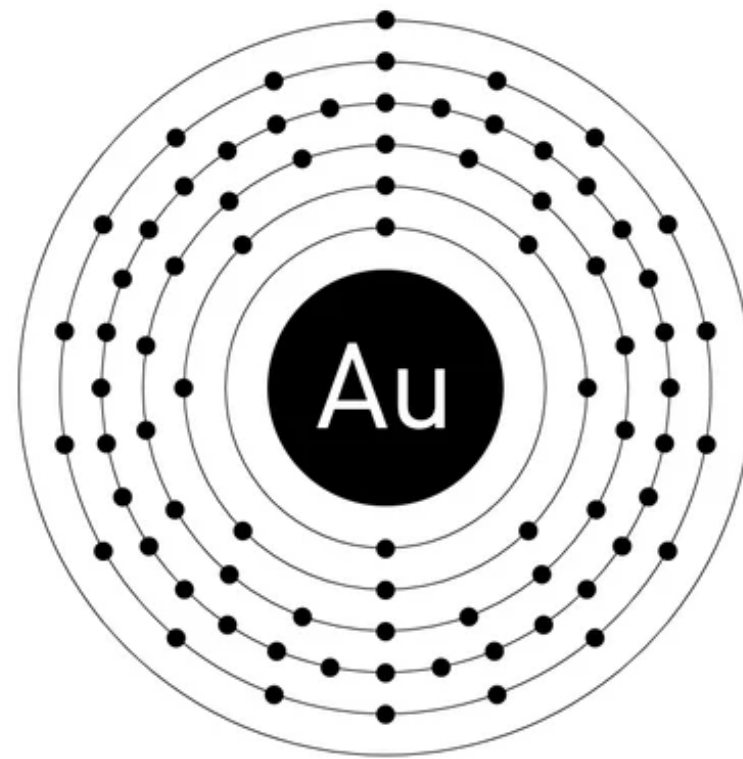
Metal



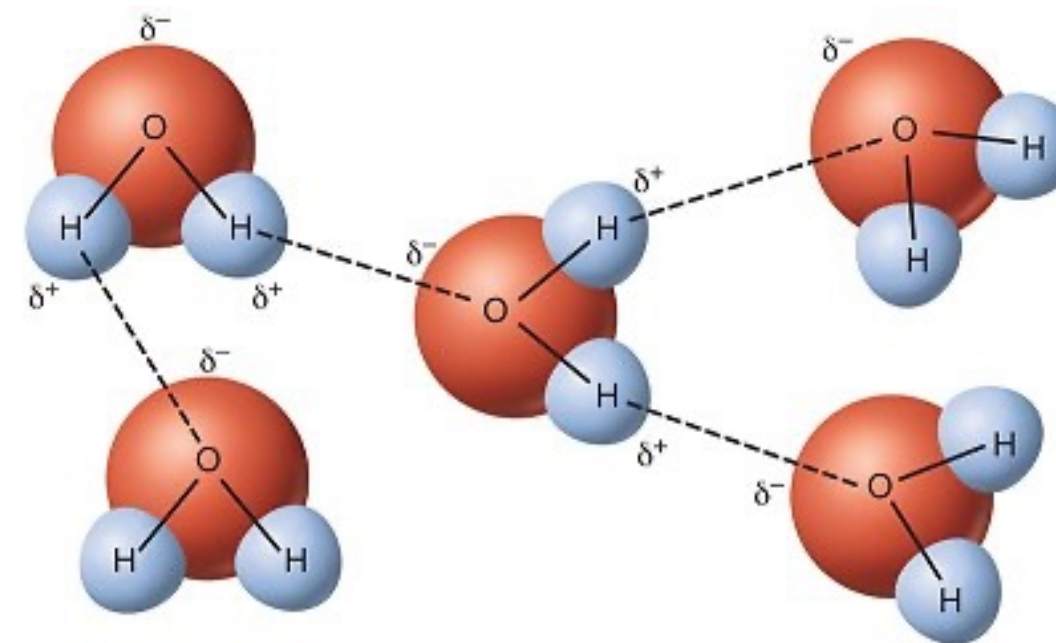
Water



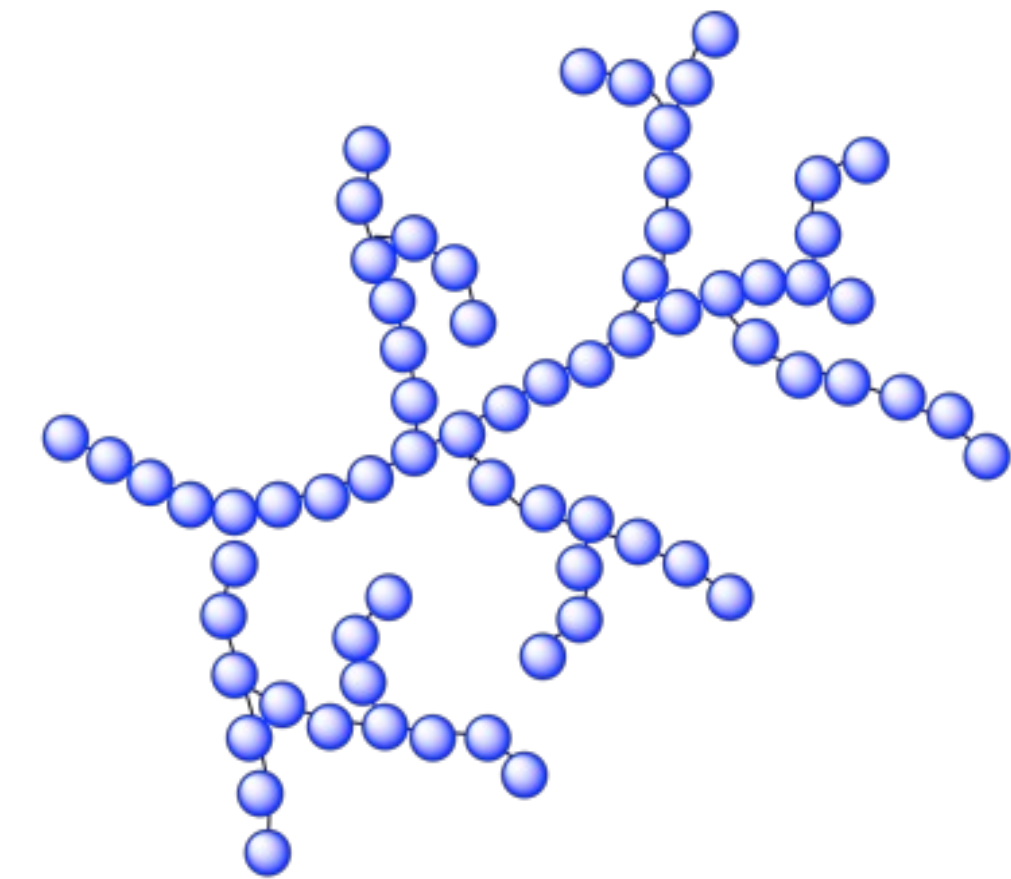
Polymer



Atoms

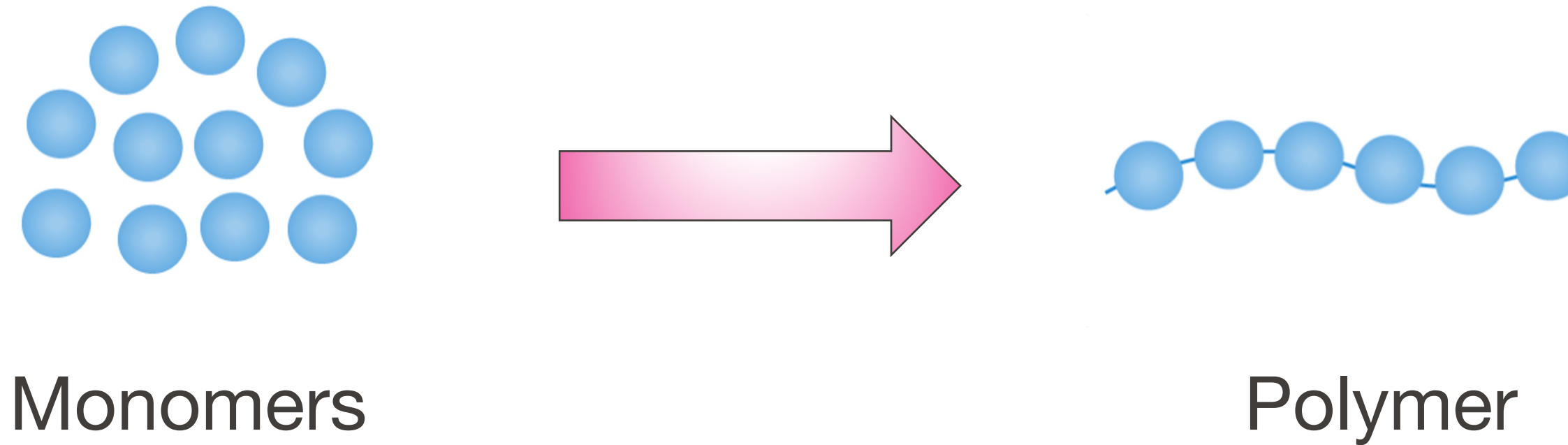


Molecules

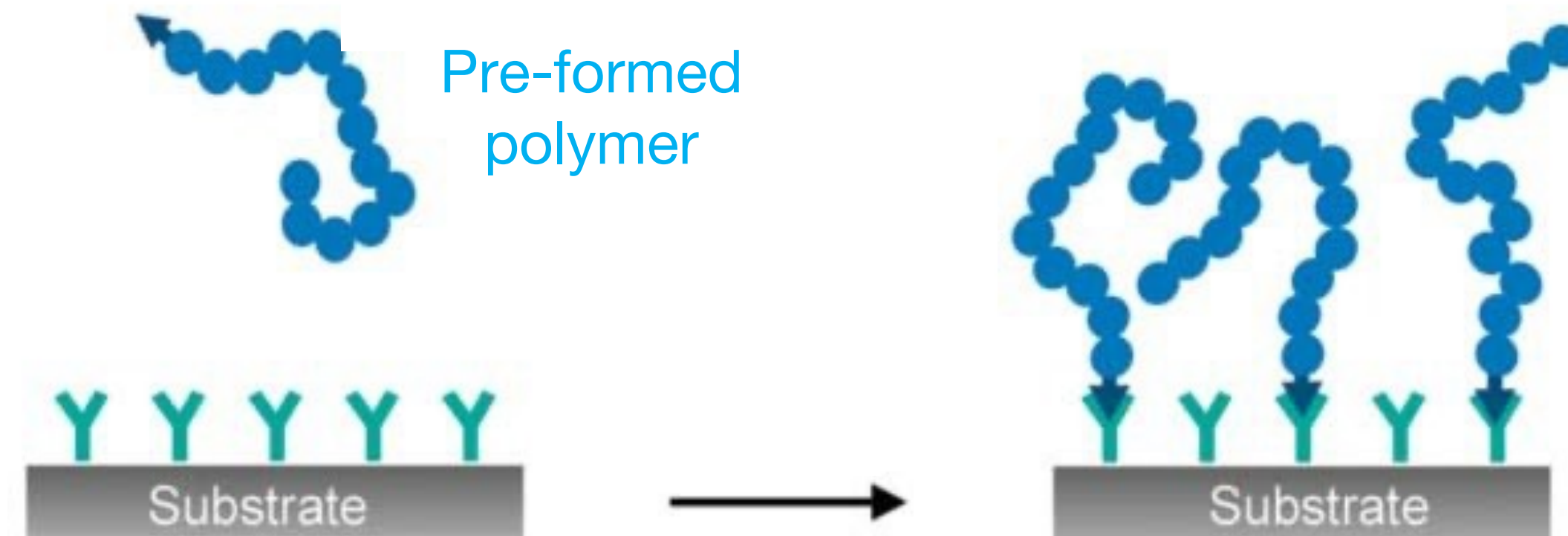


Chains of molecules

Surface Assembly of Polymers



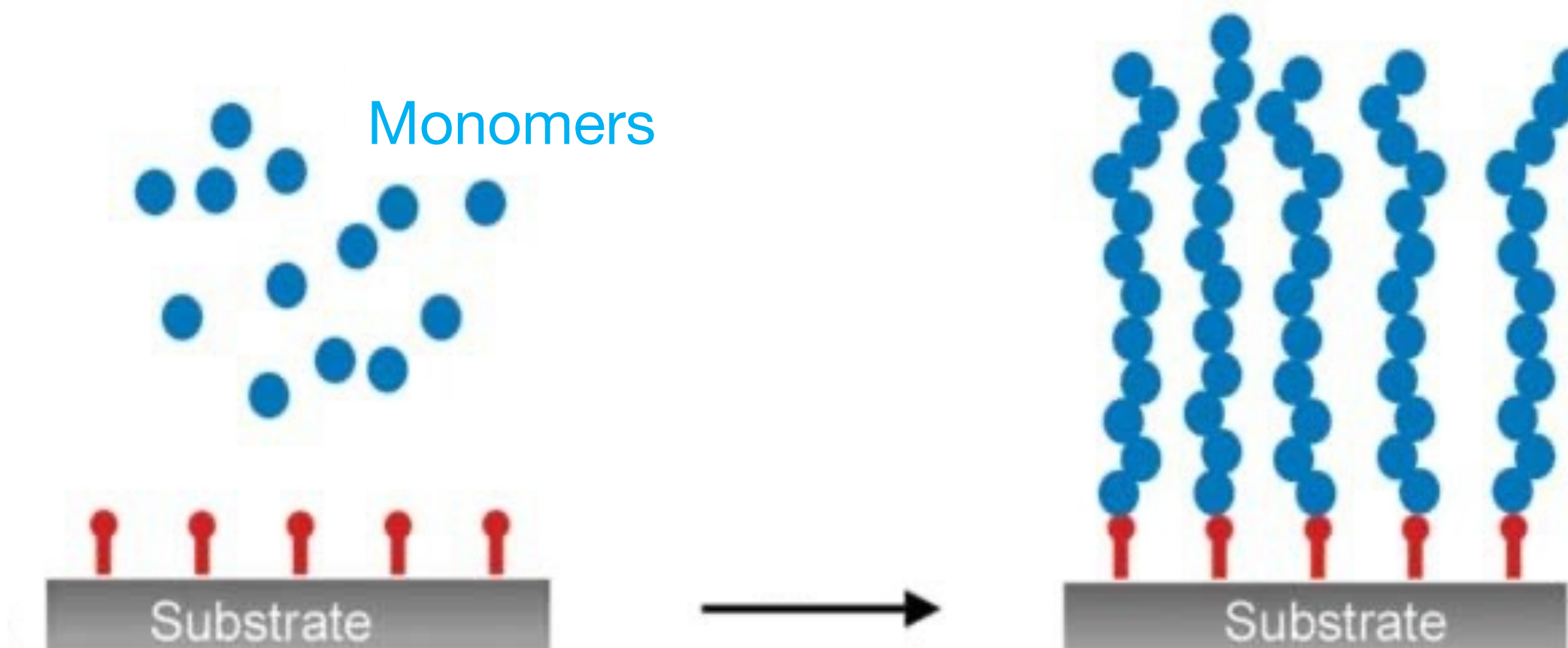
Top-down:
**Grafting-to
approach**



Control over polymer
properties

Limited surface density
steric hindrance

Bottom-up:
**Grafting-from
approach**



High grafting density
and stronger bonding

Less control over
polymer properties

Comparing Polymer Brushes to SAMs

	Polymer brush		SAM (self-assembled monolayer)
	Grafting-to	Grafting-from	
Grafting molecule	Almost all types of polymers		Mainly alkanethiol & alkyl silane
Micro-architecture	Various and complex polymeric structures		Well assembled molecular monolayer
Scaffold materials	Glass, titanium, gold, silver, silicon, etc		Gold thin film, oxide-formed substrate
Thickness	High tunability by adjusting polymer chain length		Thin: one molecular layer
Coating defects	Presence: short polymer chain Self-healing of defects: long polymer chain		Presence of defects and pinhole
<i>In vivo</i> stability	High stability		Low stability
Coating density	Loosely packed	Densely packed	Densely packed
Fabrication approach	Various chemical coupling between polymer and surface	Various polymerization on the surface	Thiol-gold bond & silane linkage

Kim & Jung, *Phys. BMB Rep.*, 49, 12, 2016

Unique Properties at the Nanoscale

Increased surface-to-volume ratio → dominance of surface effects over bulk properties



Bulk gold



Gold nanoparticles

Optical properties of metal nanoparticles are dependent on their sizes and geometries

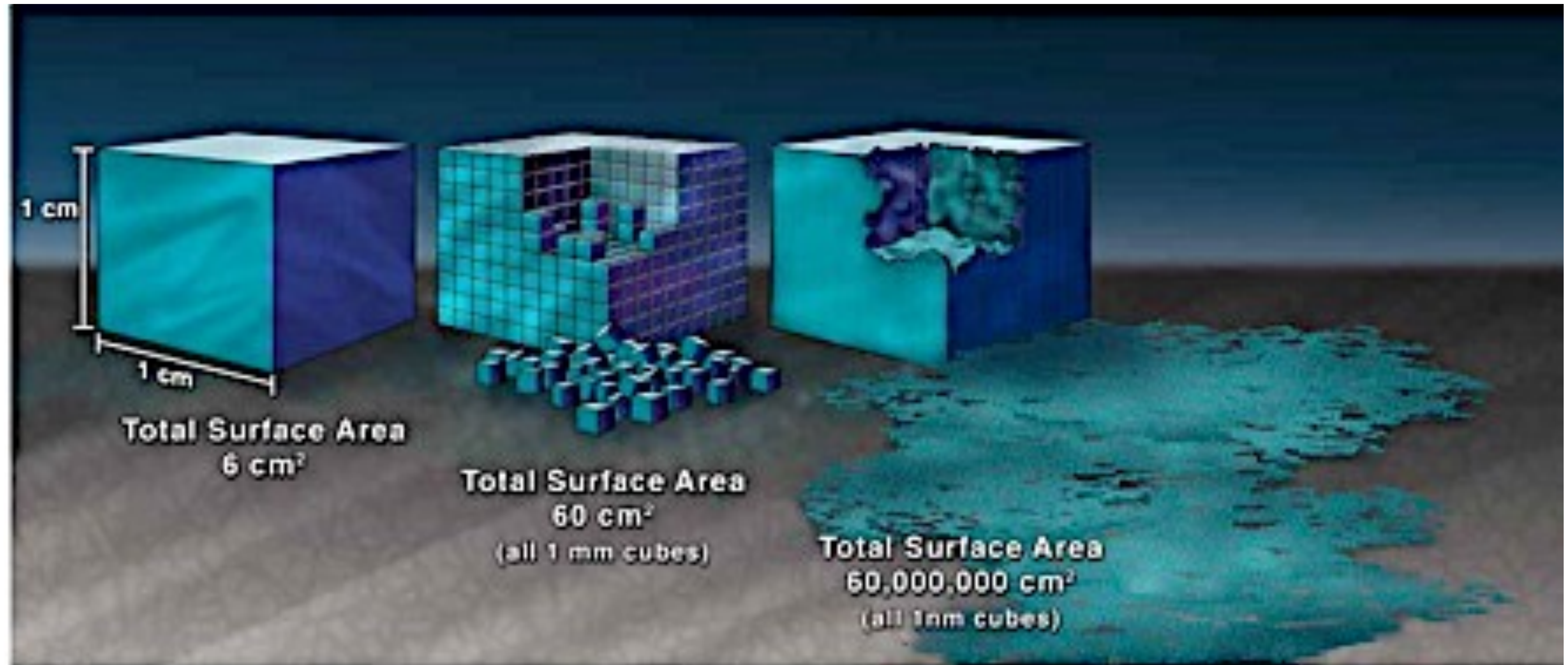
Why?

H!Q

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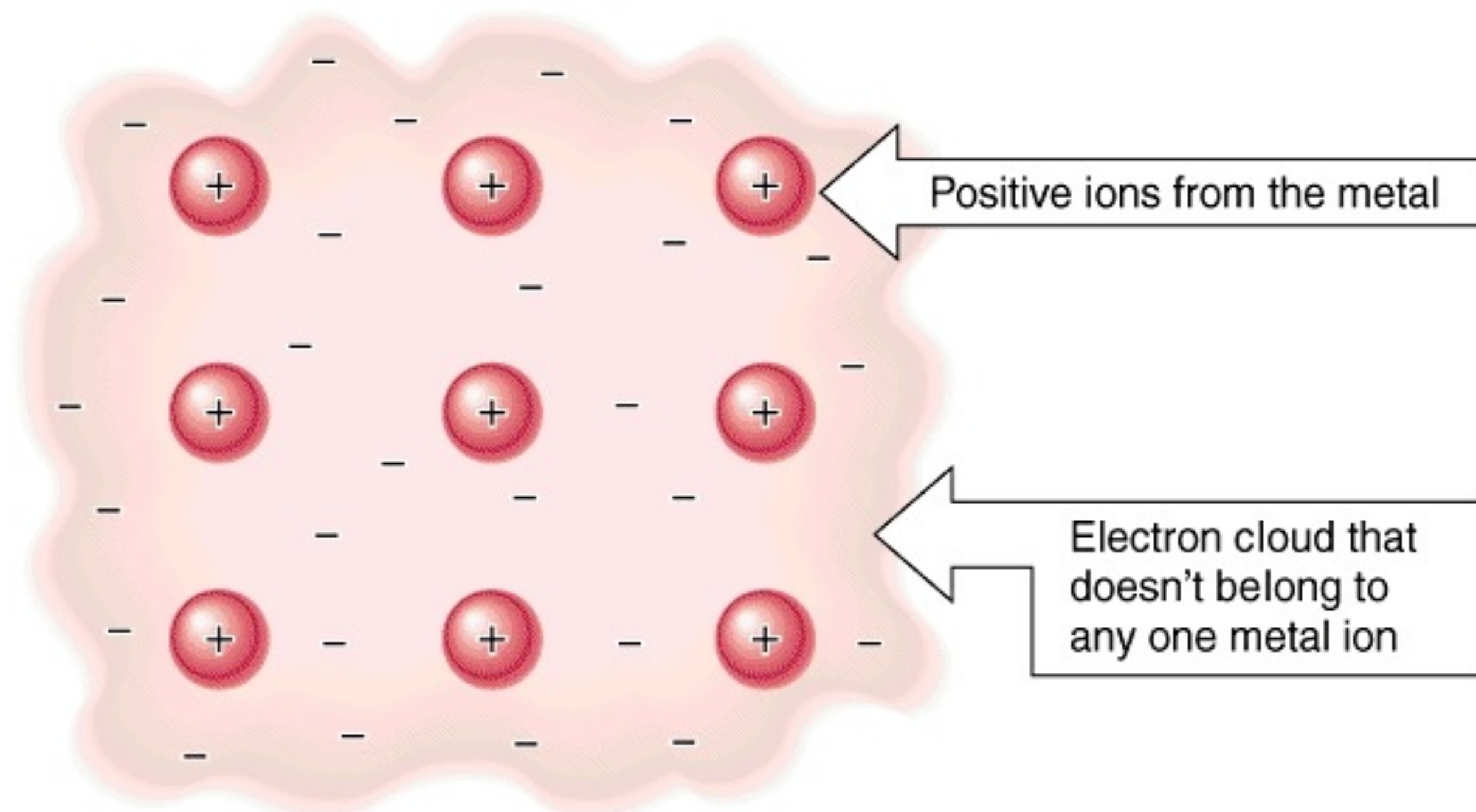
Why Nanoscale Matters for Electronic Properties at Surfaces

Increased surface-to-volume ratio → significant number of electrons are at or near the surface, leading to enhanced surface effects



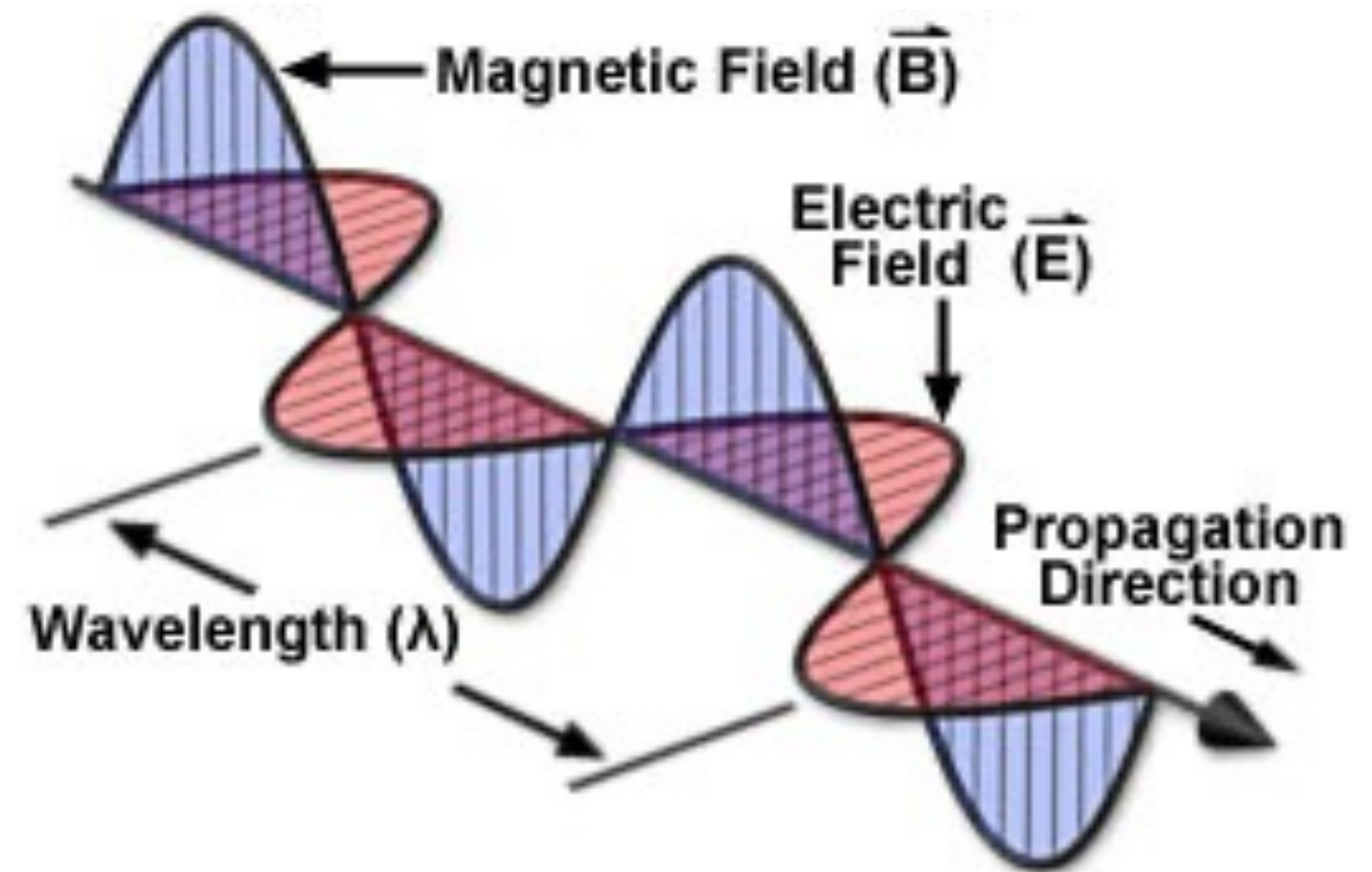
Influencing the Sea of Electrons on Surfaces Using Light

The Free Electron Sea



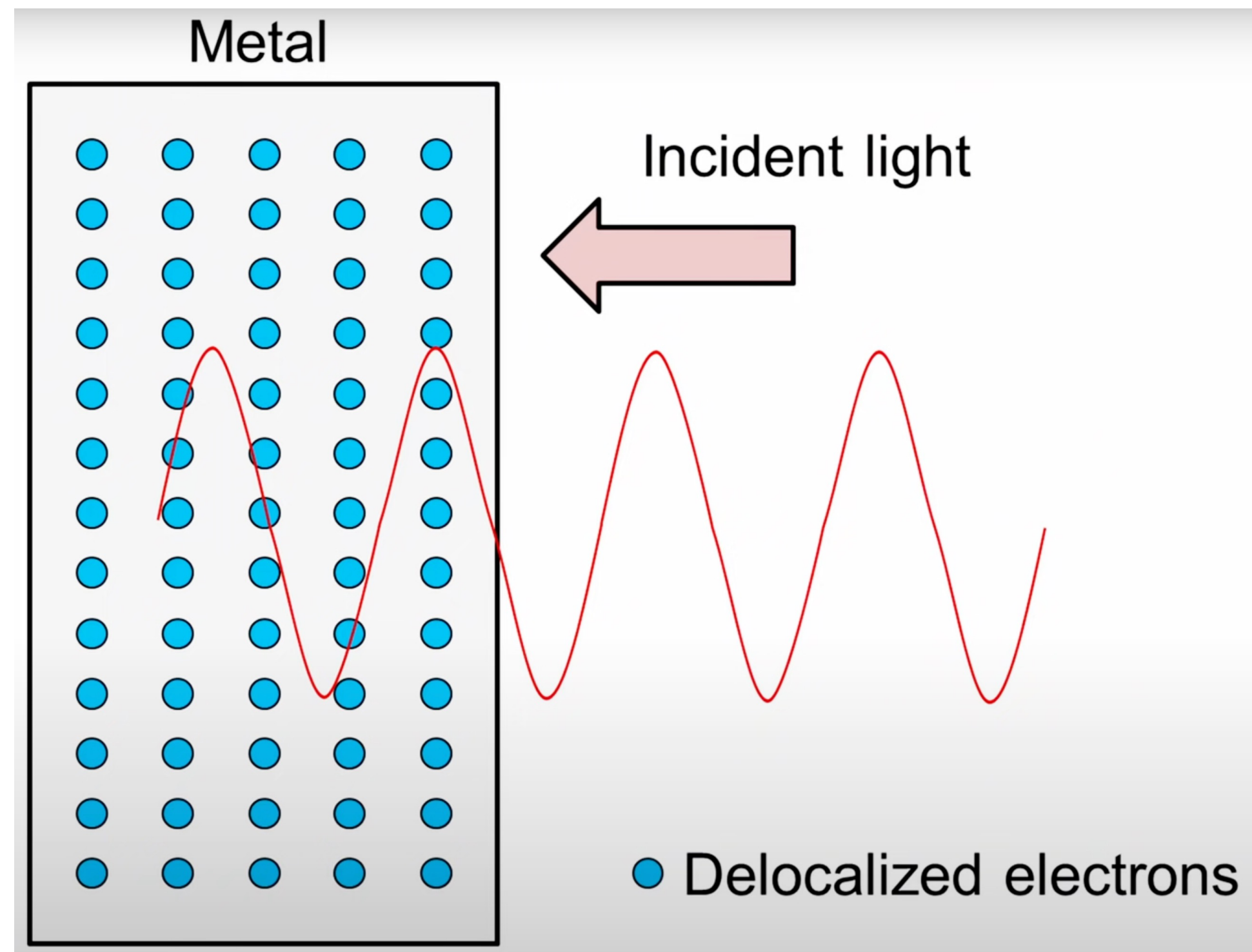
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Light as an oscillating electromagnetic field



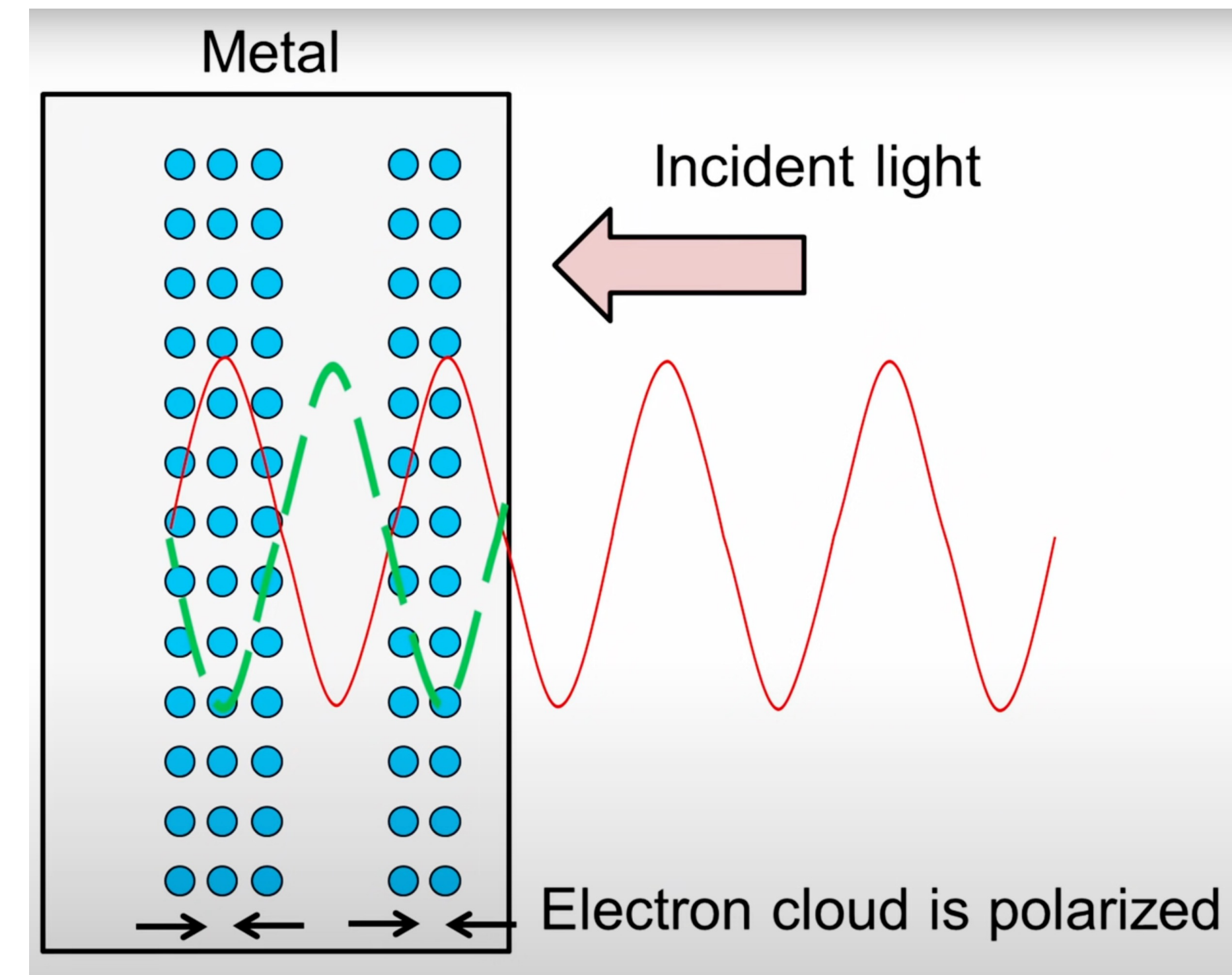
Electrons in metal are affected by the electromagnetic field
from light incident to surface

Effect of Plasmon Resonance



Incident light excites electrons on metal surface

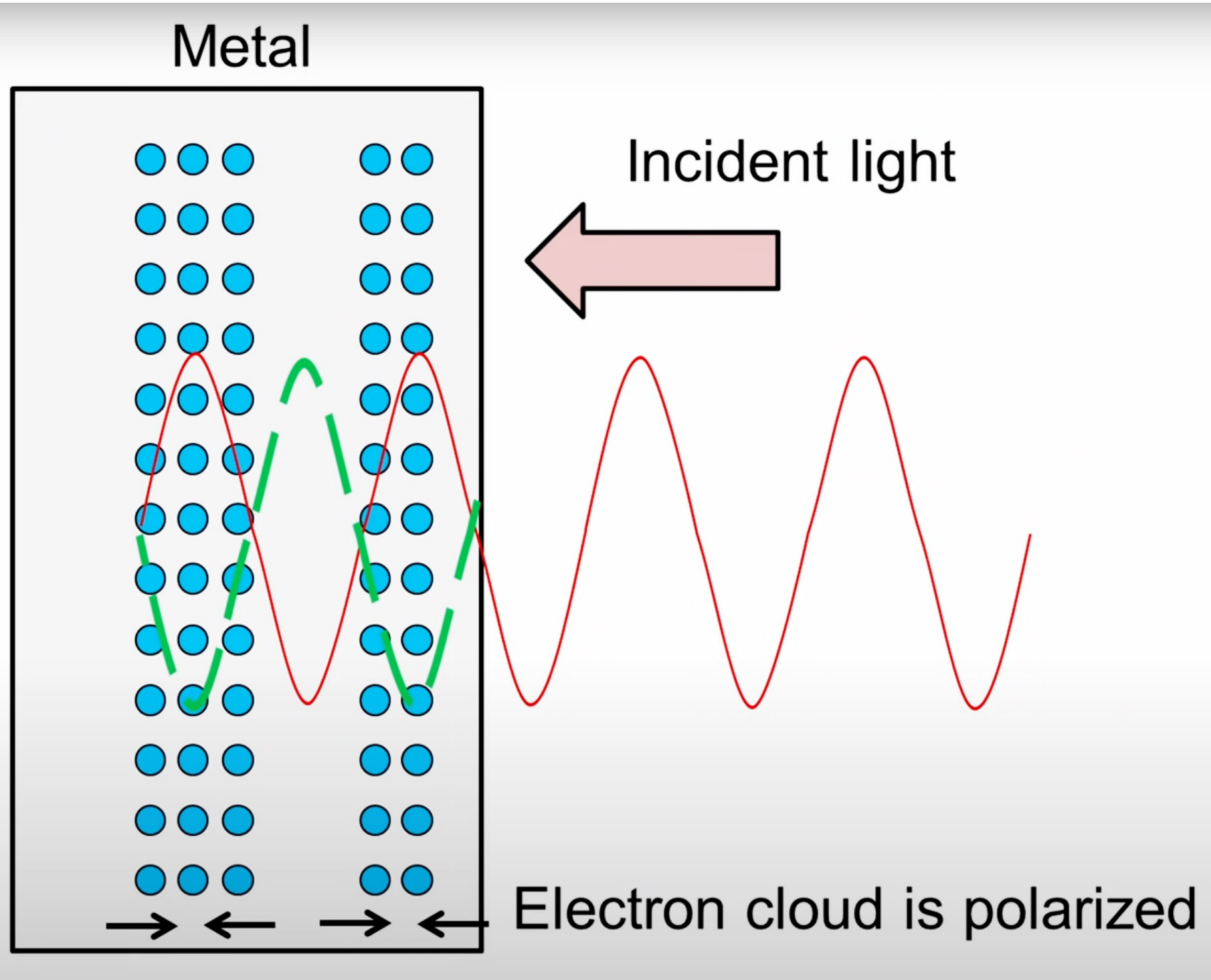
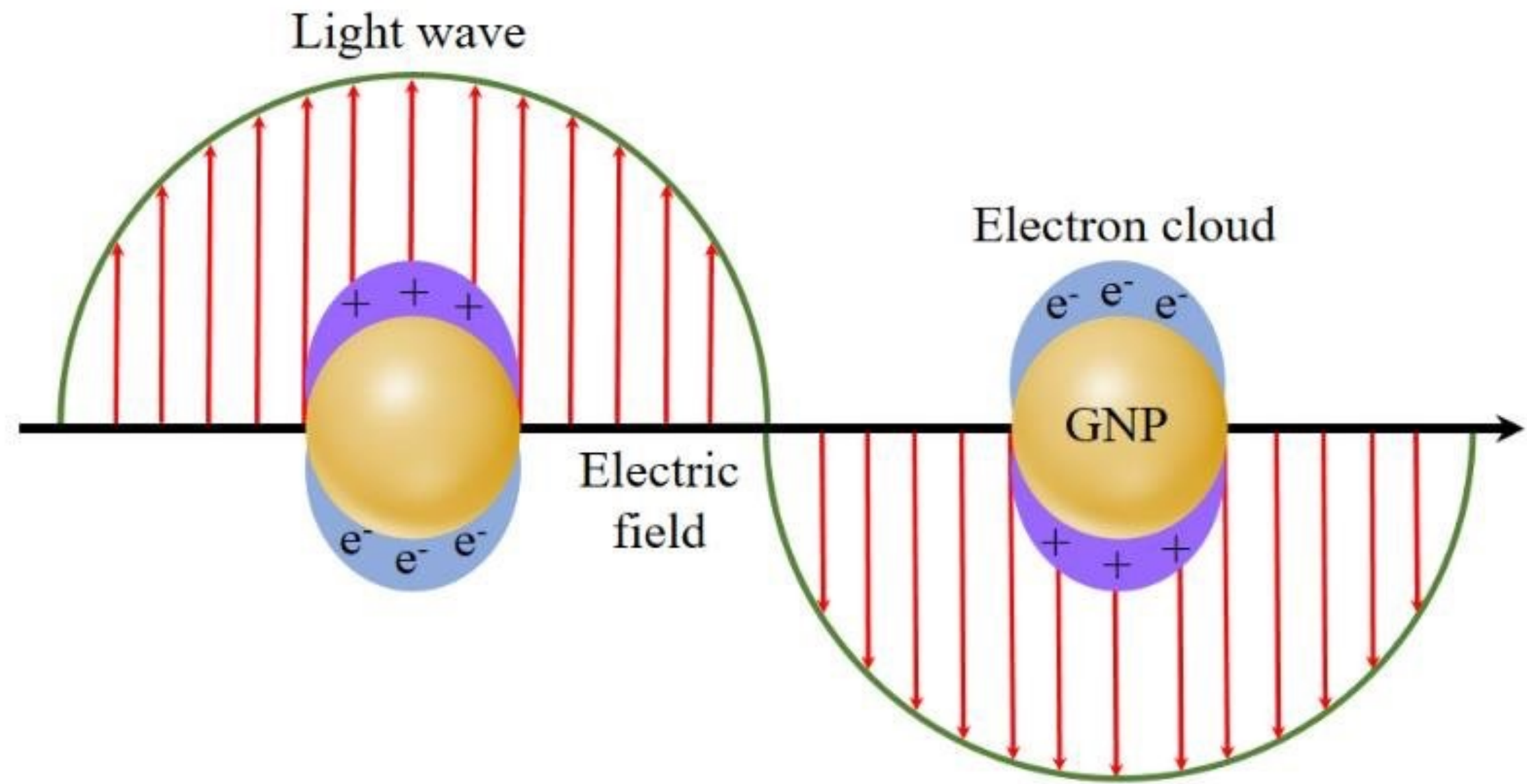
Oscillating field of light interacts with free electrons



Electron cloud is perturbed

Electrons begin to oscillate collectively as a polarized cloud (plasmon)

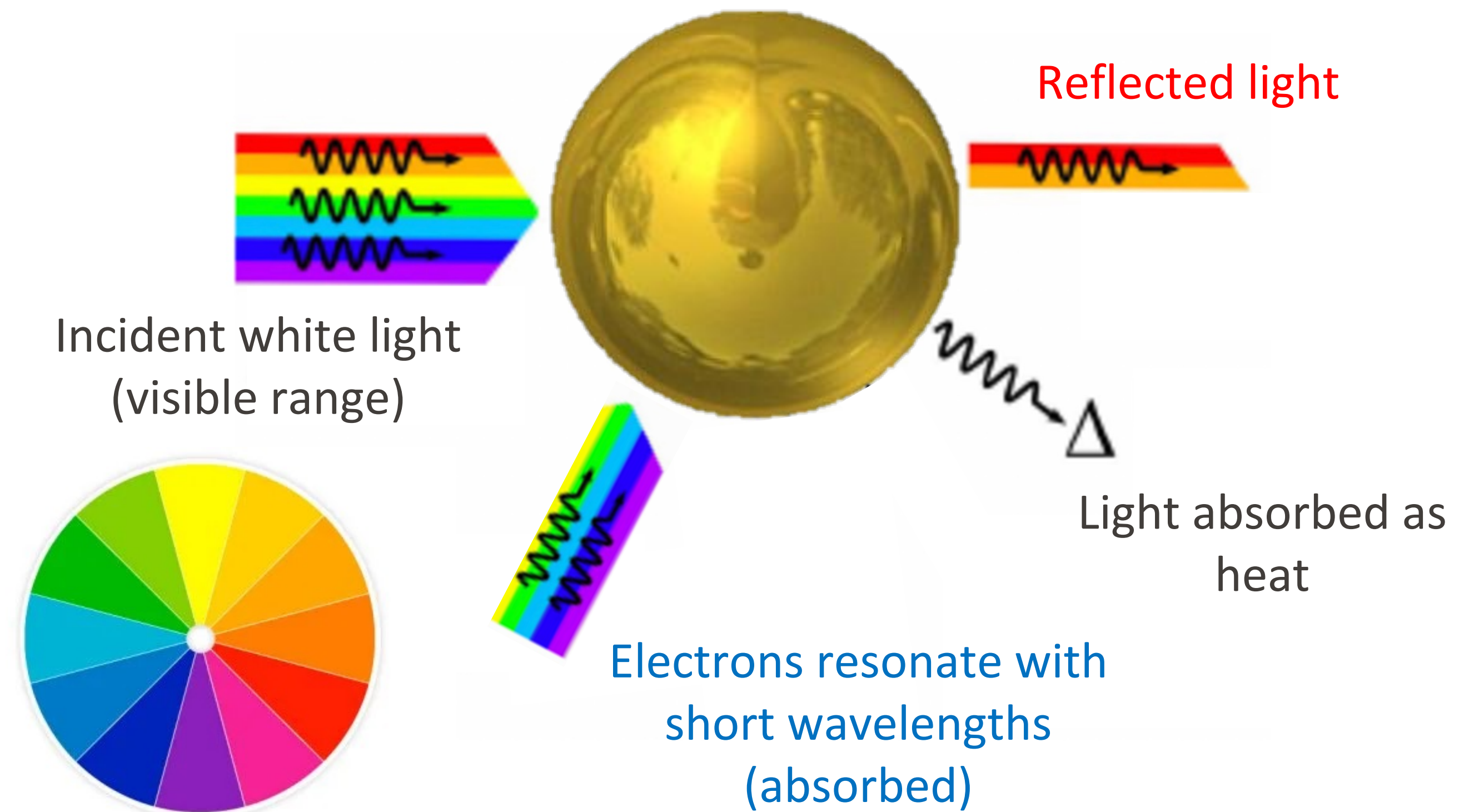
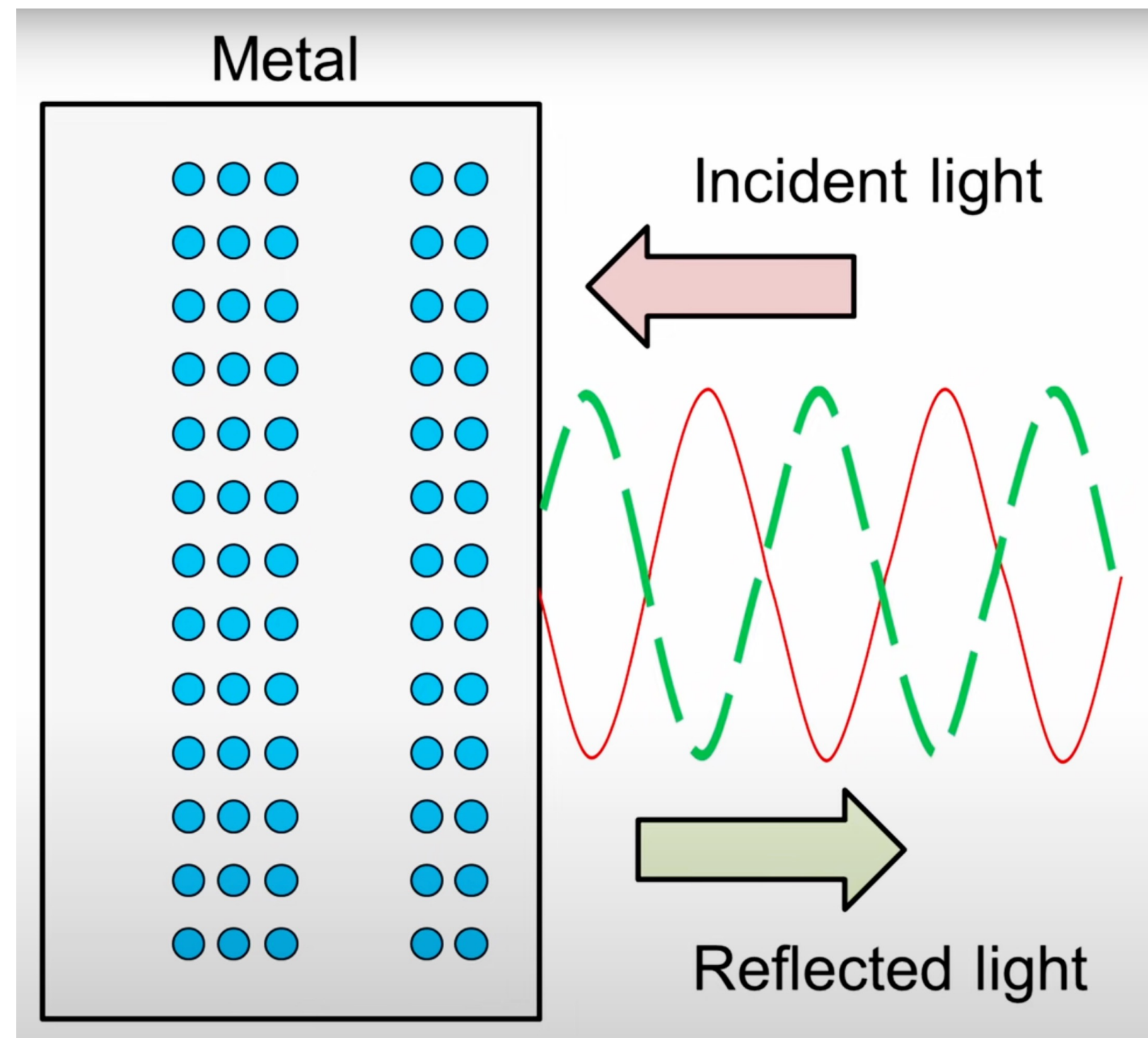
Effect of Plasmon Resonance



Electron cloud is perturbed

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Effect of Plasmon Resonance

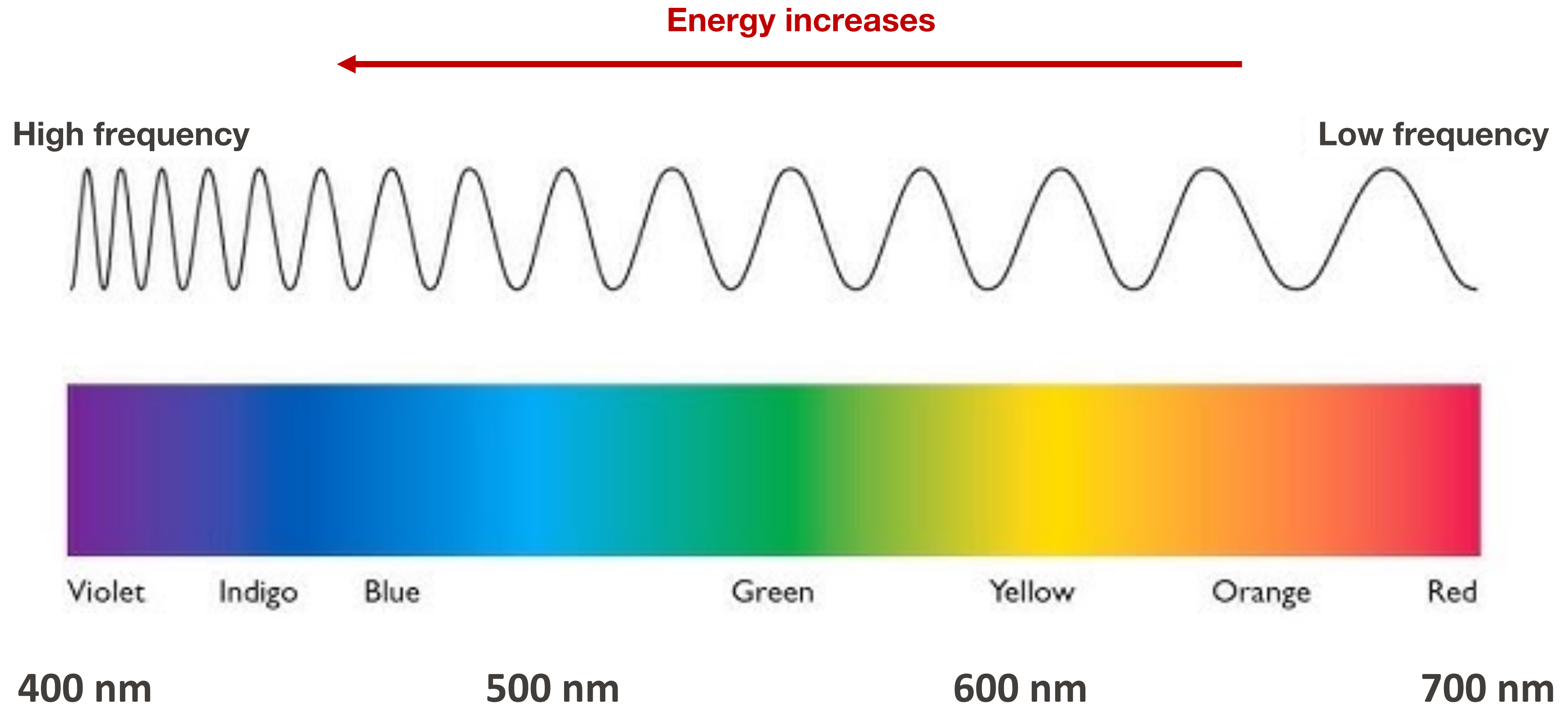


At resonance frequency, incident light energy transferred to oscillating electron cloud (plasmon excitation)

Only specific wavelengths of light are reflected back while others are absorbed or scattered

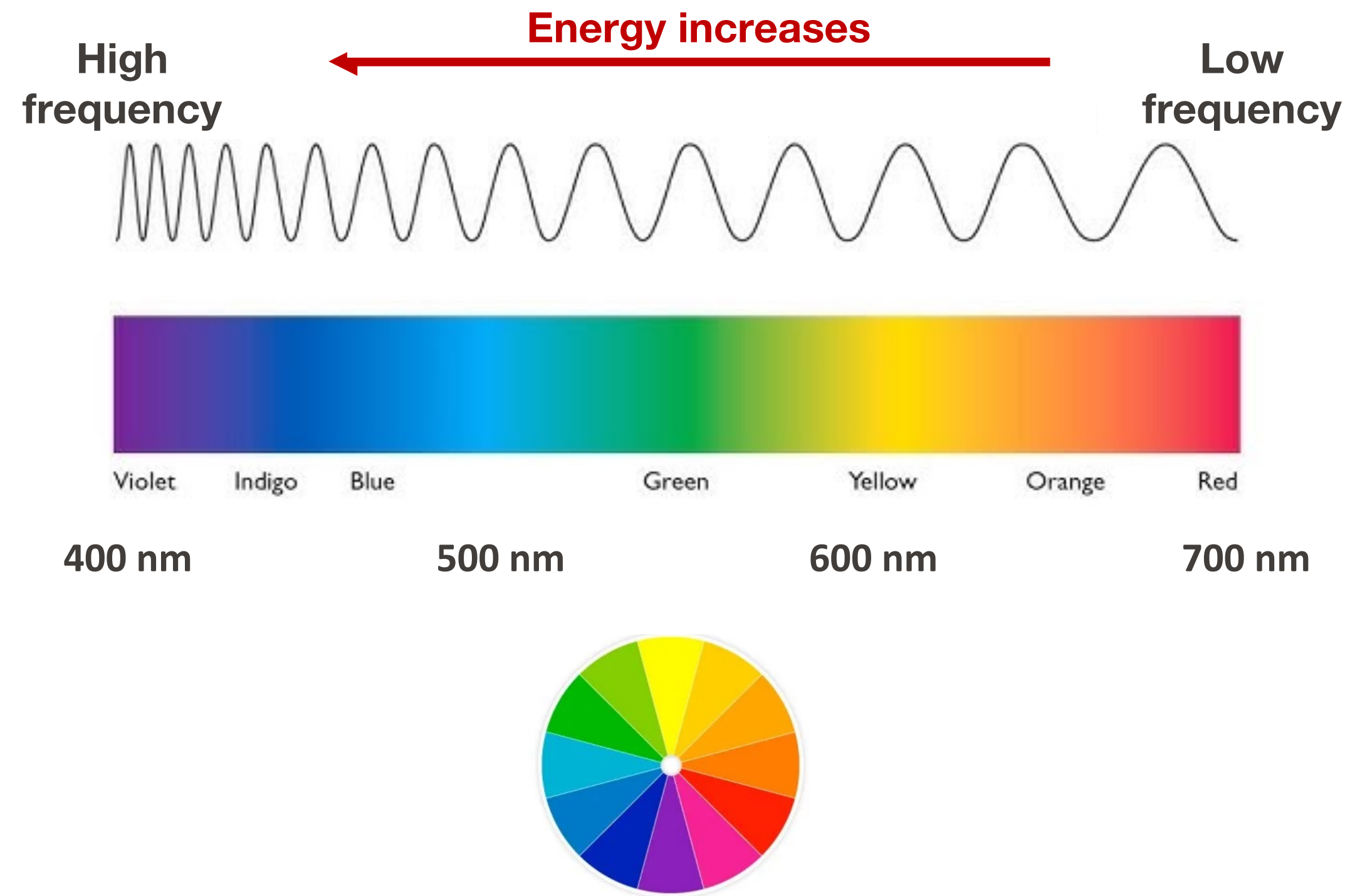
Relative contributions of absorption and scattering determined by size and shape of plasmonic particles

Visible Part of the Electromagnetic Spectrum



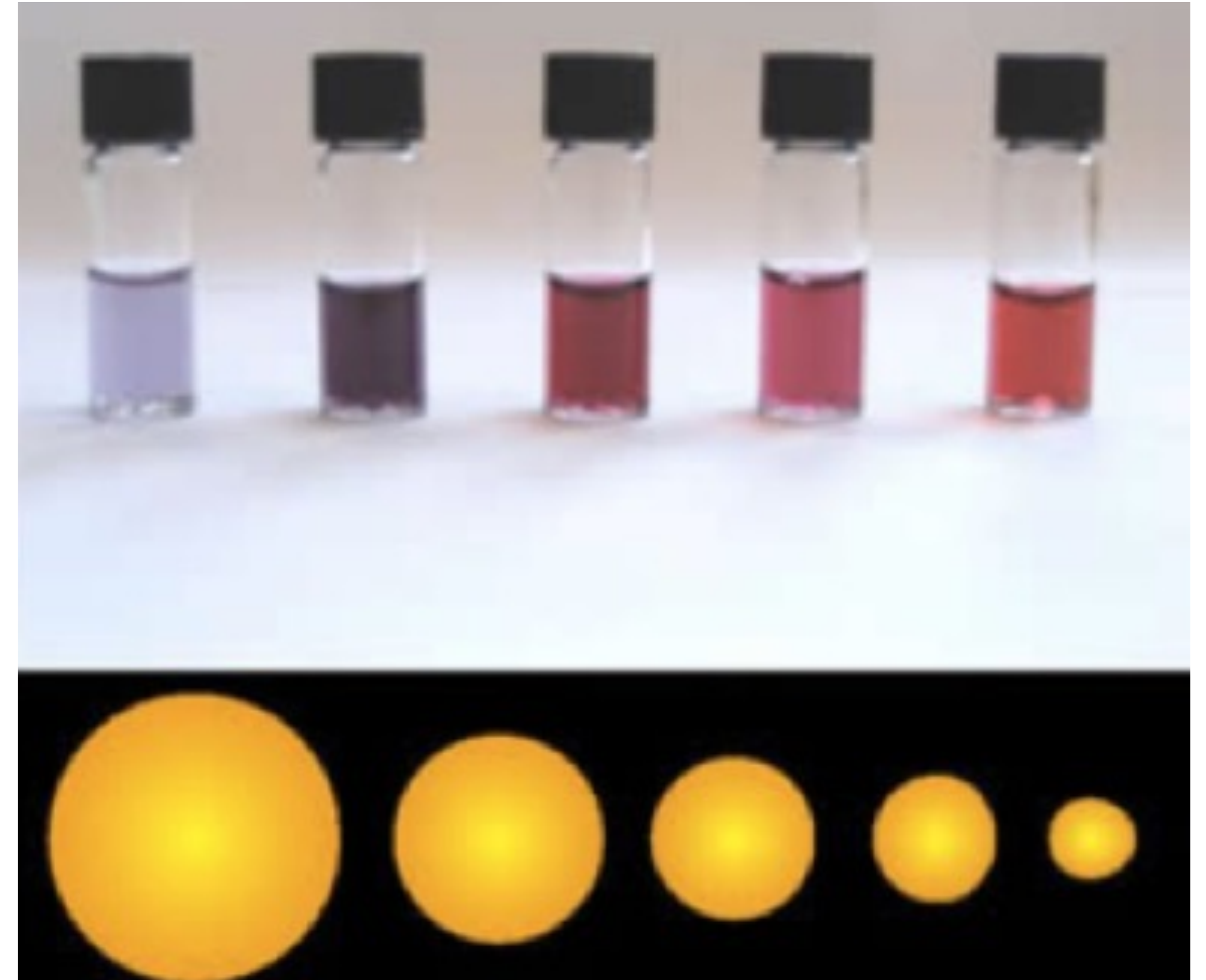
$$f = \frac{c}{\lambda}$$

How We “See” Different Colored Nanoparticles

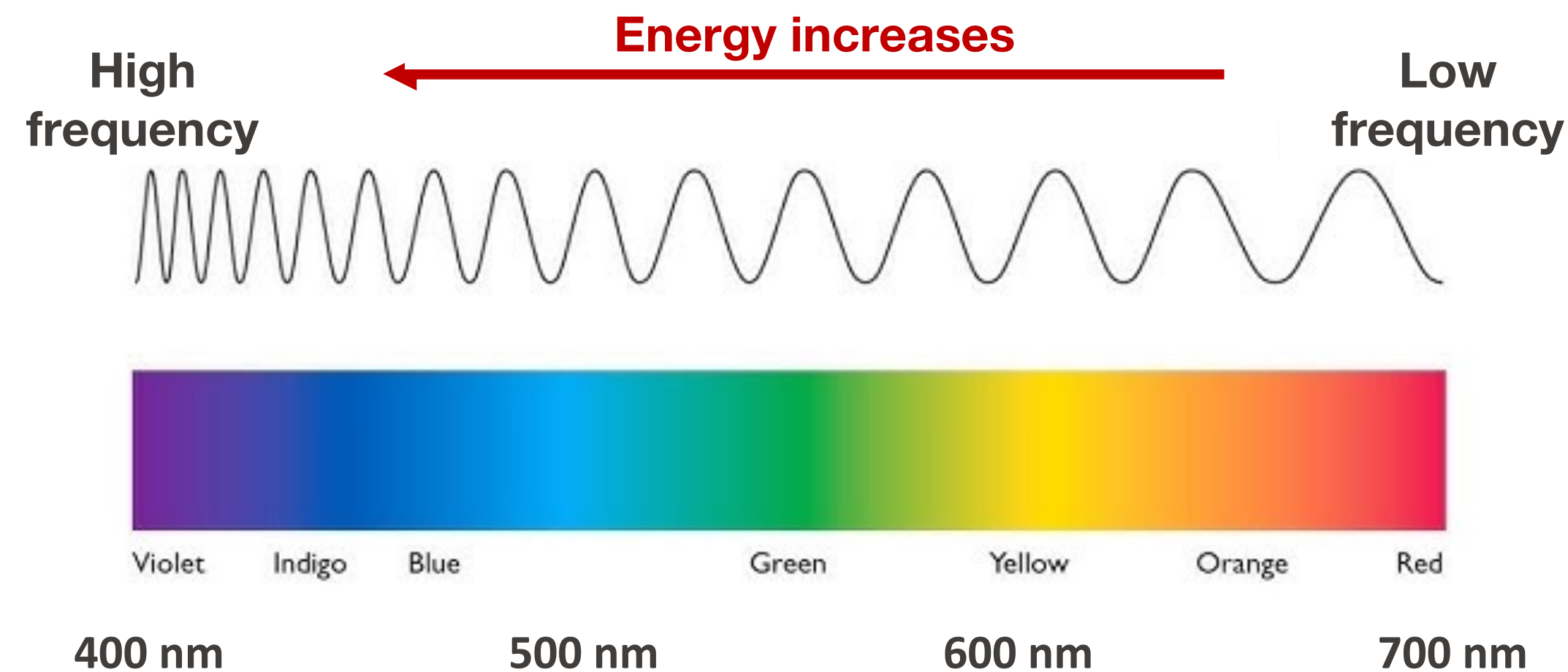


Small gold nanoparticles (10-20 nm) have plasmon resonance around 520 nm (green) leading to red color

Larger gold nanoparticles (50-100 nm) have plasmon resonance around 650 nm (orange light) leading to purple/blue color

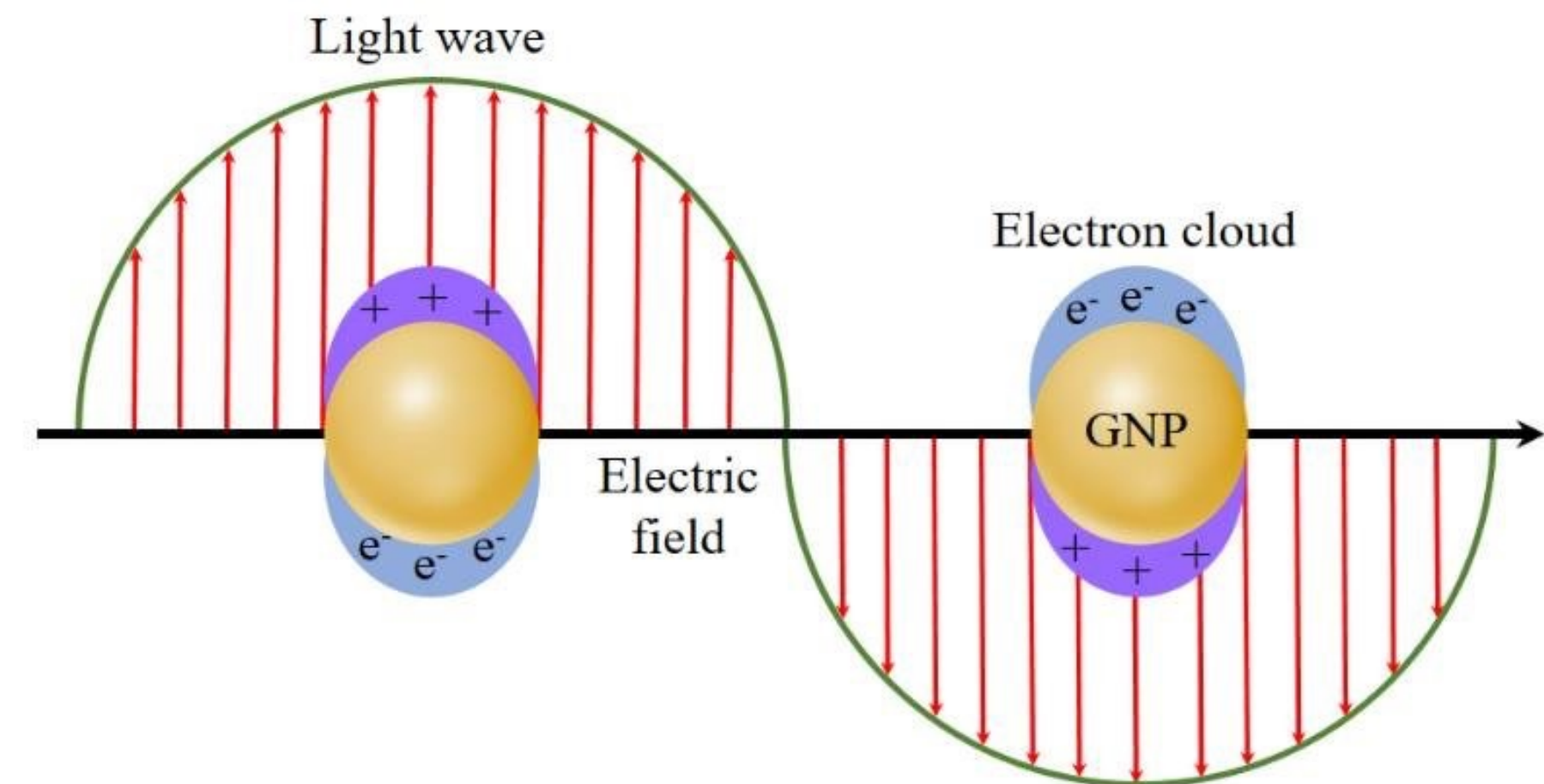


How We “See” Different Colored Nanoparticles



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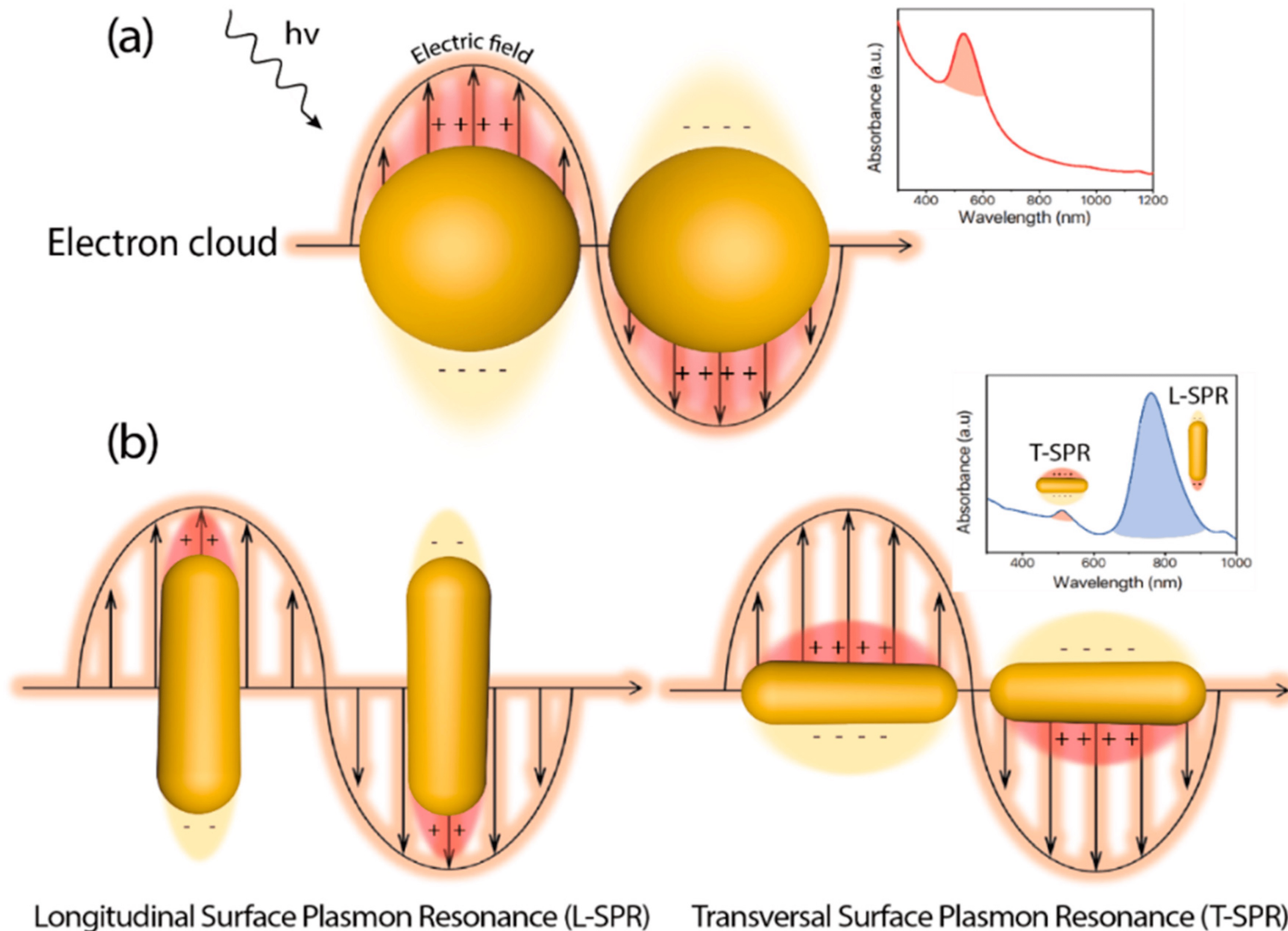
Smaller nanoparticles have less conduction electrons that can oscillate collectively (lower polarizability)

Electron cloud is more tightly confined
→ shorter plasmon wavelength

Larger nanoparticles have more conduction electrons that can oscillate collectively (higher polarizability)

Electron cloud is less tightly confined
→ longer plasmon wavelength

Broader Color Range with Asymmetric Nanostructures



While nanoparticles are symmetric, **nanorods** are anisotropic (different resonant frequencies depending on aspect ratio leading to multiple plasmon resonances)

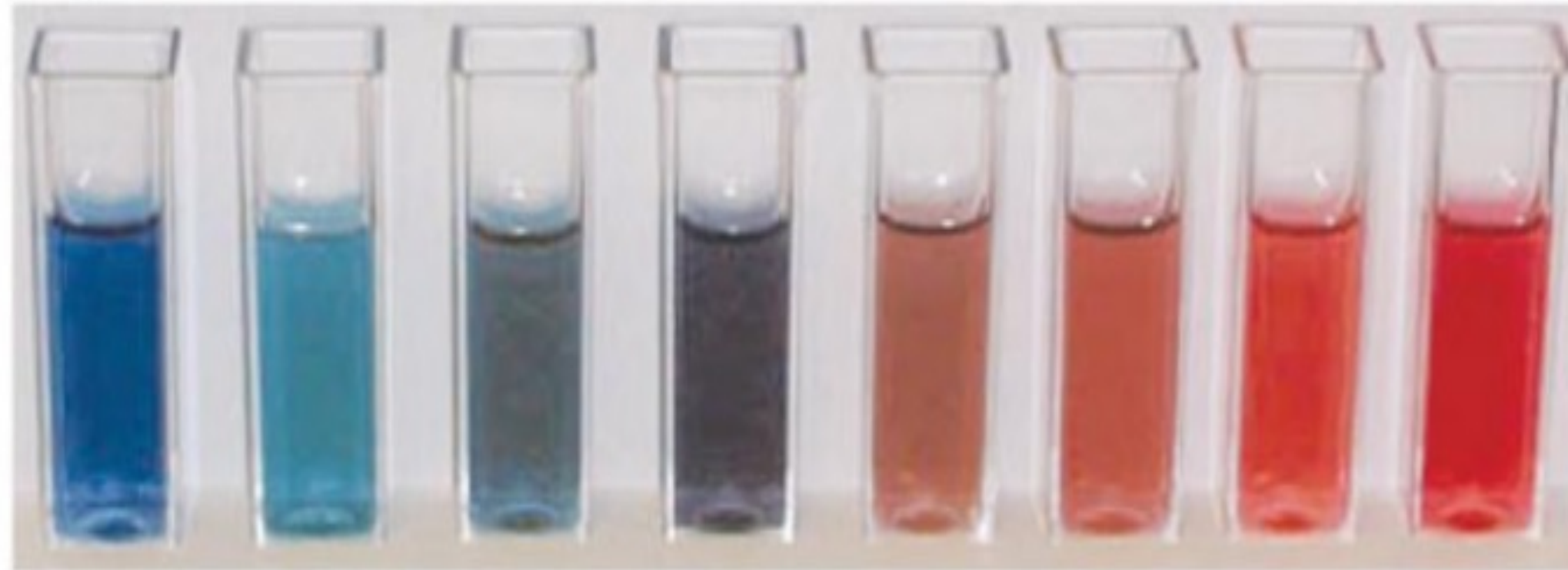
Broader Color Range with Asymmetric Nanostructures

Nanorods



50 nm

aspect ratio



Nanoshells



140 nm



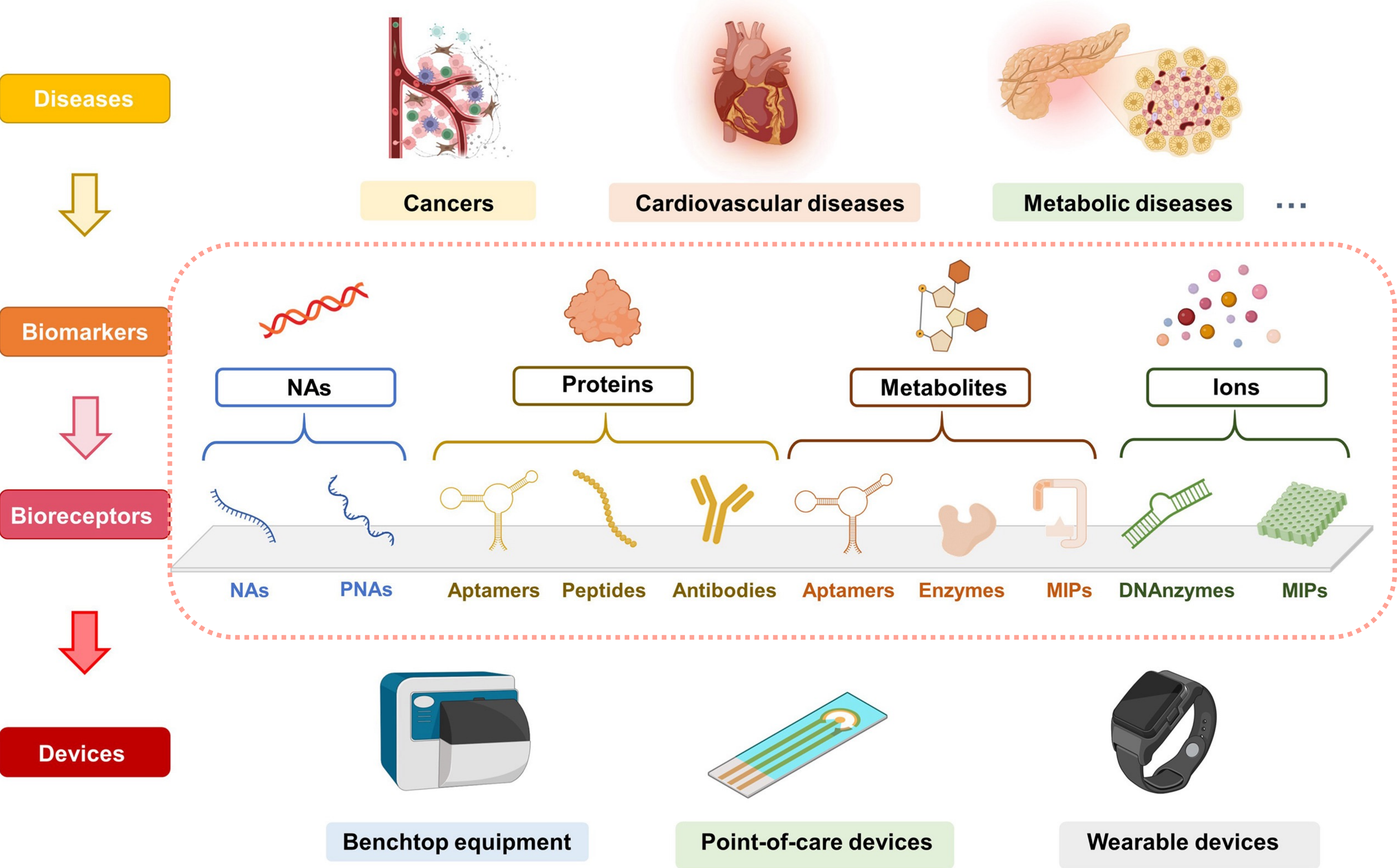
shell thickness



While nanoparticles are symmetric, **nanorods** are anisotropic (different resonant frequencies depending on aspect ratio leading to multiple plasmon resonances)

Nanoshells have inner and outer plasmon modes with tunable optical properties based on shell thickness

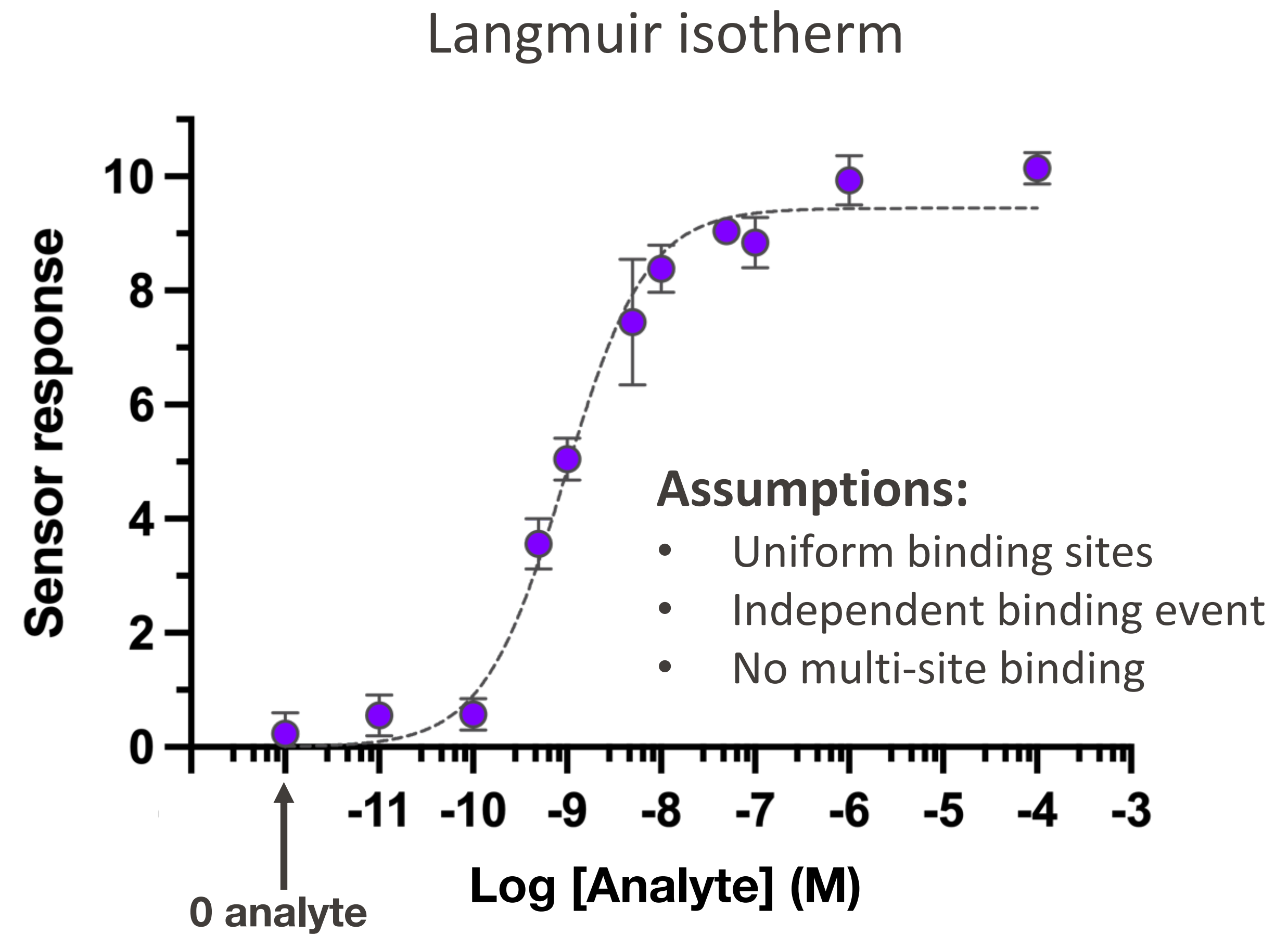
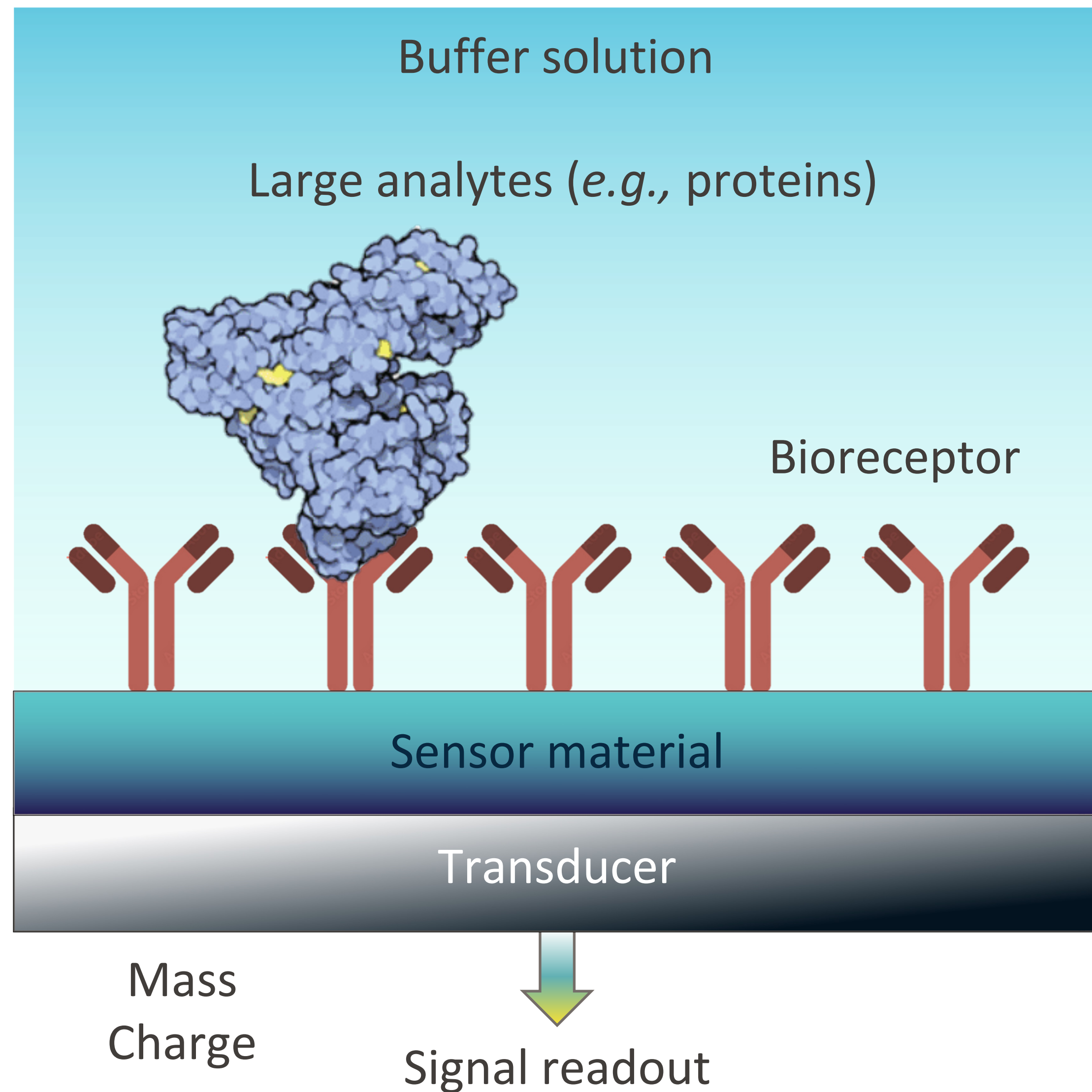
Biosensors For Human Health



What are Bioreceptors?

How does molecular recognition work?

How to Read Out the Signal of a Biosensor

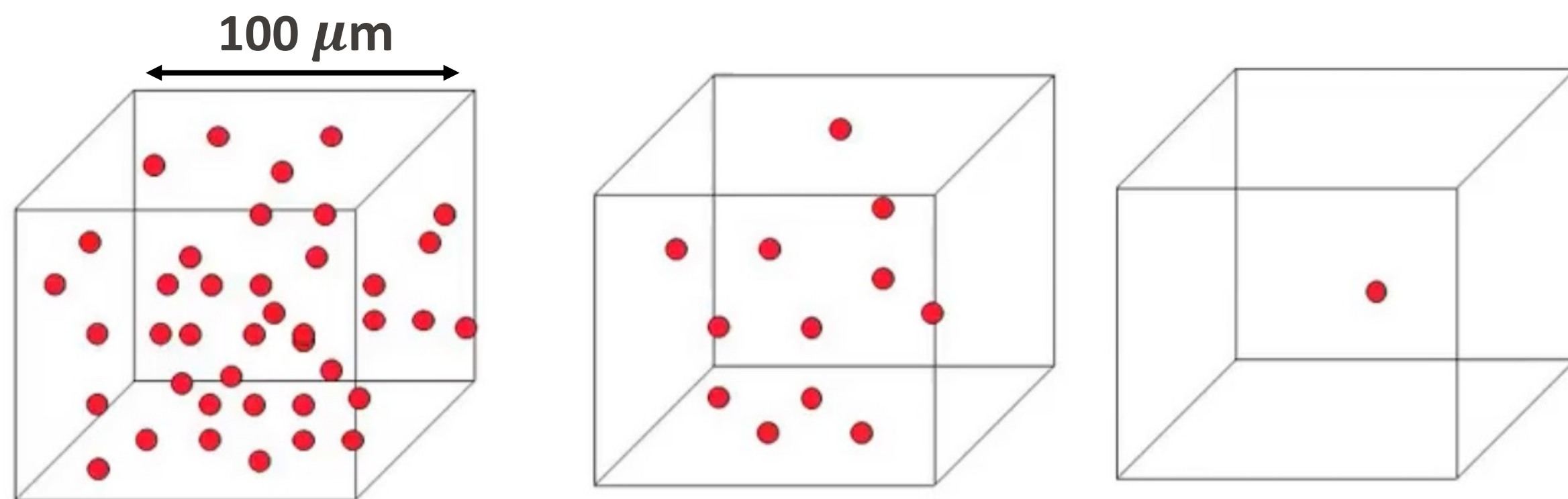


Concentration – What Do these Values Mean?

$$1 \text{ M} = 1 \text{ mol/L} = 6 \times 10^{23} \text{ molecules/L}$$

Note: Avogadro's number: 6×10^{23} molecules/mol

$$1 \text{ M} \sim 1 \times 10^{15} \text{ molecules/} 100 \mu\text{m}^3$$

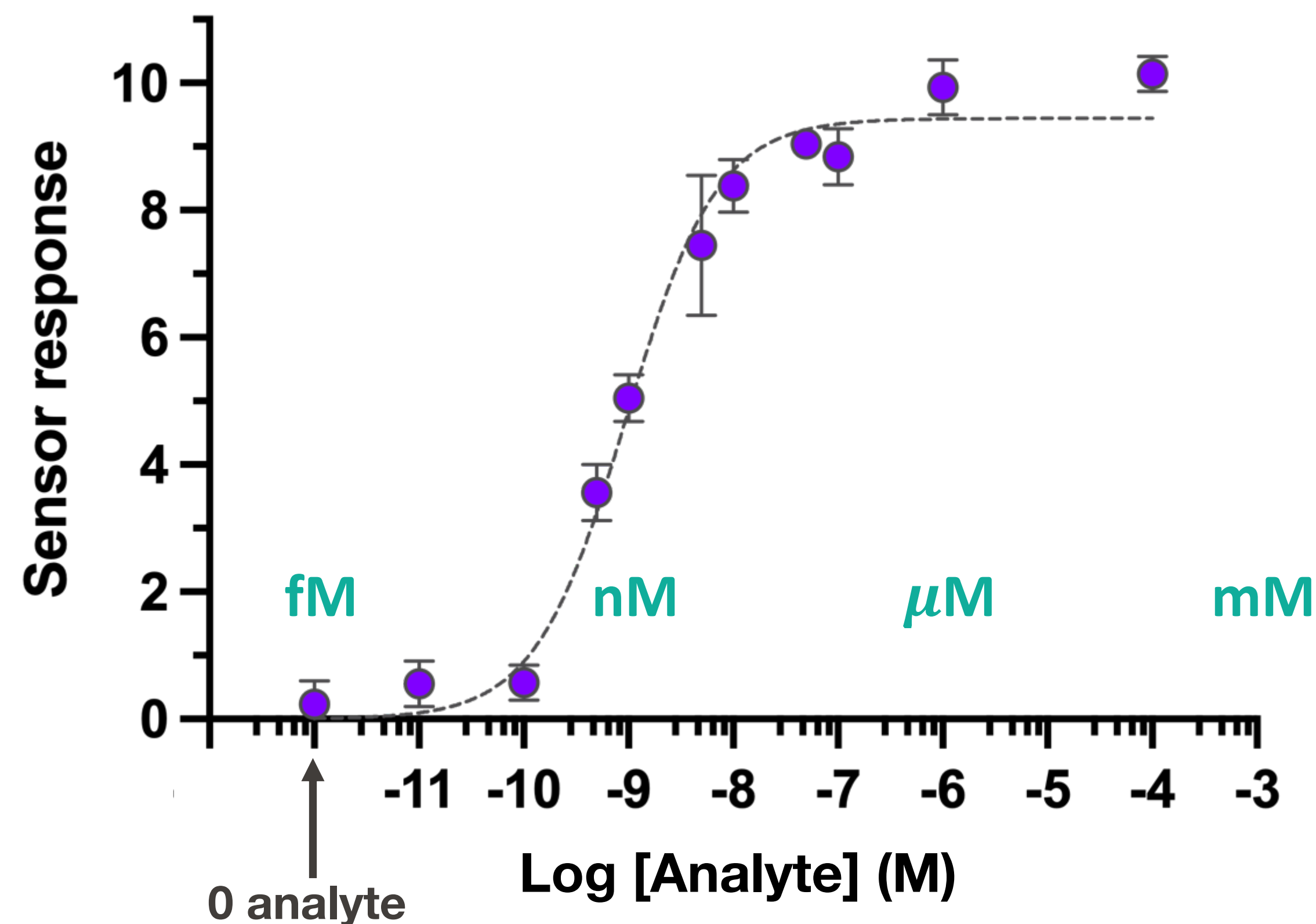


1 μM ~1 billion

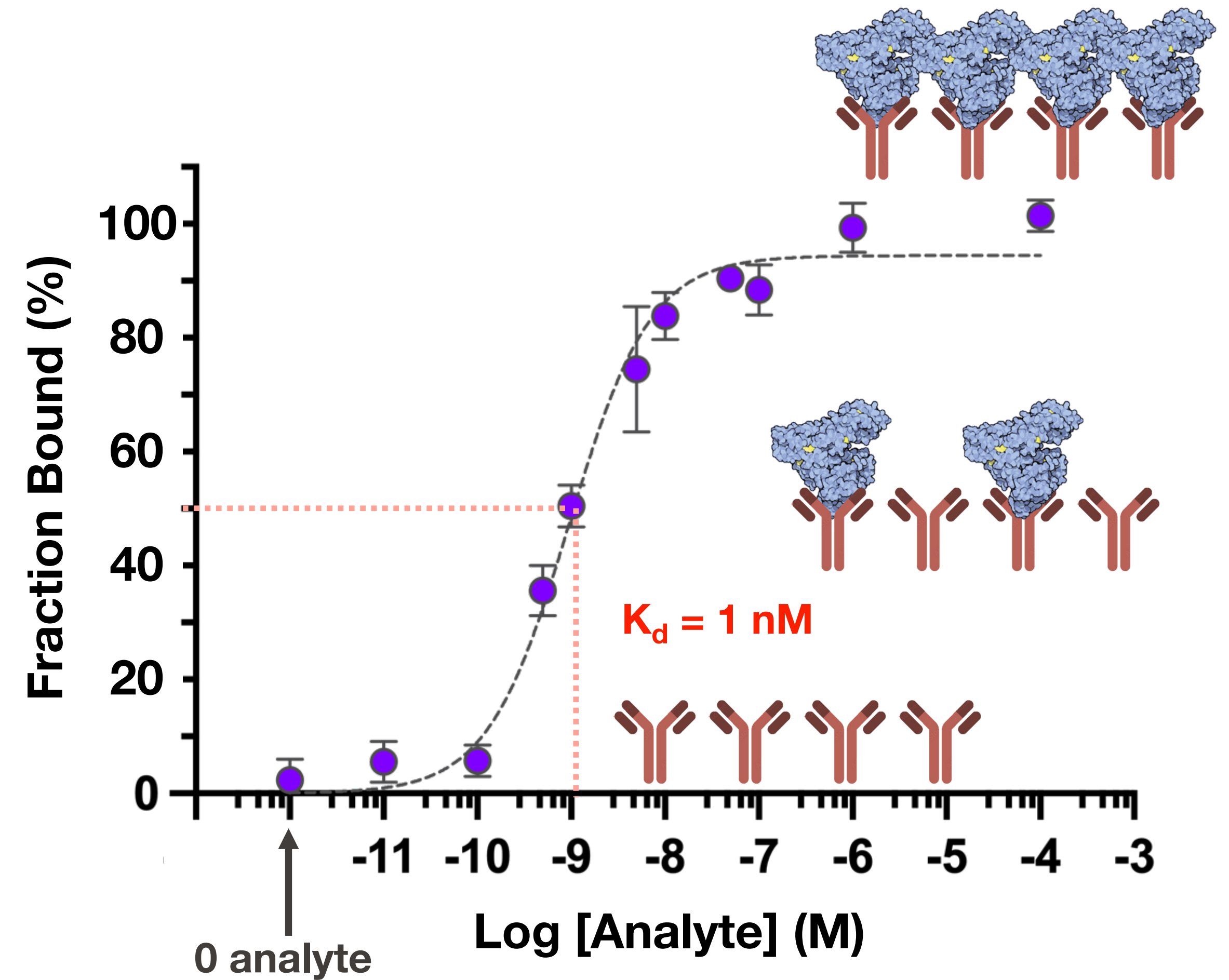
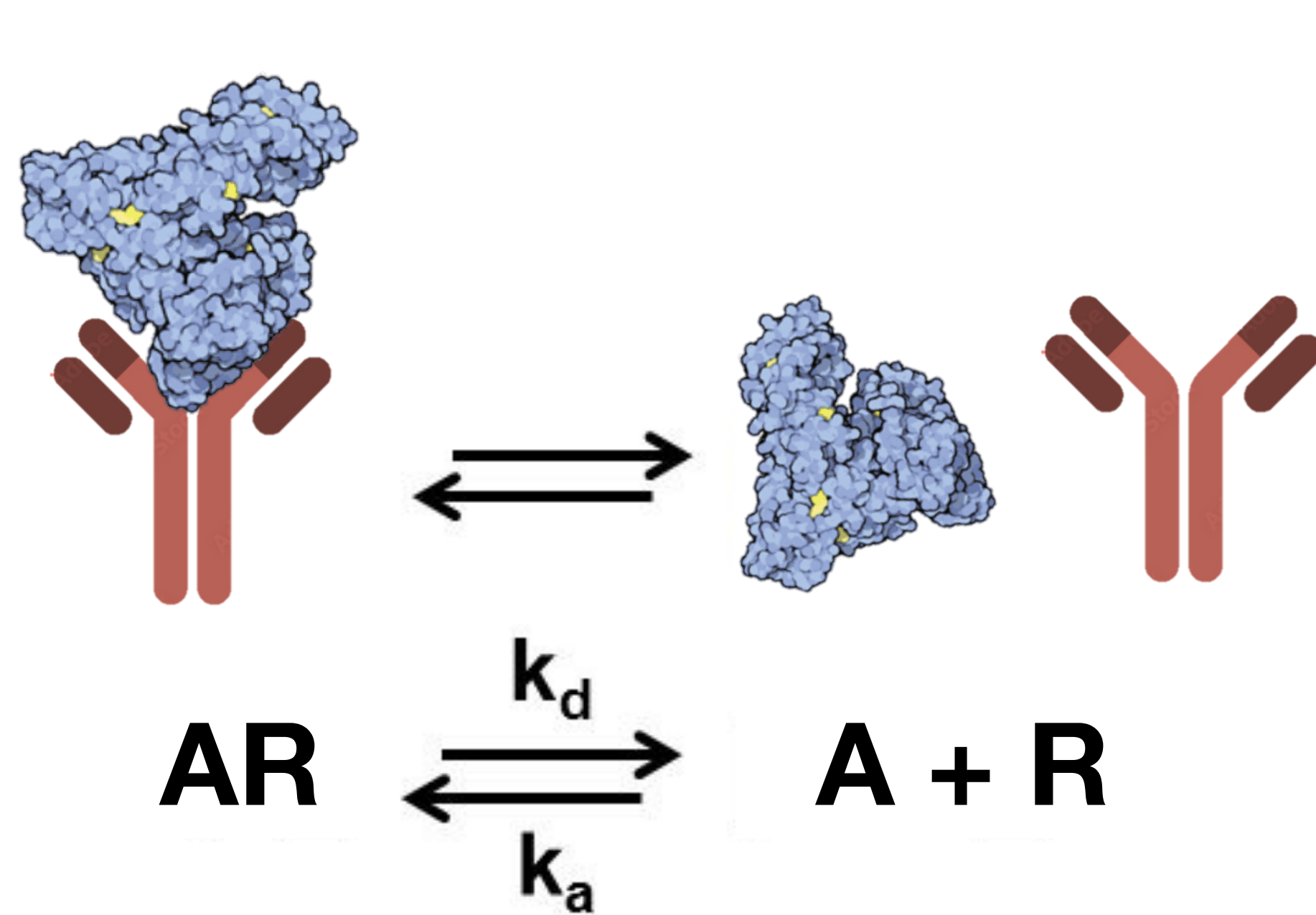
1 pM ~1000

1 fM ~1

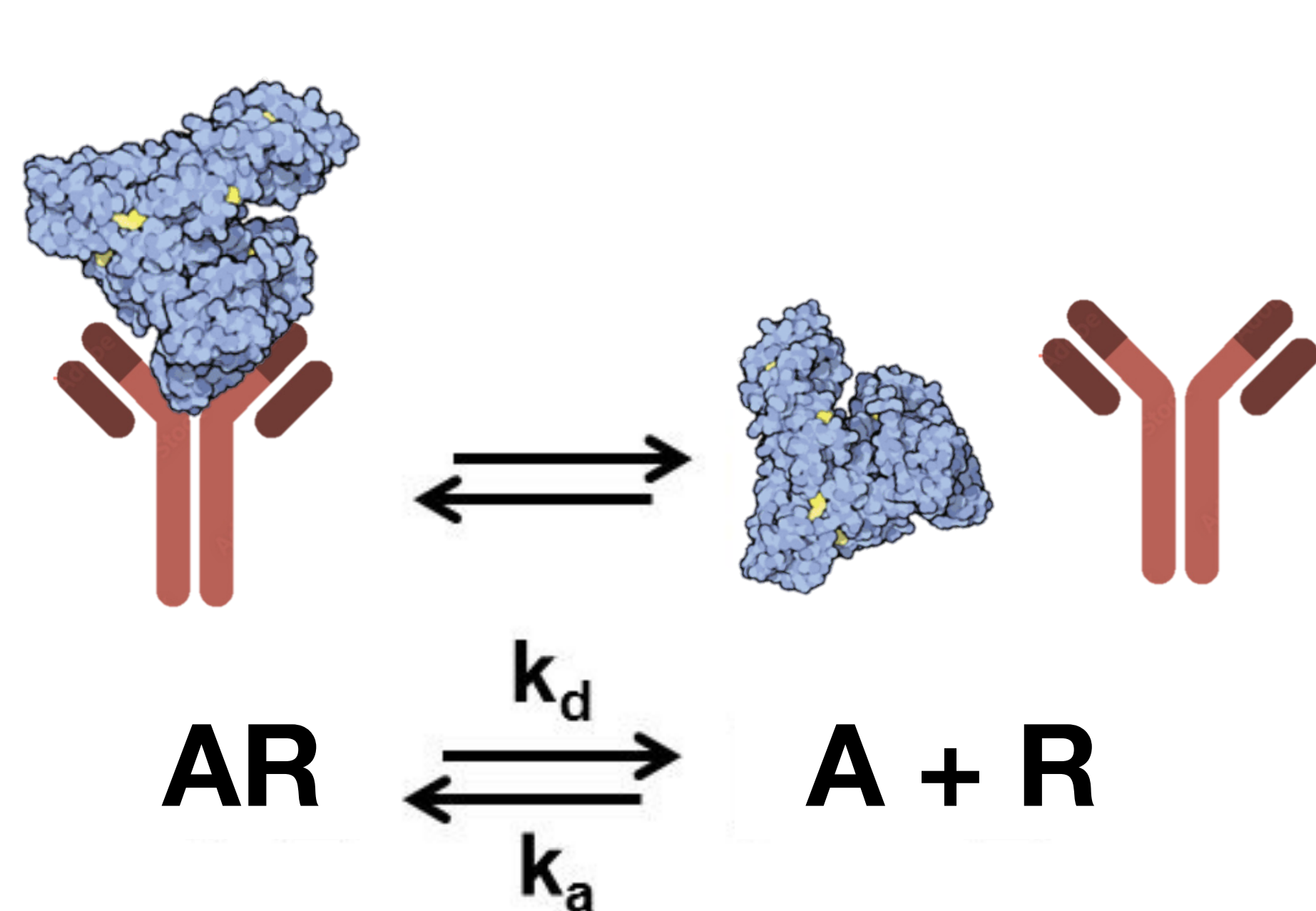
Single molecule of salt in
several Olympic-sized
swimming pools!



How to Read Out the Signal of a Biosensor



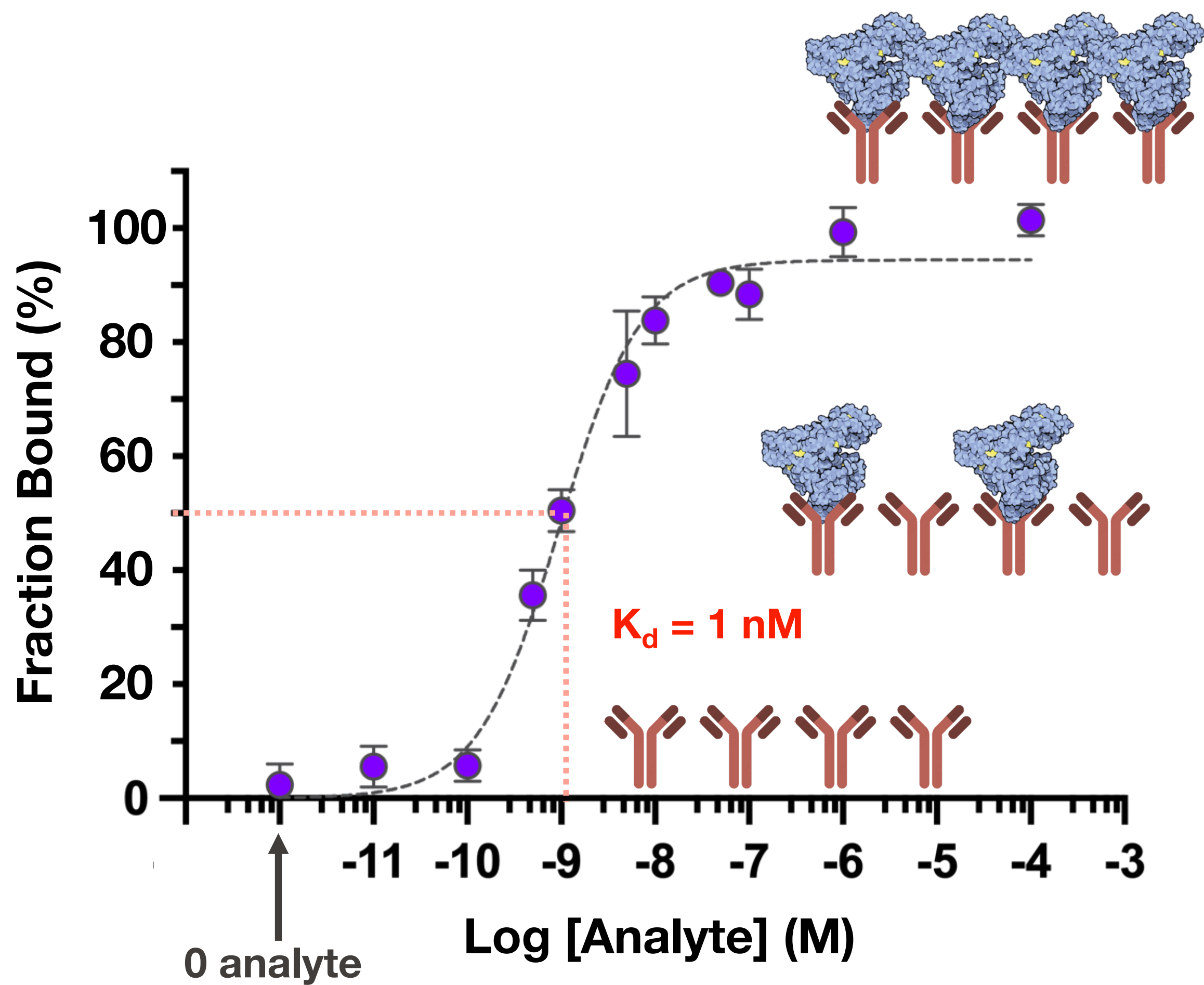
How to Calculate the Equilibrium Binding Affinity (K_d)



Fractional occupied receptor density

$$\phi = \frac{[AR]}{[R_0]}$$

Target-bound receptors
Total number of receptors

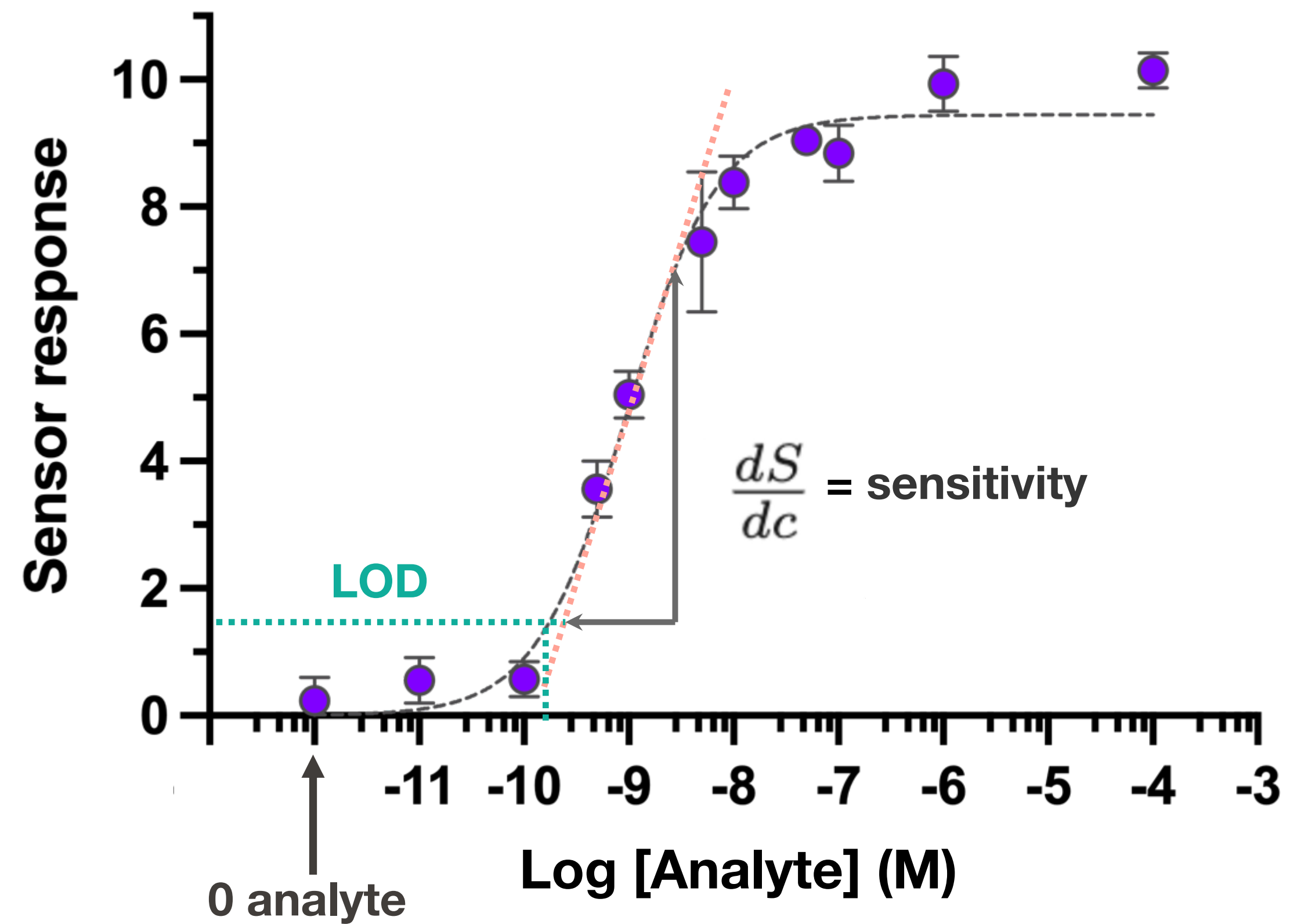
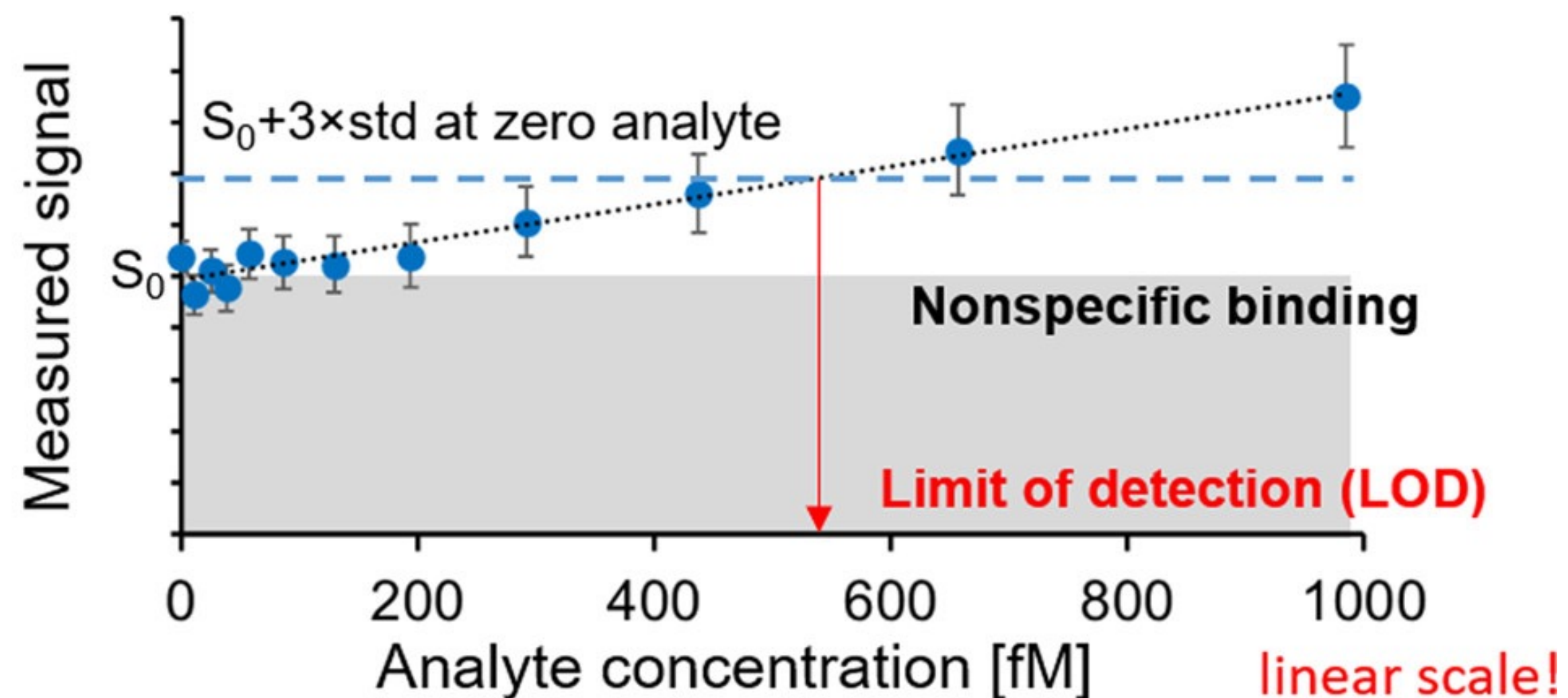


How to Calculate the Sensitivity and Limit of Detection (LOD)

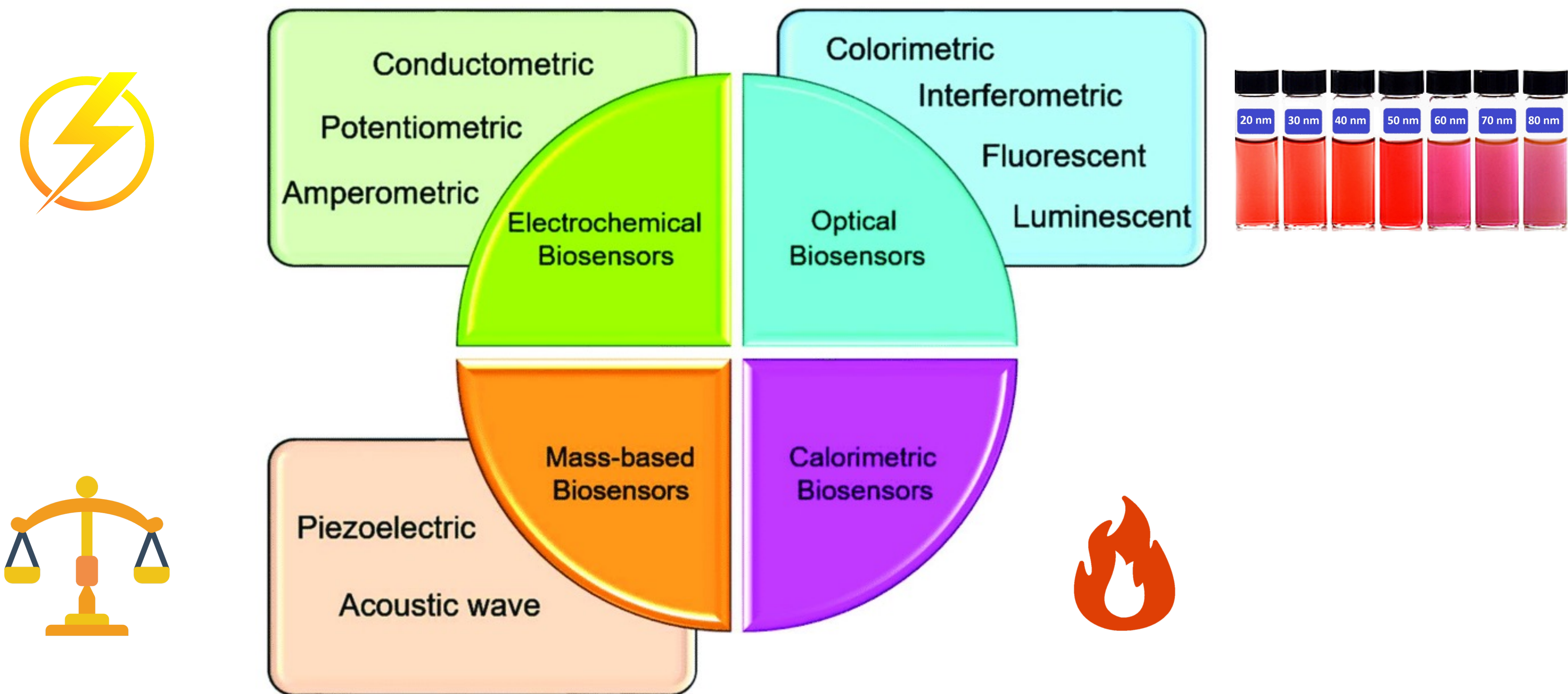
$$\text{LOD} = \frac{3\sigma_{S_0}}{\frac{dS}{dc}}$$

3 x standard deviation of zero analyte signal

Sensitivity = Slope of the signal



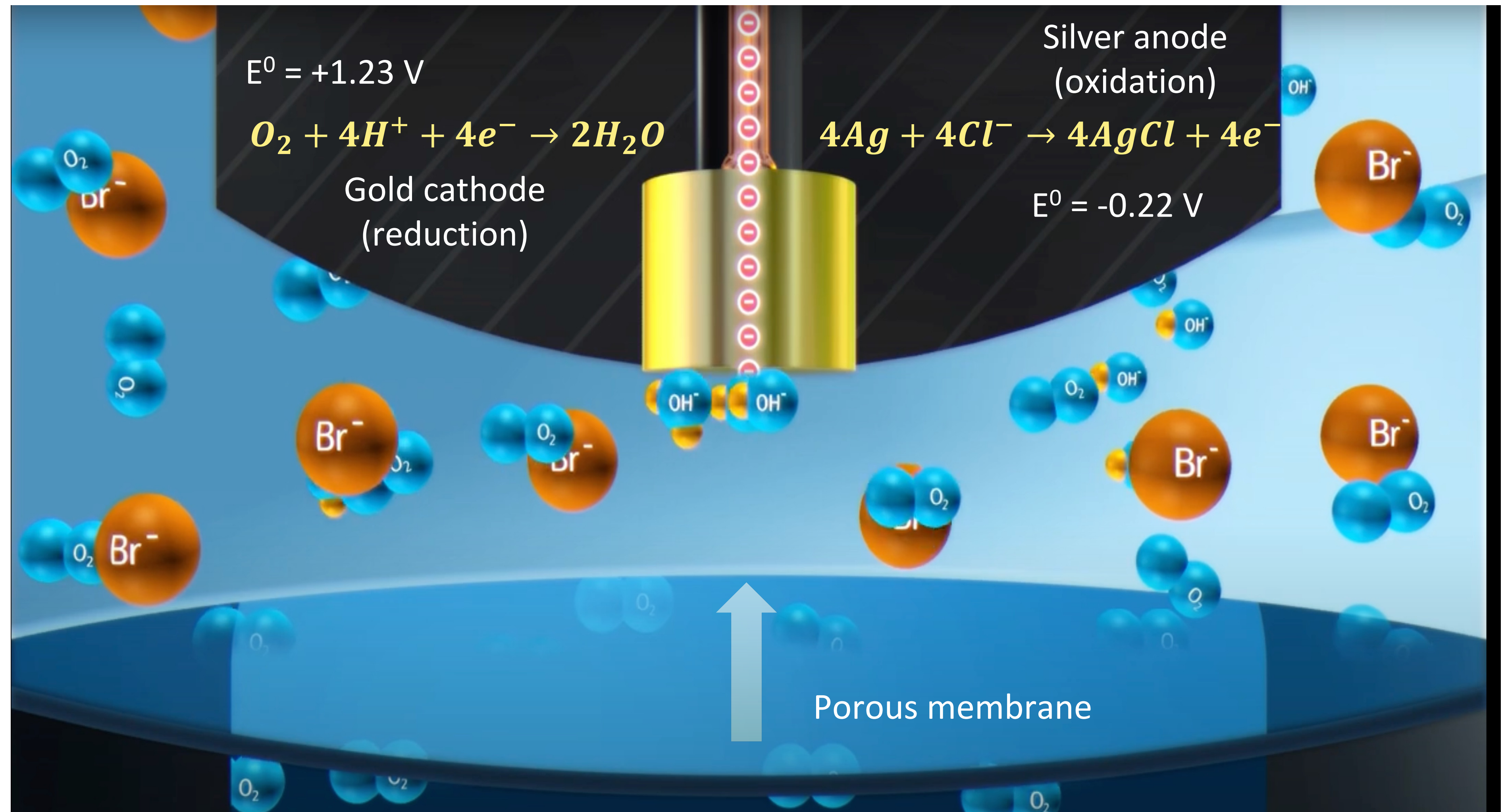
Different Signal Transduction Mechanisms of Biosensors



Clark Electrode Measures Ambient Oxygen Partial Pressure

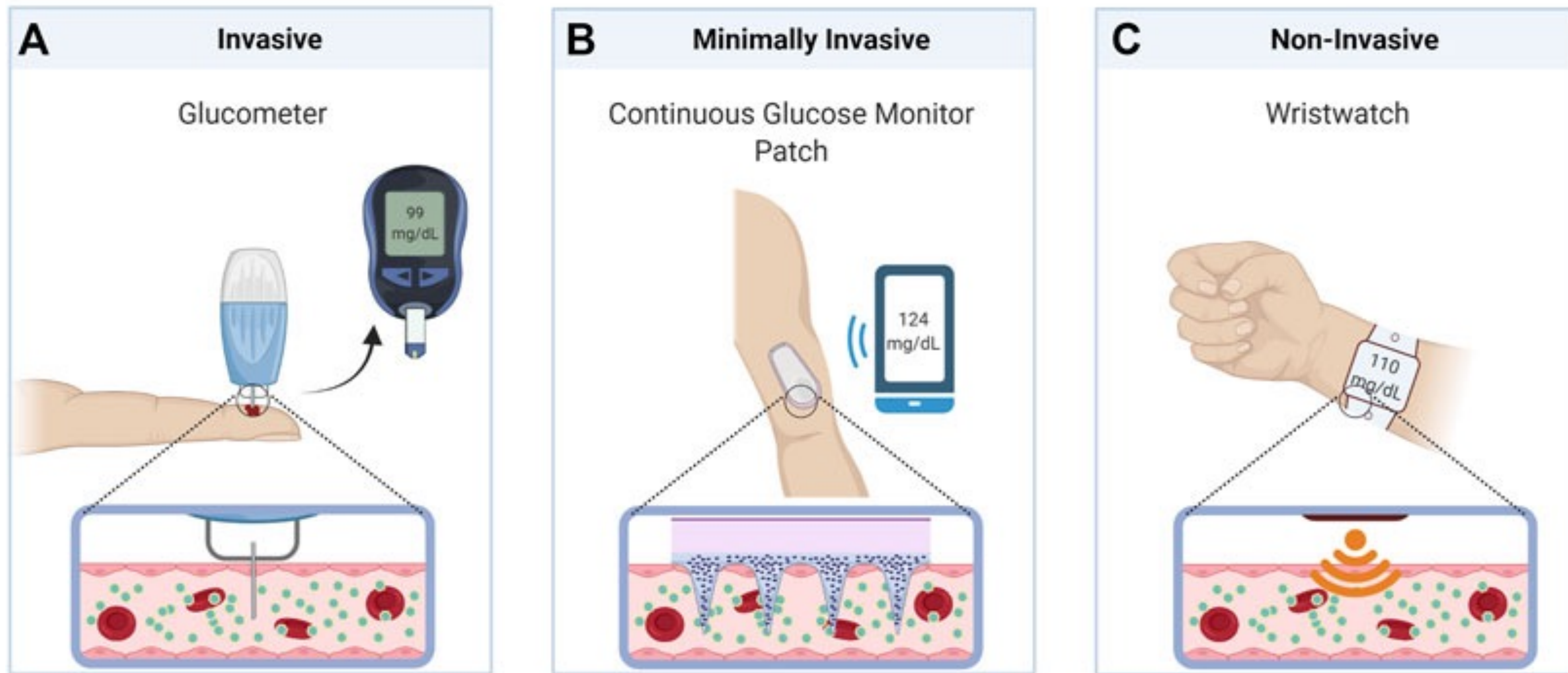
Redox processes are highly favorable so **spontaneous process**

Electron flow between electrodes generates current directly proportional to the partial pressure of oxygen in the sample



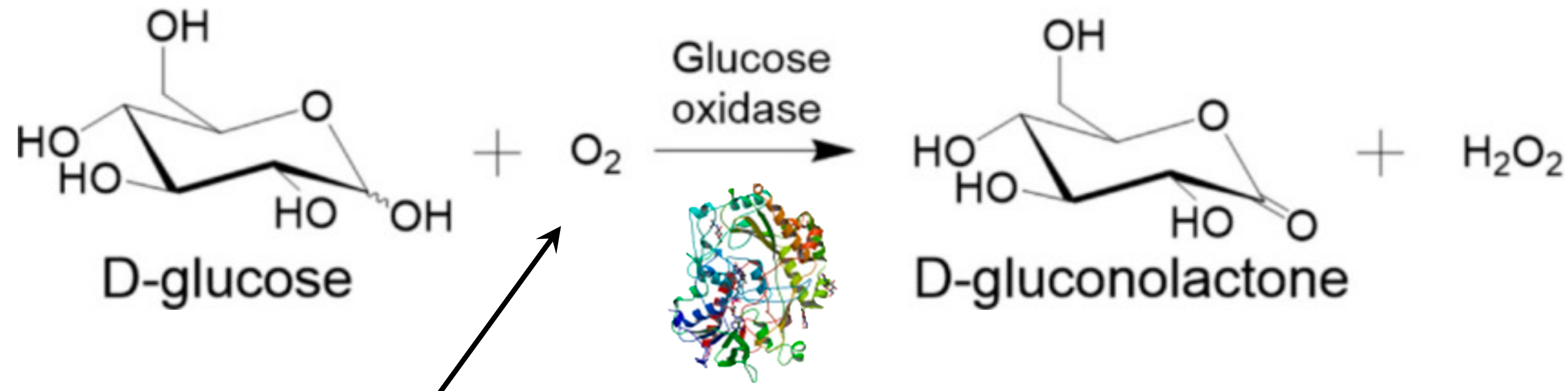
This work became the basis for the first glucose sensor

Glucose Biosensor – Transformative for Human Health



Todaro *et al.*, *Front. Chem.*, 10, 2022

Glucose Sensor – Detection of Hydrogen Peroxide as Readout



Monitor decrease in O_2 as proxy for glucose

Lack of **sensitivity** – small signal change since O_2 levels high in biofluids

Lack of **specificity** – O_2 sensors influenced by changes unrelated to glucose (respiratory, temperature)

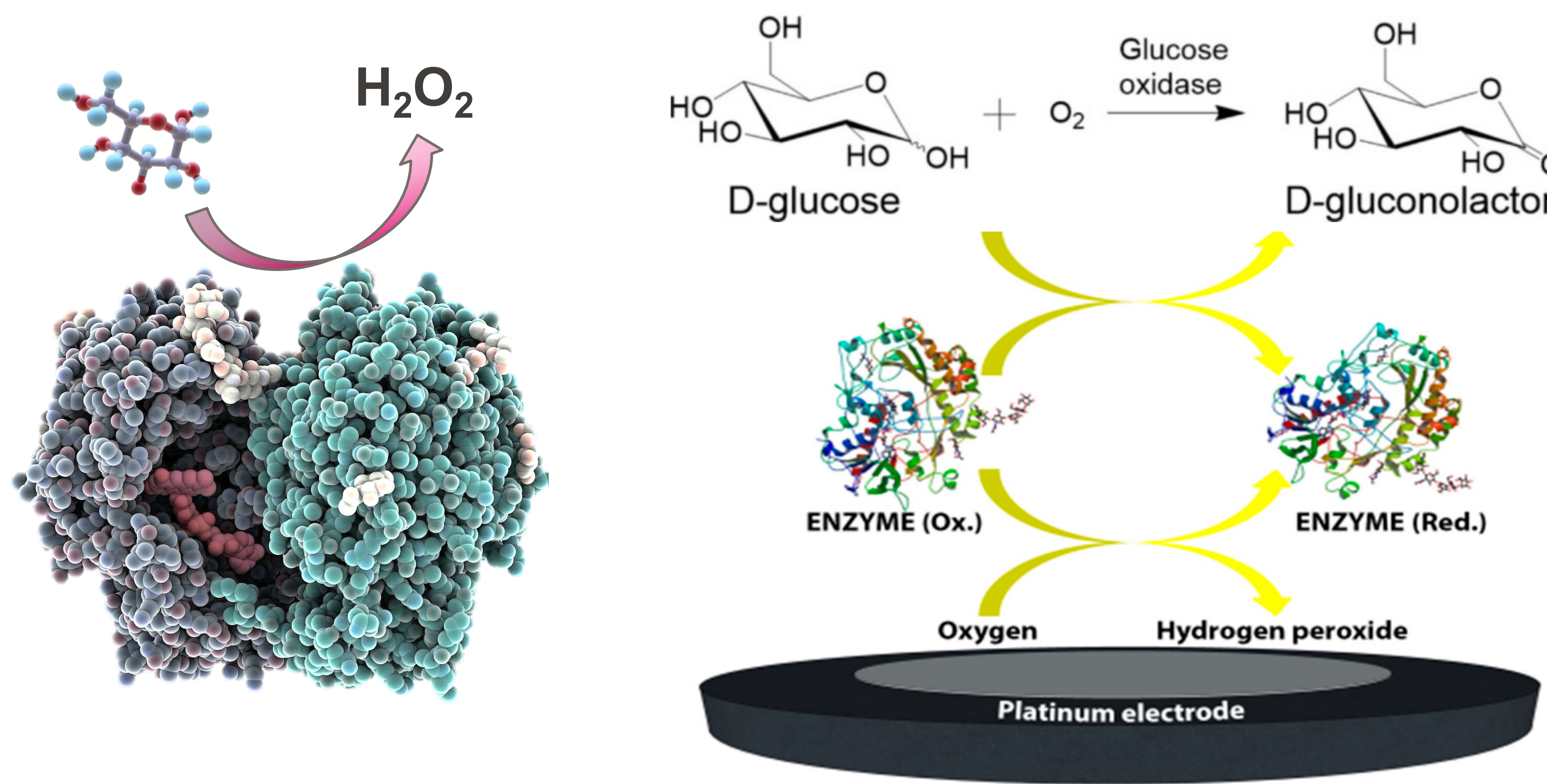
Monitor increase in H_2O_2 as proxy for glucose

Higher **sensitivity** – H_2O_2 not abundant in the sample prior to enzymatic reaction

Higher **specificity** – H_2O_2 is direct product of enzymatic reaction

$$S = \frac{\Delta \text{Signal}}{\Delta \text{Concentration}}$$

Success of the Glucose Biosensor – Robust System



BLOOD GLUCOSE LEVELS CHART

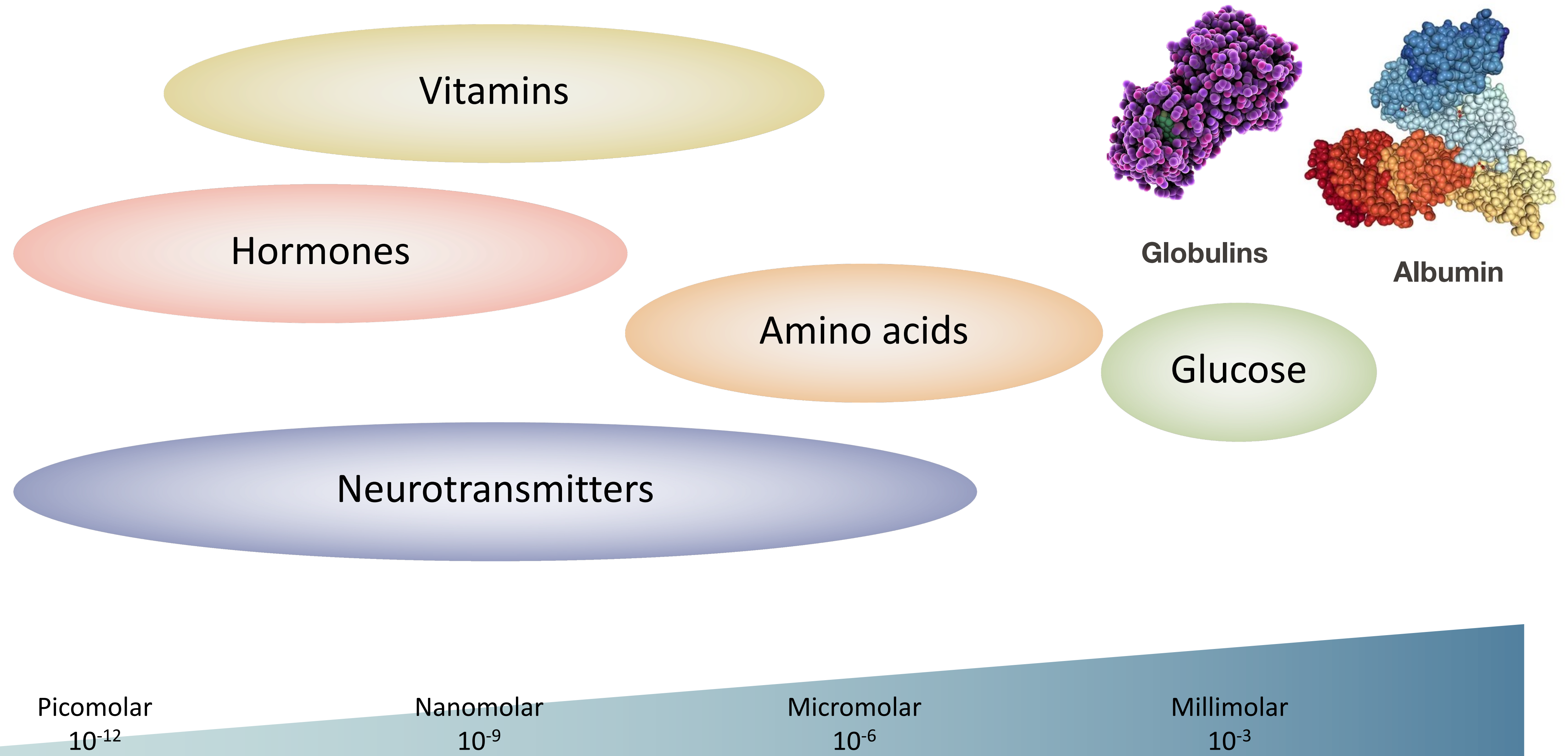
LEVEL	mg/dl	mmol/L	RISK	SUGGESTED ACTION
DANGER - HIGH	315+	17.4	VERY HIGH	MEDICAL ATTENTION
HIGH	280	15.6	HIGH	MEDICAL ATTENTION
HIGH	250	13.7	HIGH	MEDICAL ATTENTION
HIGH	215	11	HIGH	MEDICAL ATTENTION
BORDERLINE	180	10	MEDIUM	CONSULT DOCTOR
BORDERLINE	150	8.2	MEDIUM	CONSULT DOCTOR
BORDERLINE	120	7	MEDIUM	CONSULT DOCTOR
NORMAL	108	6	NO RISK	NO ACTION NEEDED
NORMAL	72	4	NO RISK	NO ACTION NEEDED
LOW	70	3.9	MEDIUM	CONSULT DOCTOR
DANGER - LOW	50	2.8	HIGH	MEDICAL ATTENTION

Highly specific and robust enzyme

Detection window for H_2O_2 doesn't overlap with other species in blood

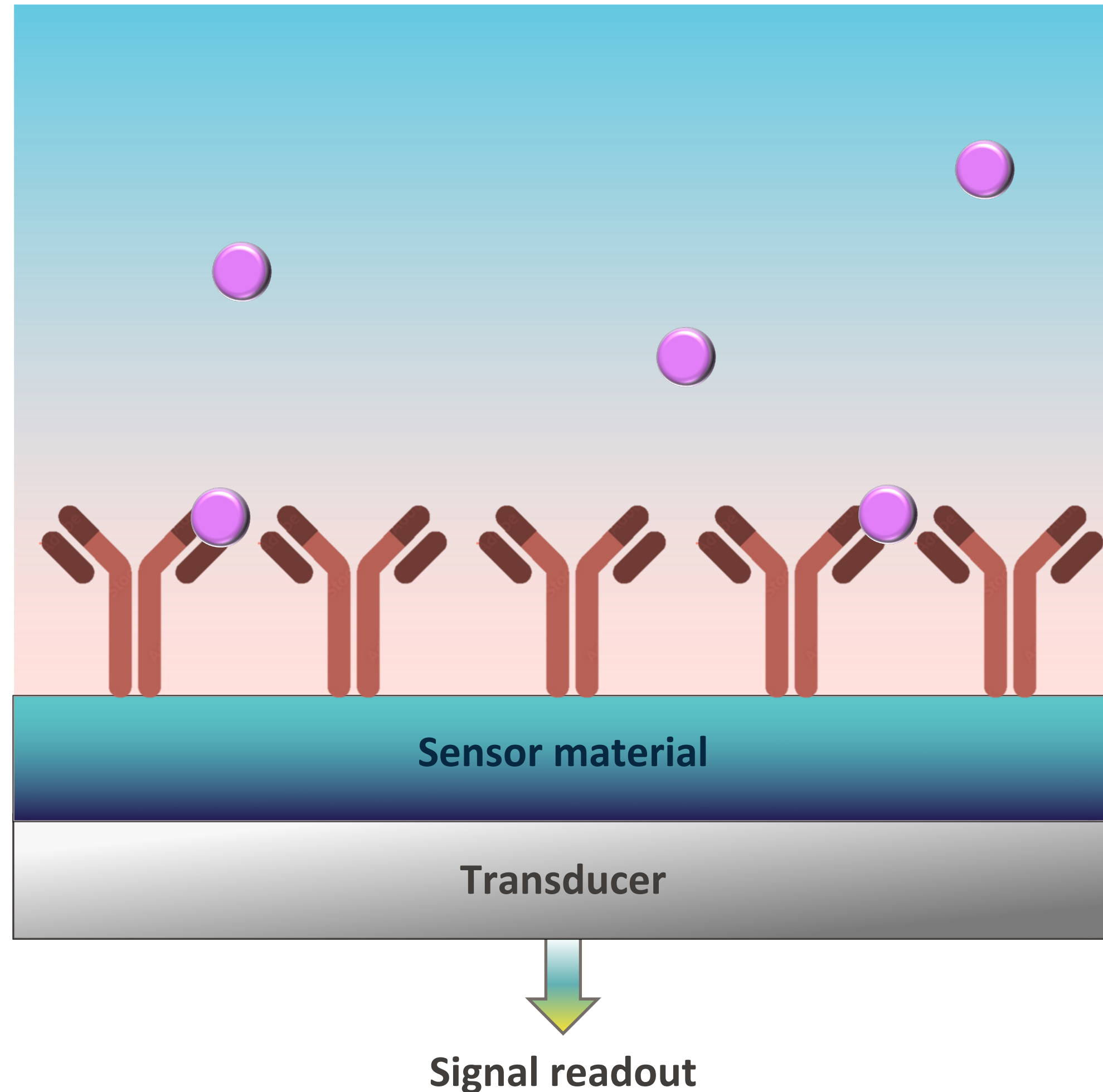
Clear values associated with healthy and disease states (diabetes)

Success of the Glucose Biosensor – Concentration Range

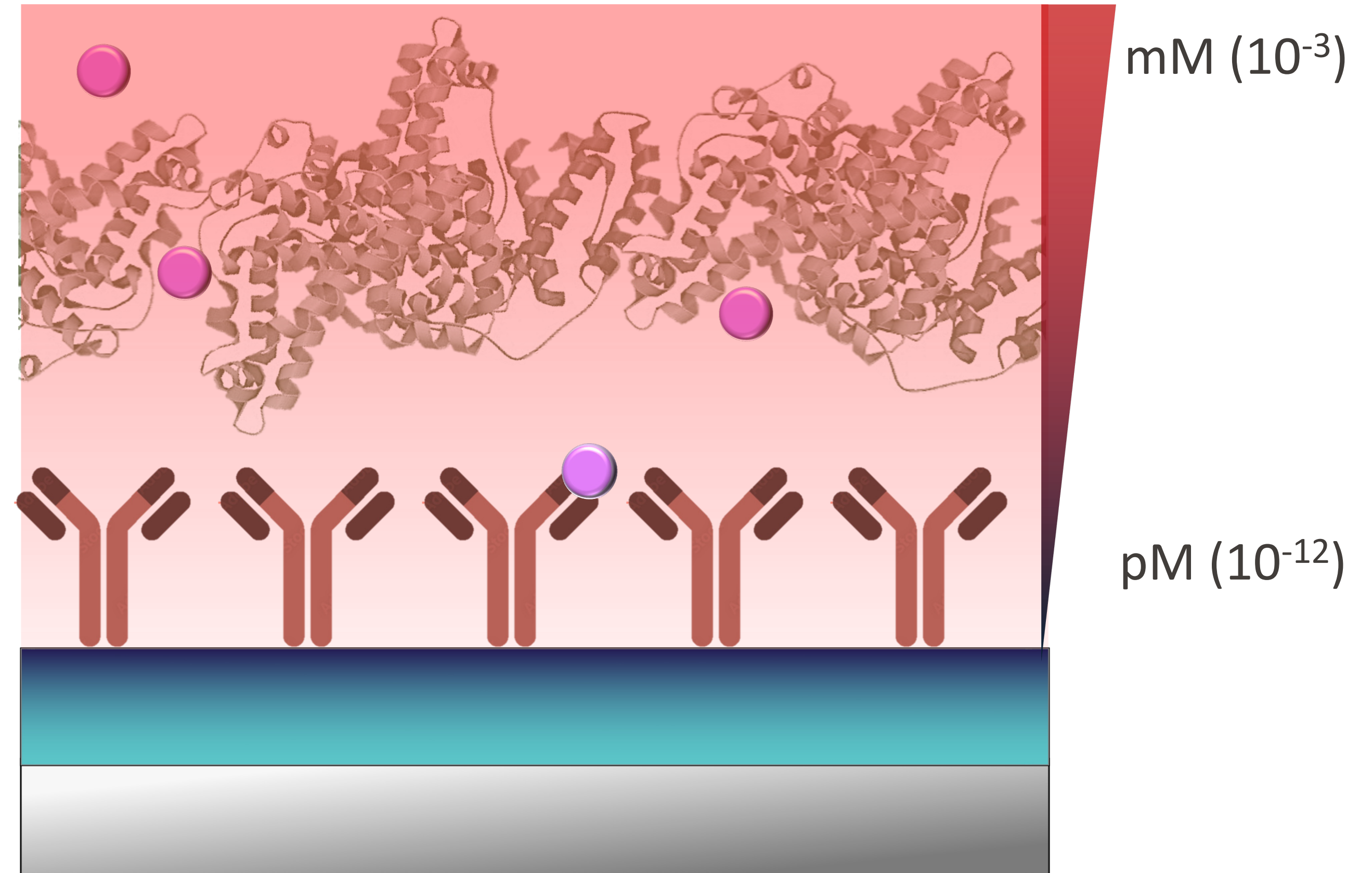


1. Nonspecific Binding (NSB) in Complex Environments

Expectation (phosphate buffered saline)

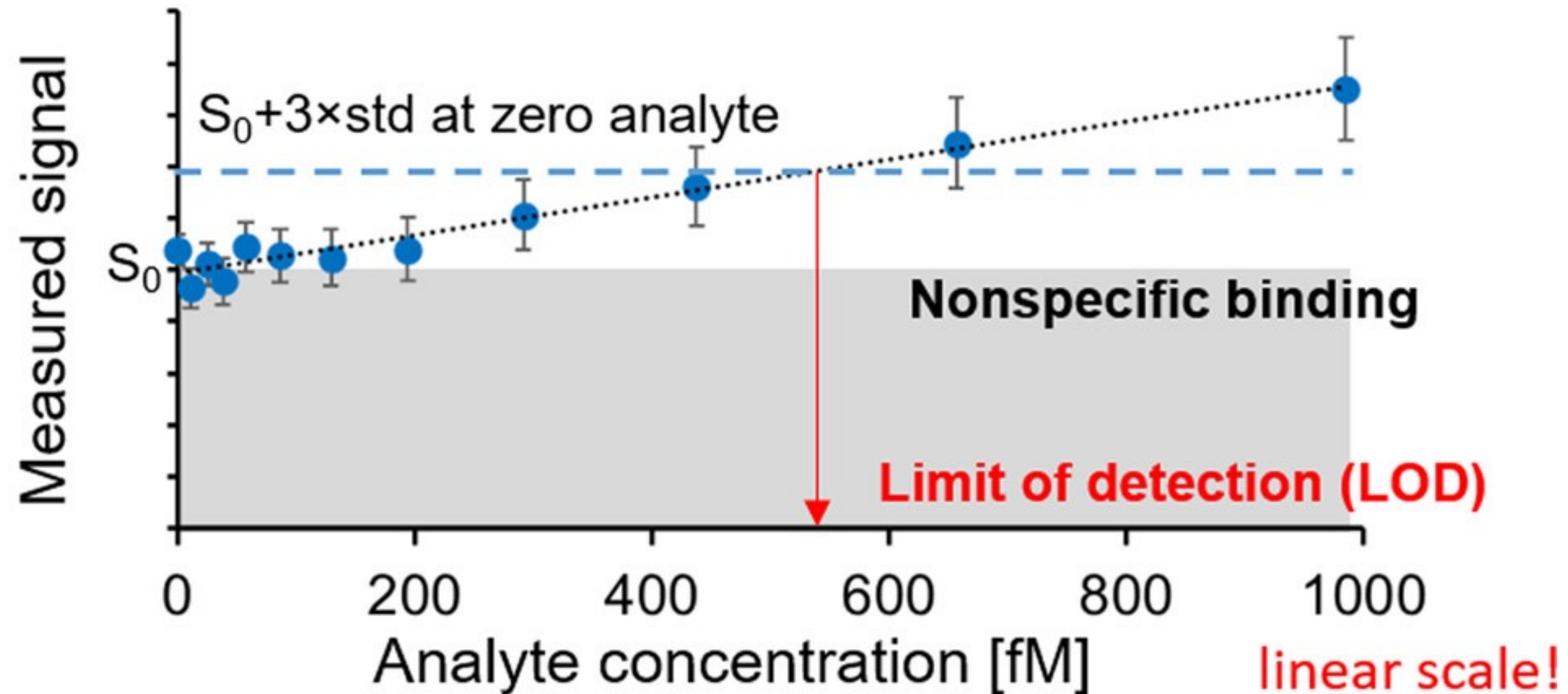


Reality (biofluids such as blood)



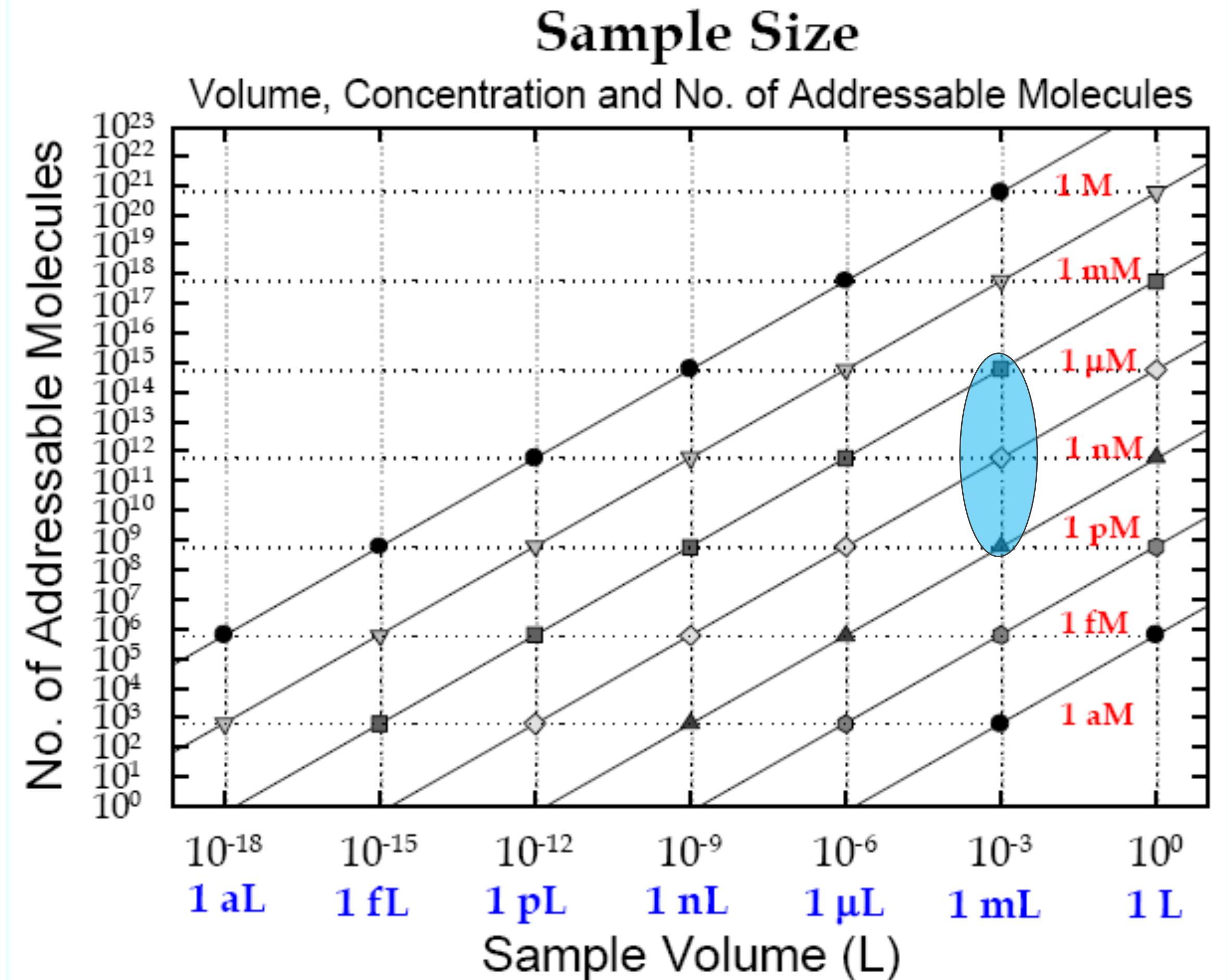
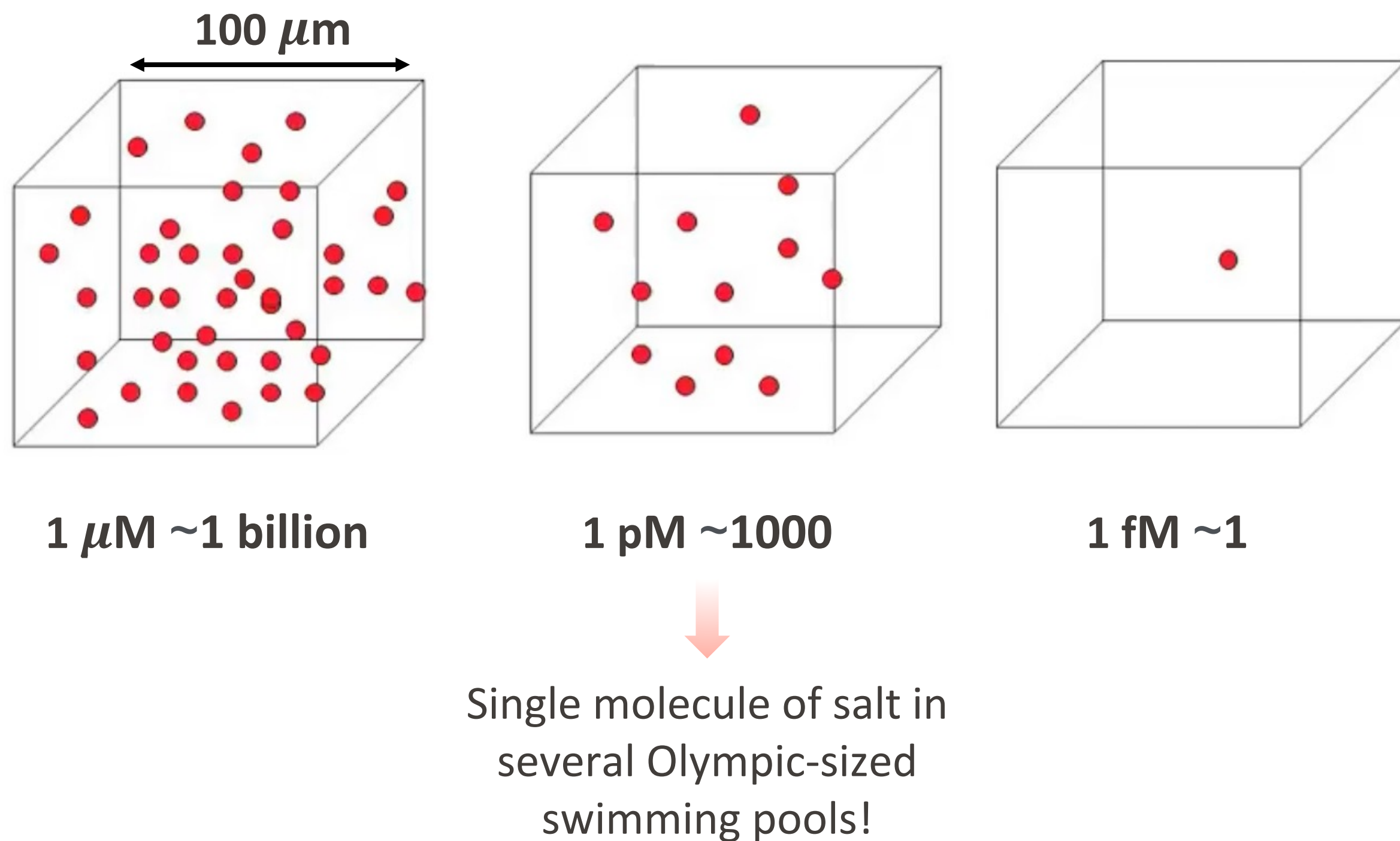
Most Existing Diagnostics are Limited by Nonspecific Binding

Non-specific binding induced noise at low concentrations limits diagnostic biosensors

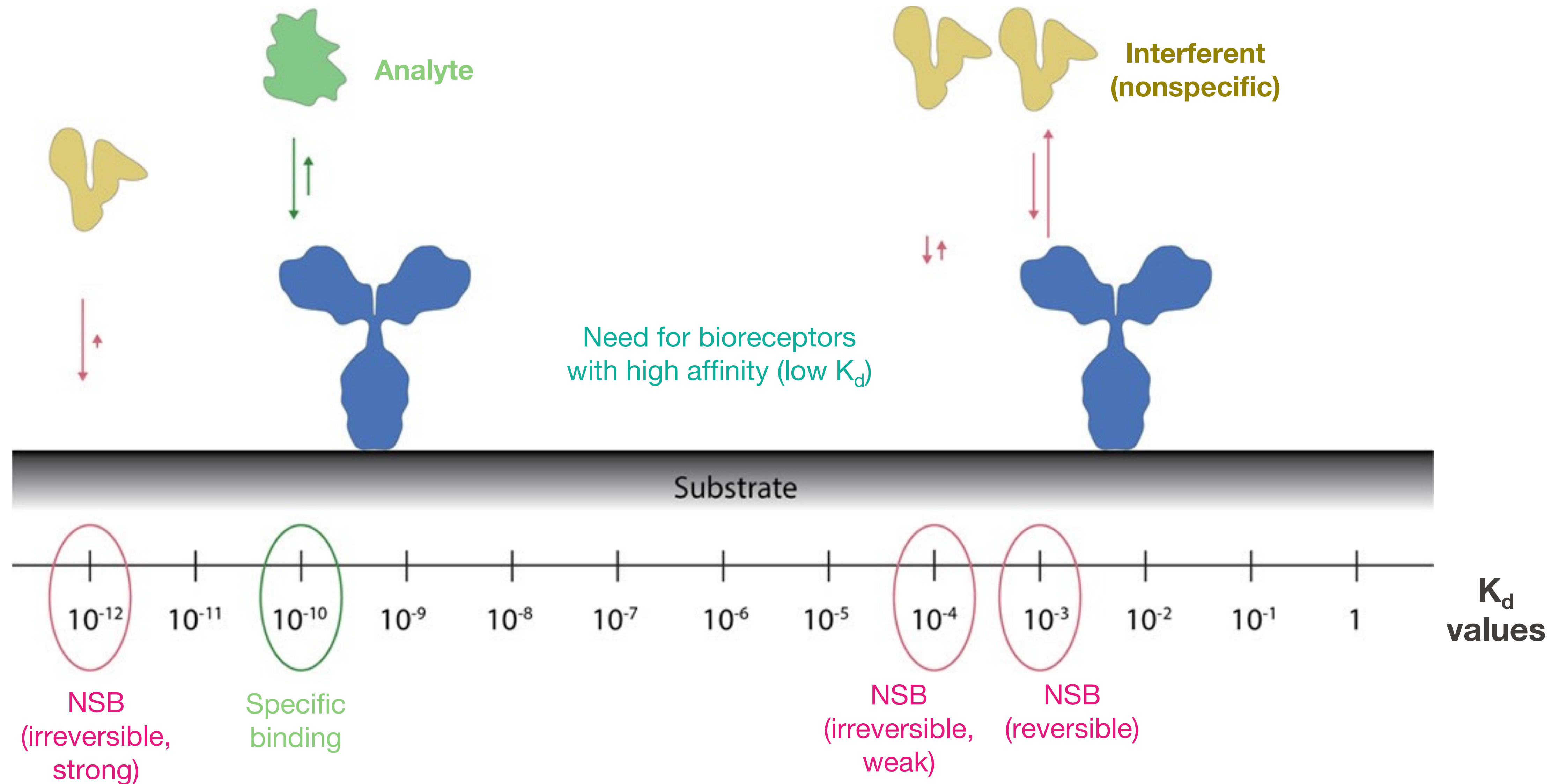


2. Very Few Number of Molecules Within Sample Size

Stochastic process – probability of the biosensor seeing such a low concentration of target diffusing to the surface?



3. Challenges of Bioreceptor Specificity in Addition to NSB

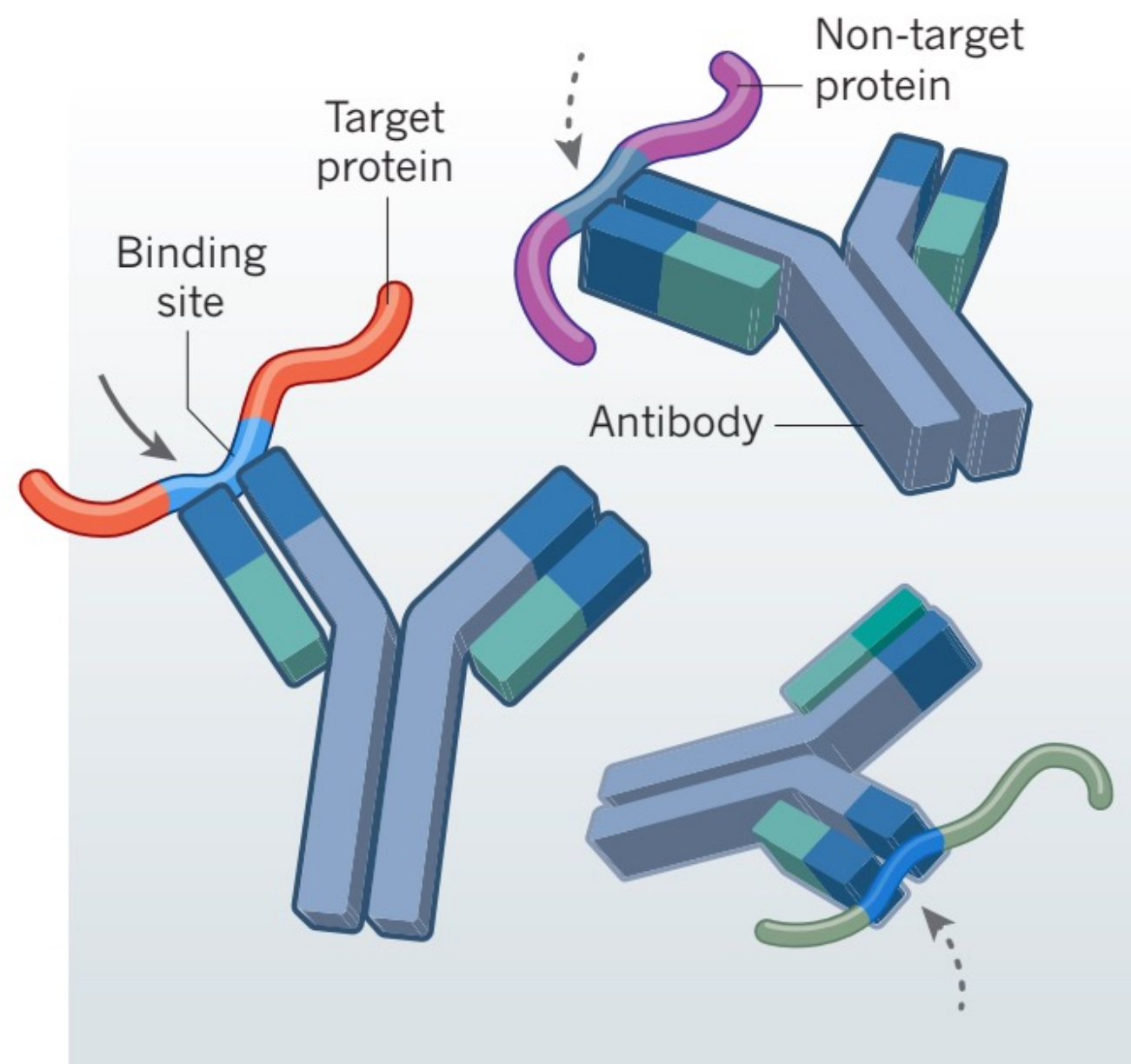


Bioreceptors Can be Cross-Reactive to Nonspecific Molecules

Reproducibility crisis: Blame it on the antibodies

[Monya Baker](#)

[Nature](#) **521**, 274–276 (2015) | [Cite this article](#)



Cross-reactivity: binding to other non-targets

Batch-to-batch variability

Sensitivity to environment

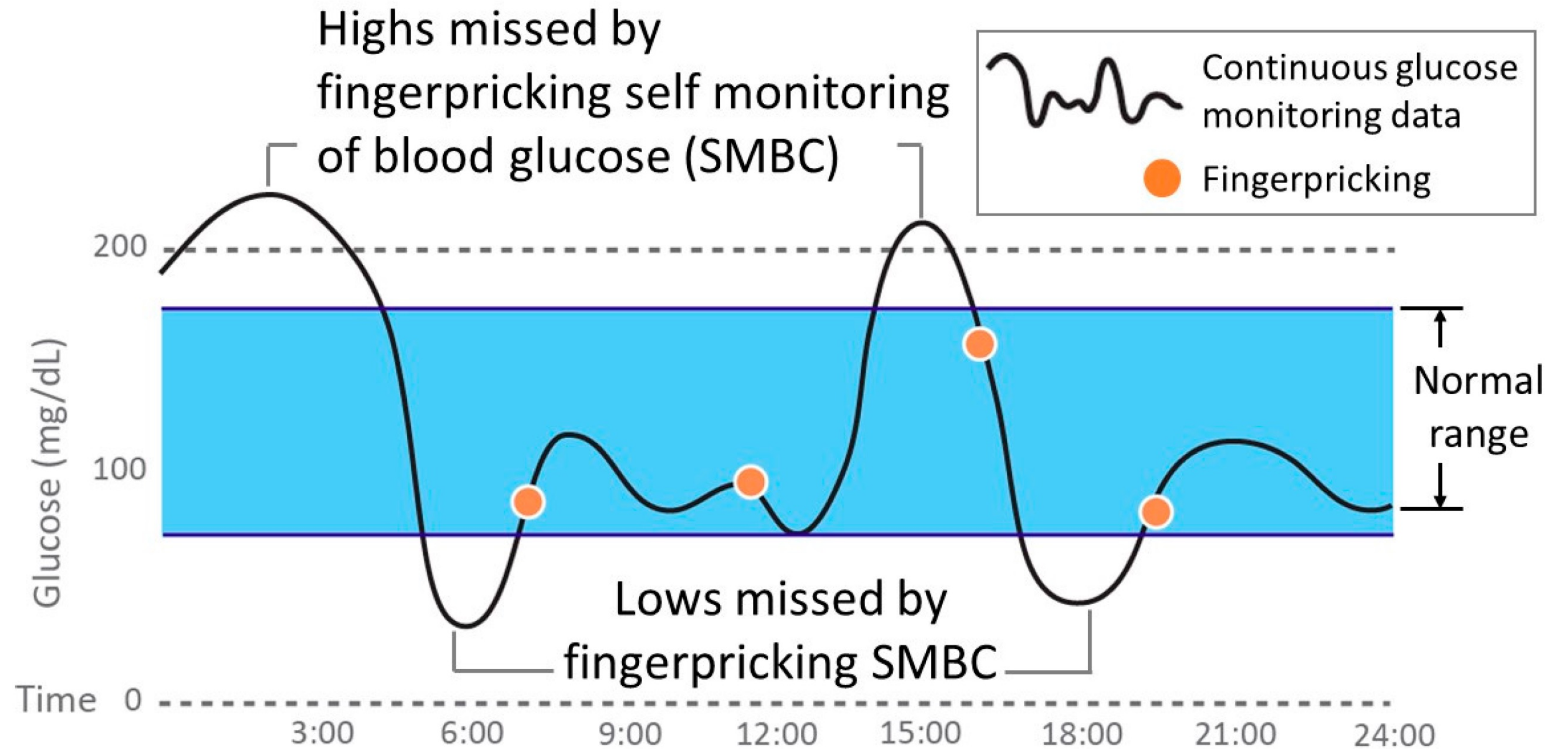
BLAME IT ON THE ANTIBODIES

Antibodies are the workhorses of biological experiments, but they are littering the field with false findings. A few evangelists are pushing for change.

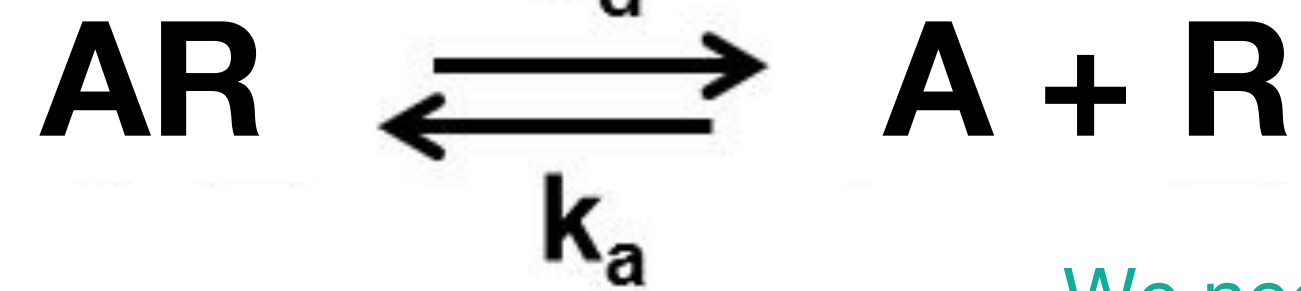
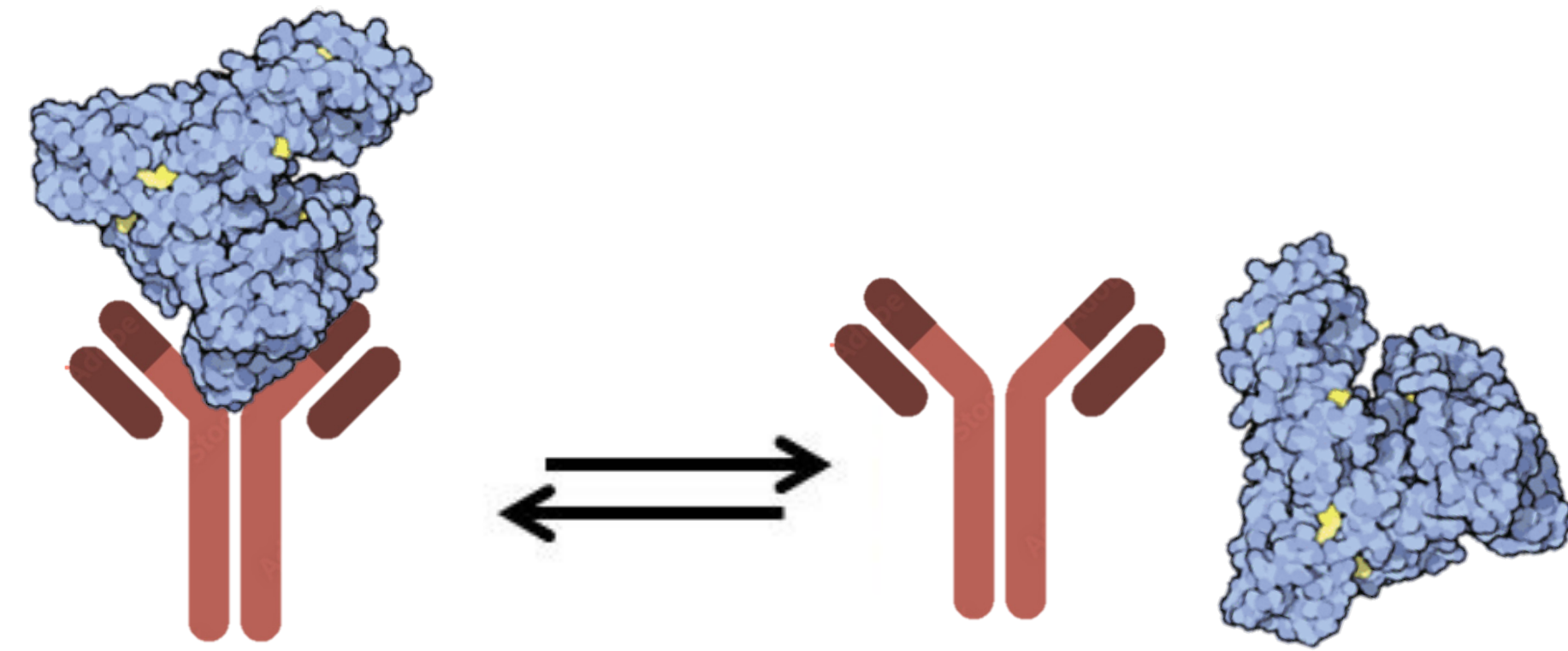
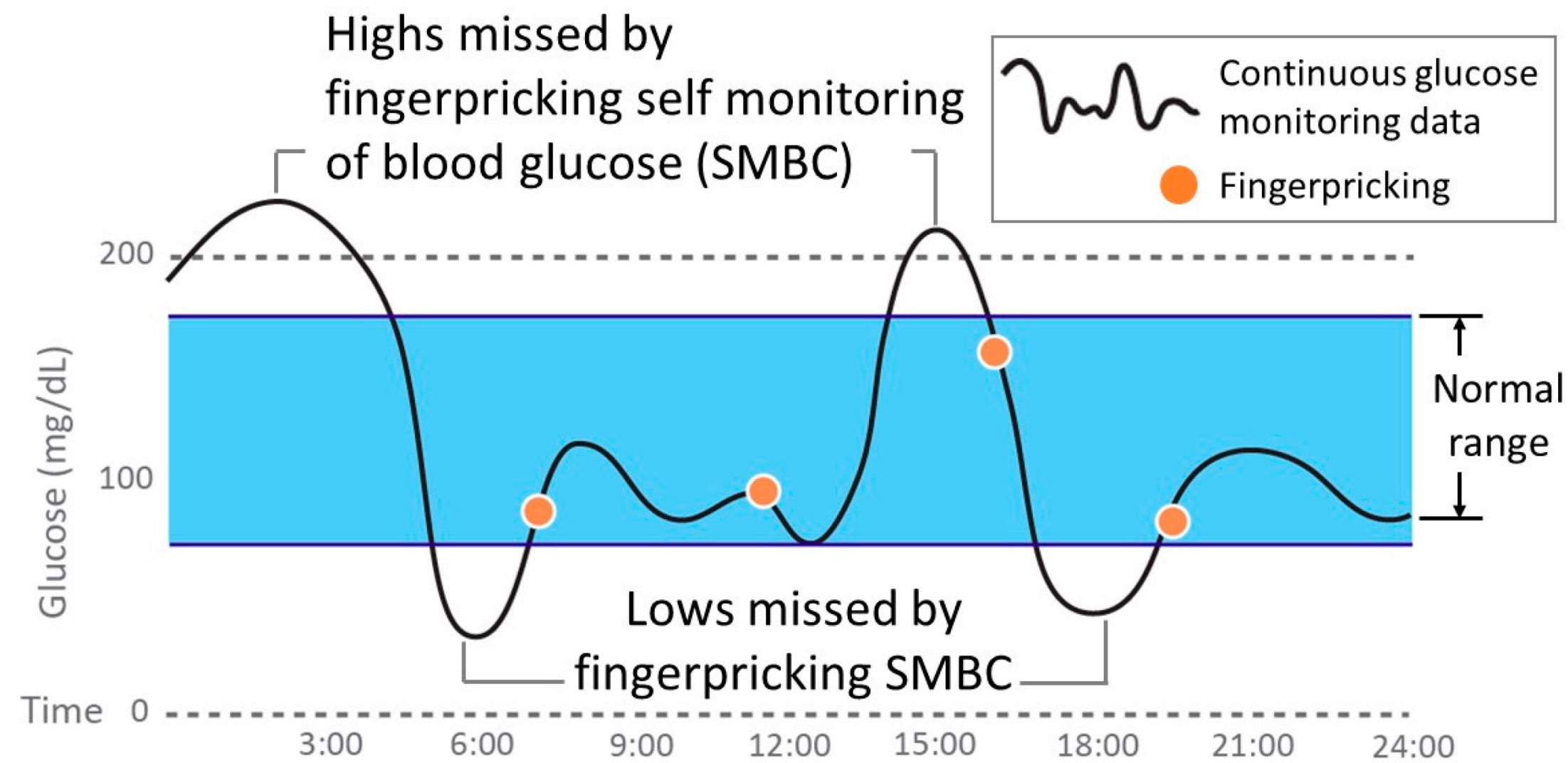
BY MONYA BAKER



4. Challenges of Continuous Monitoring



Continuous Measurements: Reversible Binding to Bioreceptors



We need strong binding (low K_d)

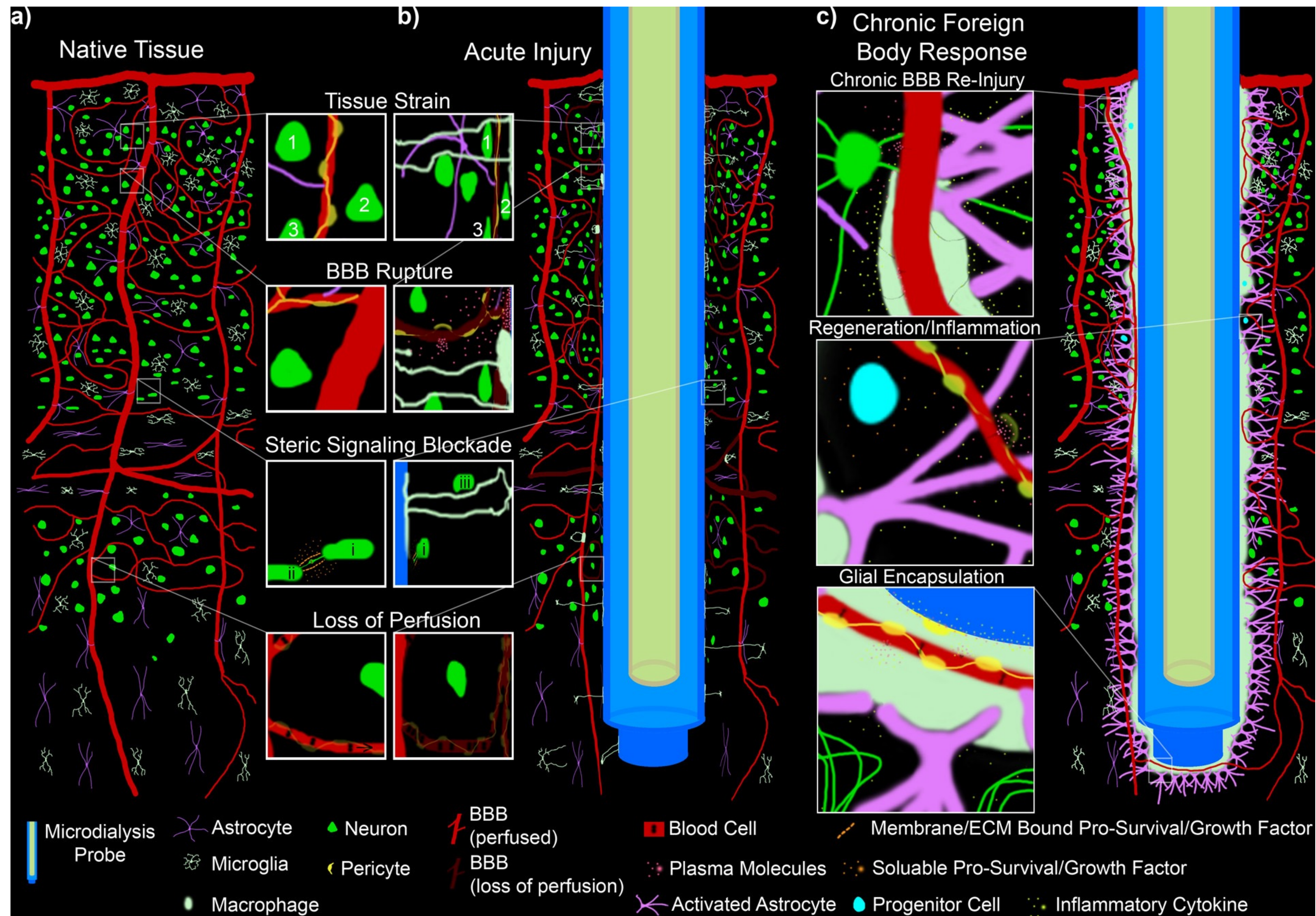
To detect analytes at low concentrations

We need fast kinetics (high k_d)

$$K_d = \frac{[A][R]}{[AR]} = \frac{k_d}{k_a} = \frac{1}{K_a}$$

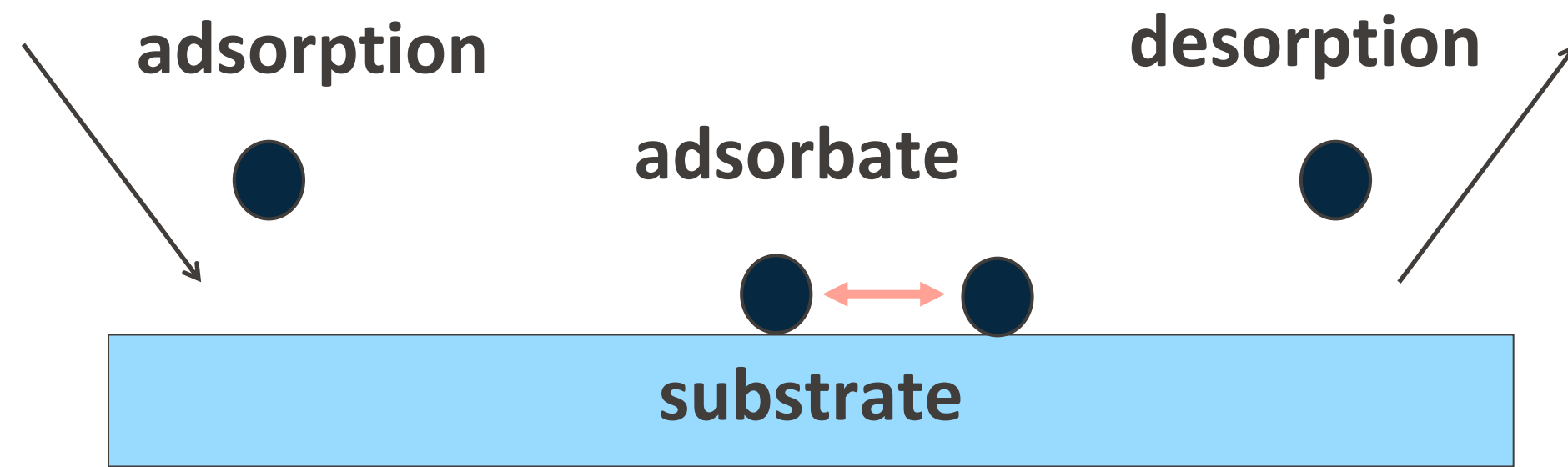
We need to engineer/redesign bioreceptors to overcome this mismatch

5. Tissue Response to Implanted Sensors Prevents Long-Term Use



Different Interactions on Surfaces Influence Catalysis

Interaction between adsorbate and surface depends on coverage (θ):



Electrostatic interactions
Direct adsorbate interactions
Local induced surface perturbations

$$\theta = \frac{\text{Number of occupied adsorption sites}}{\text{Number of adsorption sites present}}$$

$\theta = 0$ clean surface

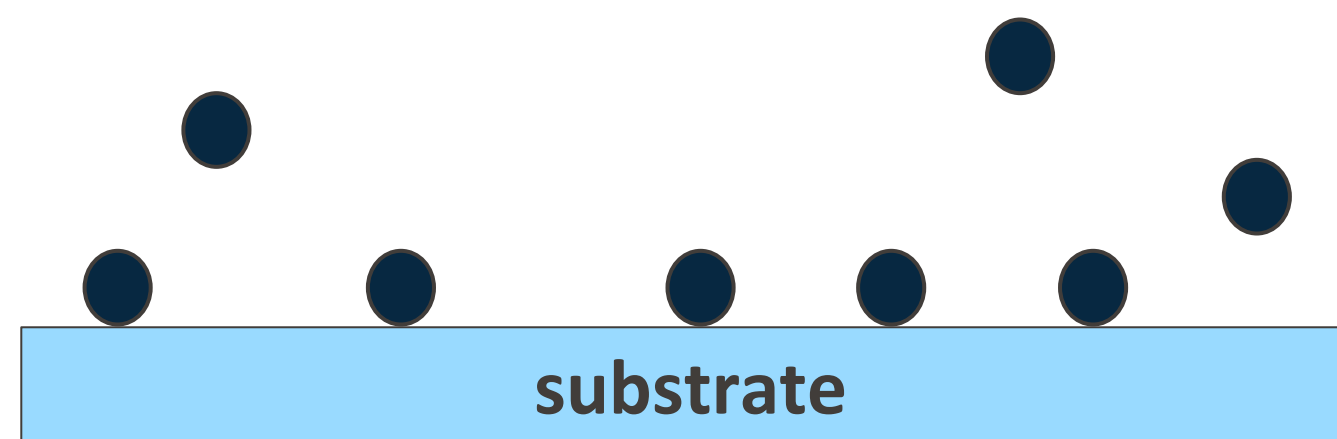
$\theta = 1$ monolayer

Adsorption = Physisorption

Electrostatic interaction (charge transfer)

non-specific and weak

multilayer growth possible

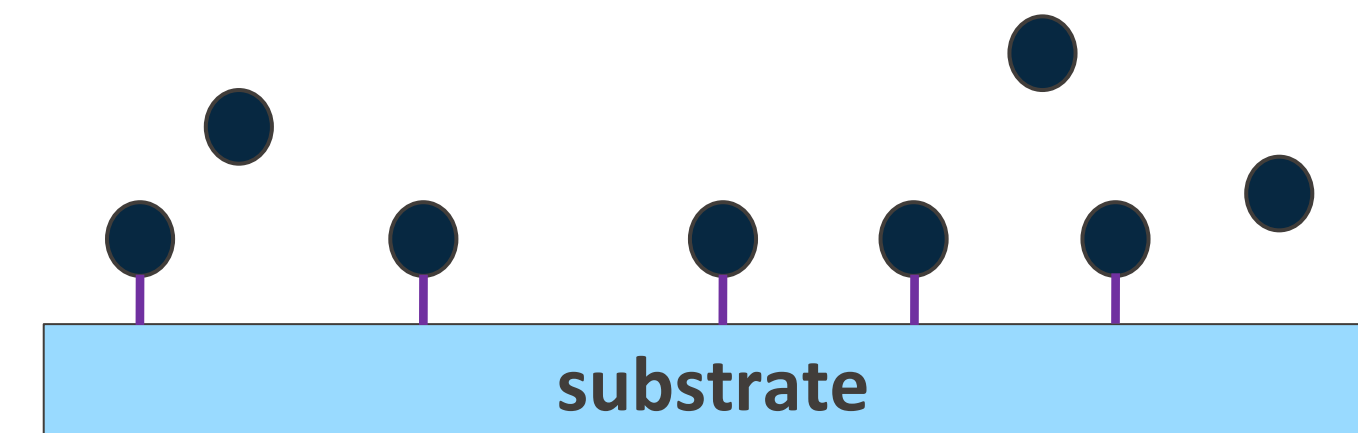


Absorption = Chemisorption

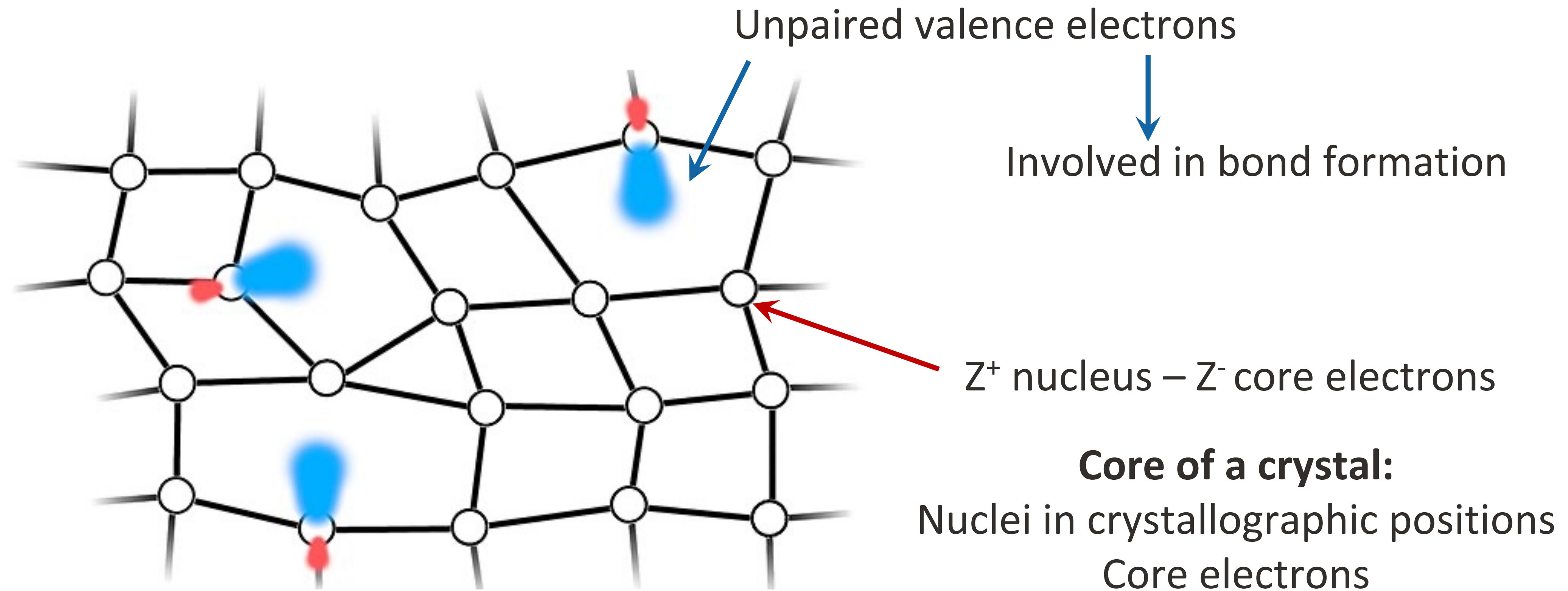
Geometrically defined interaction

Chemical bond between adsorbate and substrate

maximum coverage is 1 monolayer ($\theta = 1$)

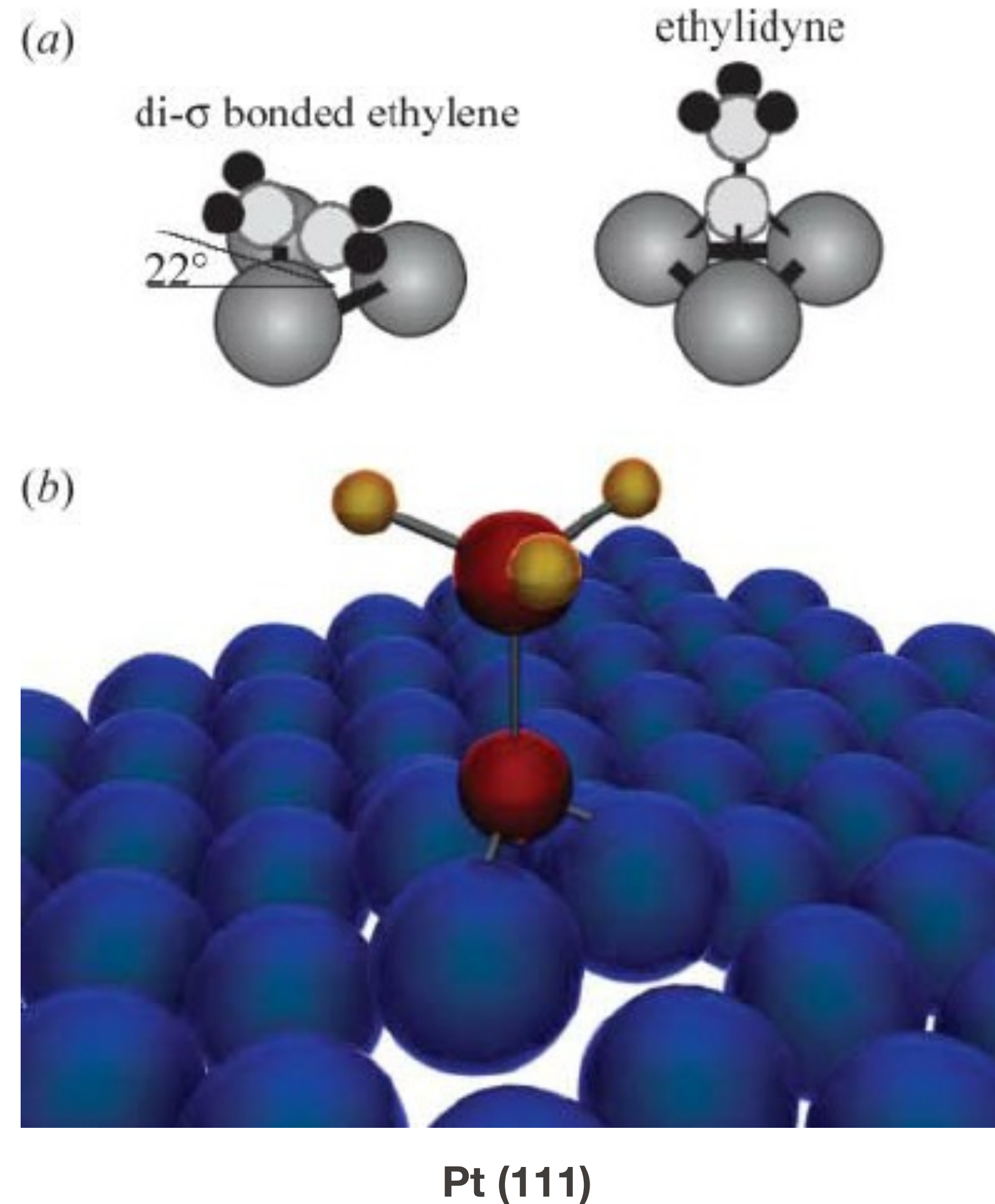


Catalysis Basics: Surfaces Are Intrinsically Dipolar



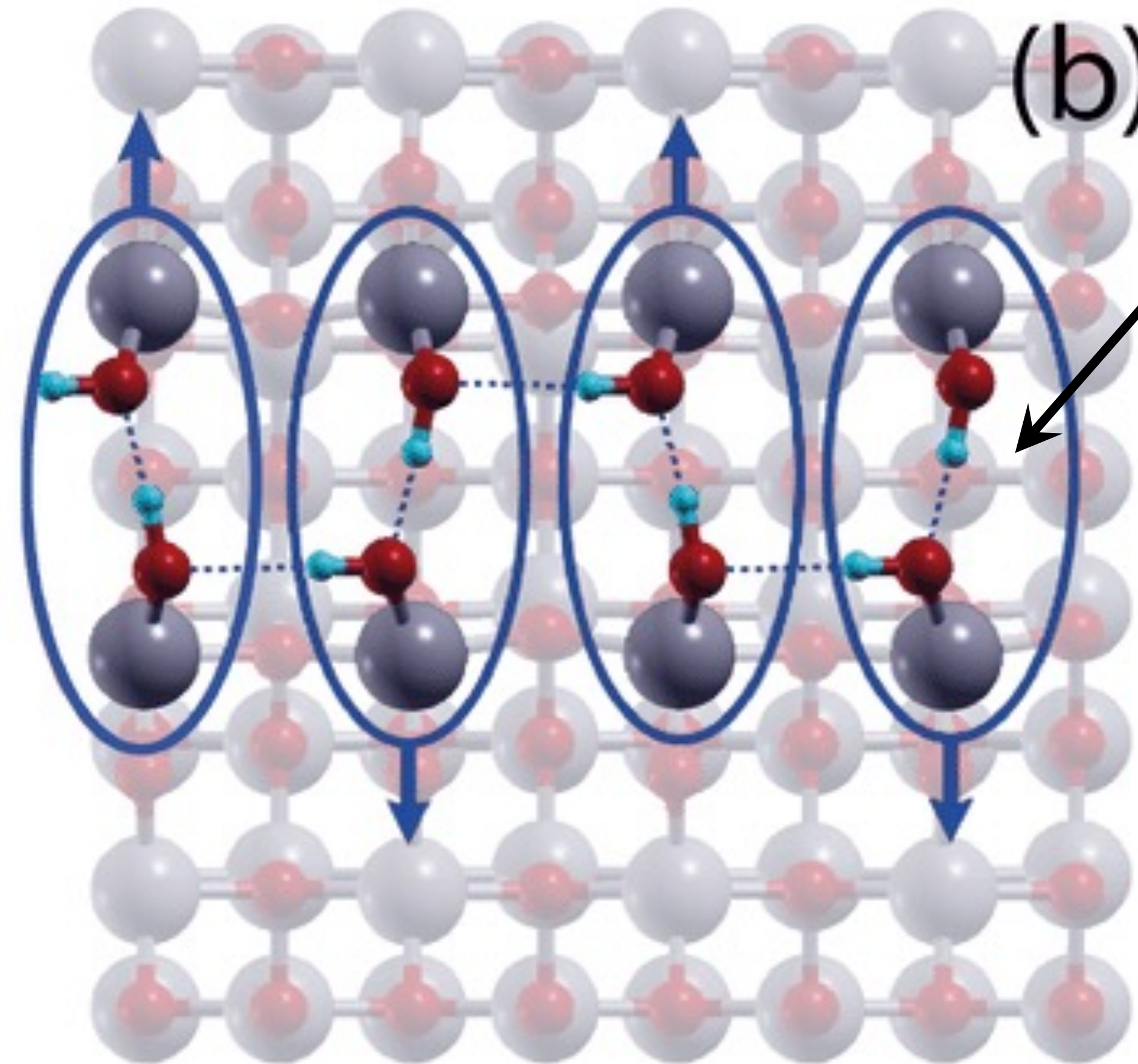
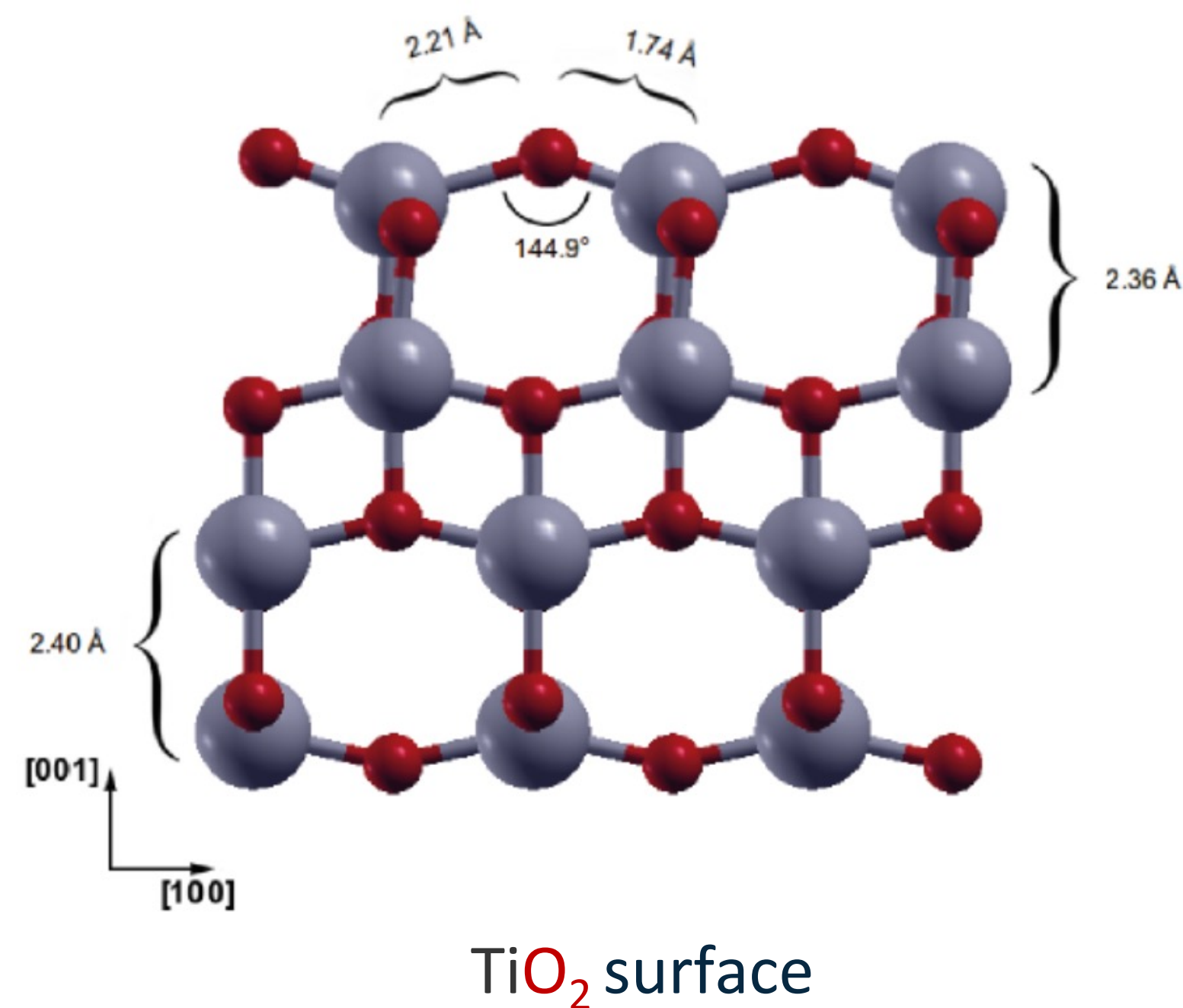
Since they are dipolar → form bond → driver for absorption

Chemical Bonds of Molecules Rely on Symmetry and Geometry



Reconstruction of “Soft” Surfaces Due to Absorption

Molecules that induce surface restructuring → change the surface band structure of the material



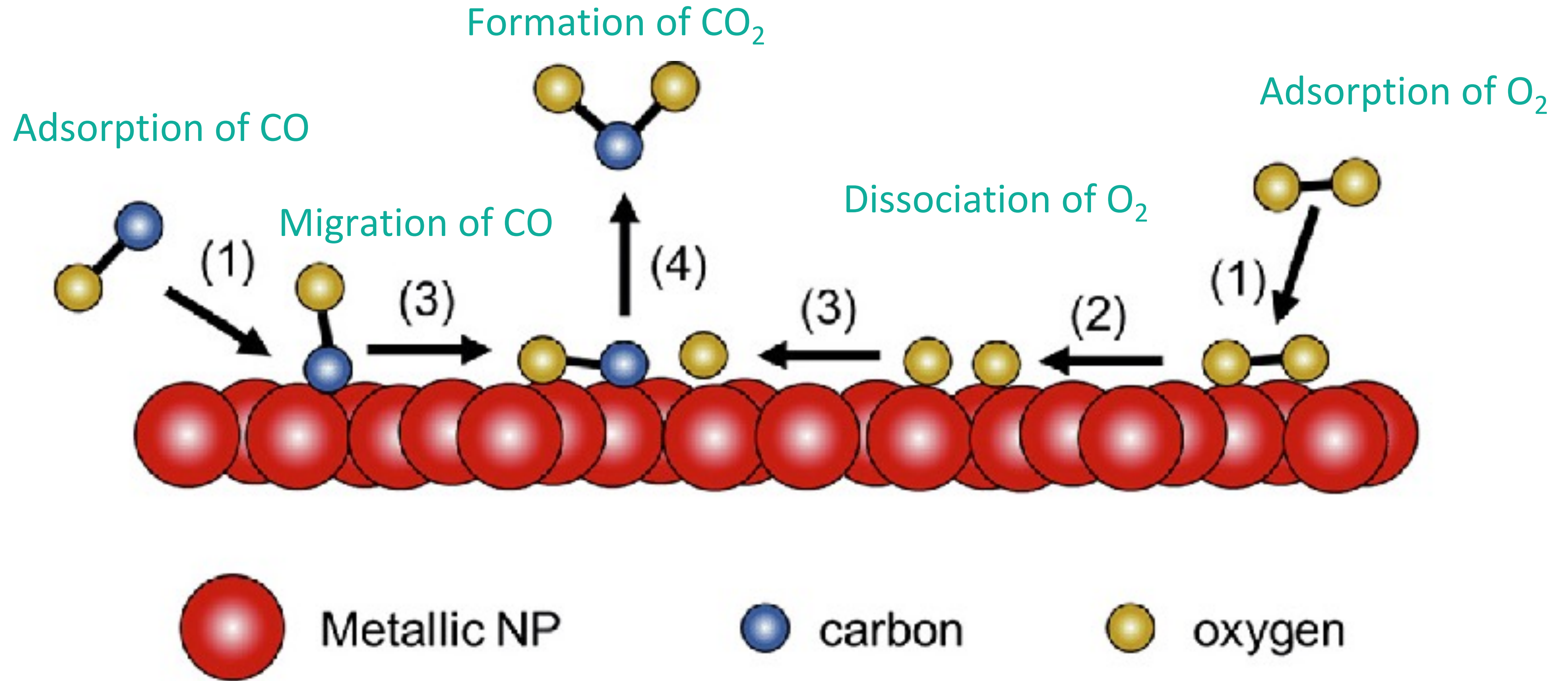
Absorb on surface and pull the surface based on intermolecular forces

H_2O -induced reconstruction

Interaction different from facet to facet (ledge vs. kink. vs. terrace)

Zollo & Vitale, *Appl. Sci.*, 8, 12, 2018

How Surfaces Serve as Catalysts: Adsorption + Dissociation



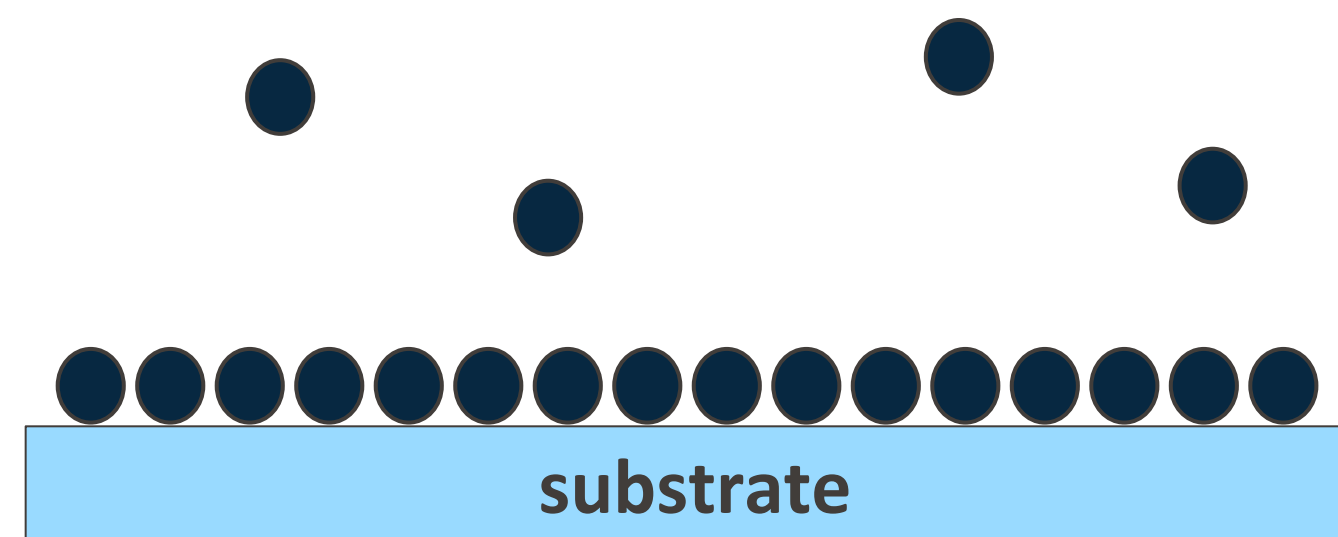
Gueye et al., *J. Catalysis*, 380, 2019

How can Surfaces Serve as Catalysts?

1. Locally enhance the concentration of reactants

Physisorption

Van der Waals interactions between adsorbate and substrate (non-specific and weak)



$$C_R^{\text{Surface}} \gg C_R^{\text{Solution}}$$

$$P(t) = K_a \times C_R$$

Rate of product formation over time ($\text{mol L}^{-1} \text{s}^{-1}$)

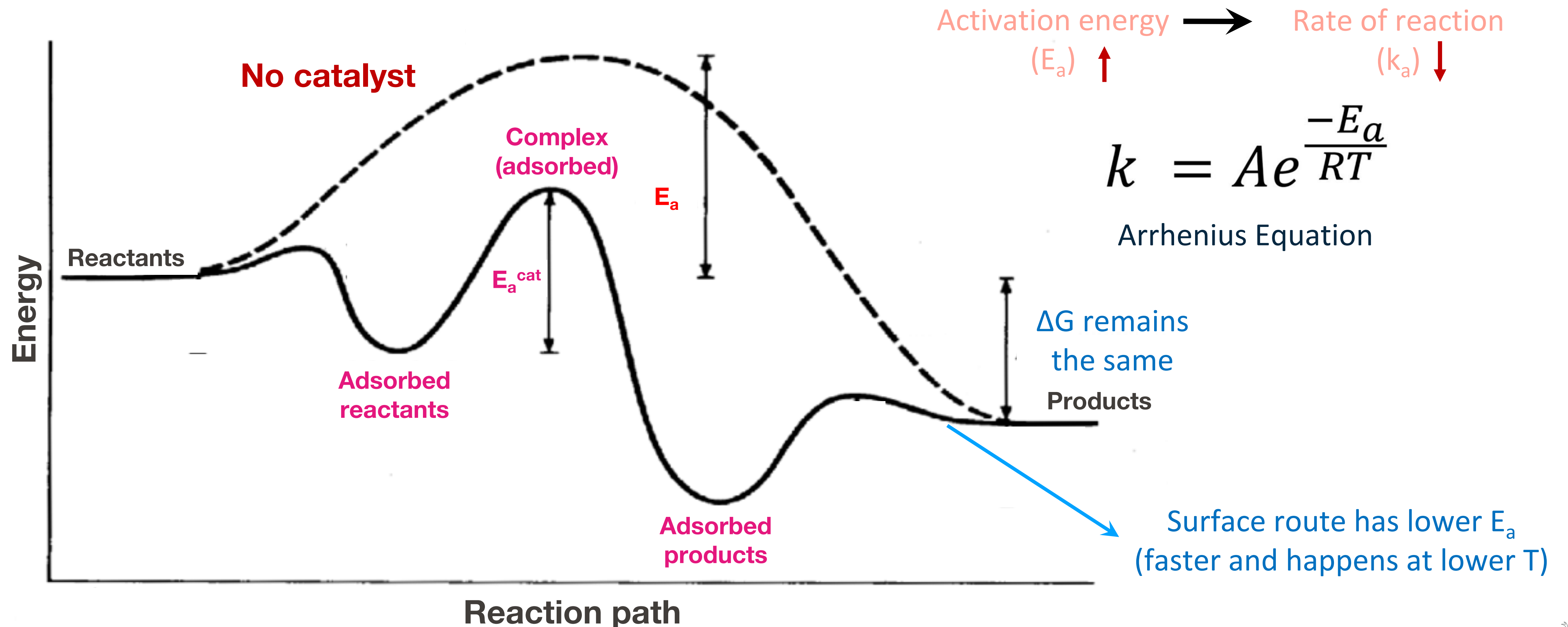
Rate of adsorption (s^{-1})

Concentration of reactant (mol L^{-1})

How can Surfaces Serve as Catalysts?

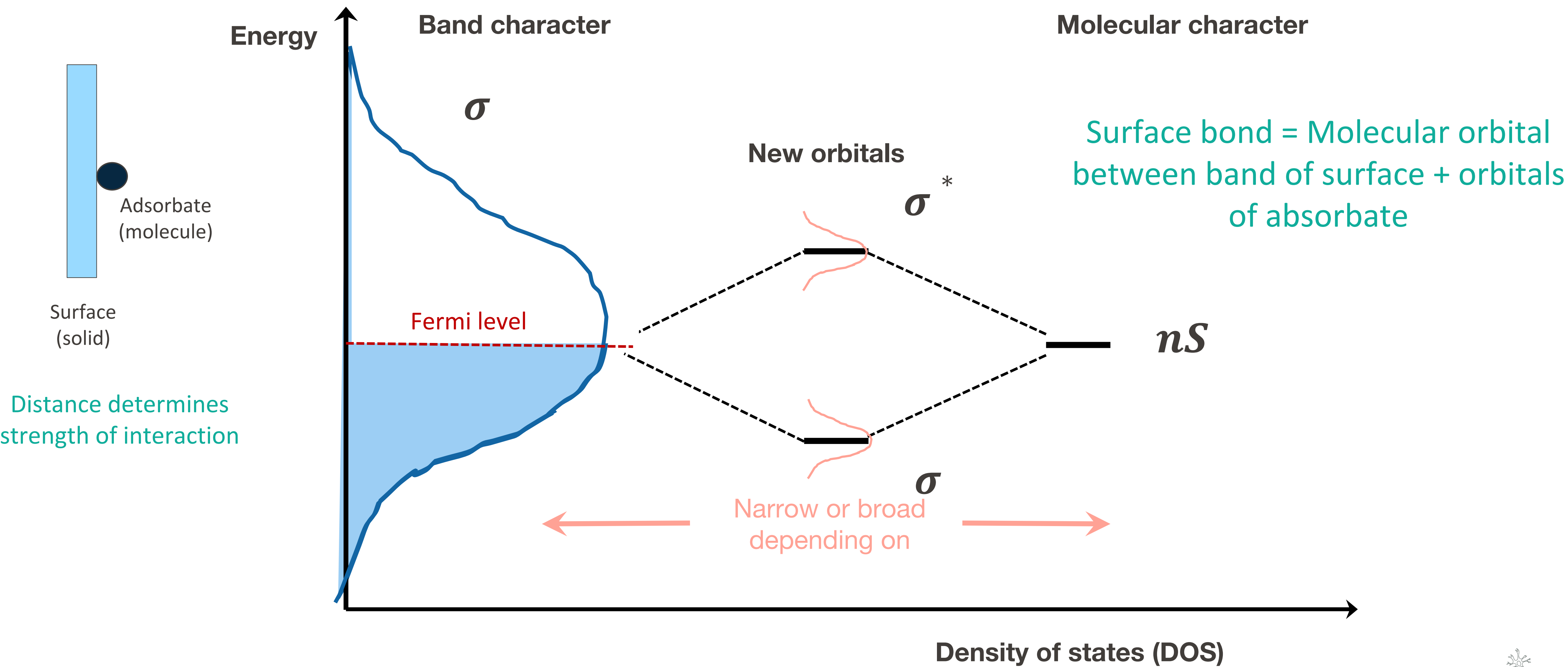
2. Surface bonds can change the energy landscape of the reaction, lowering activation energy

Rate of catalysed reaction: function of E_a , T , number of active sites on catalyst surface, surface concentration of reagents

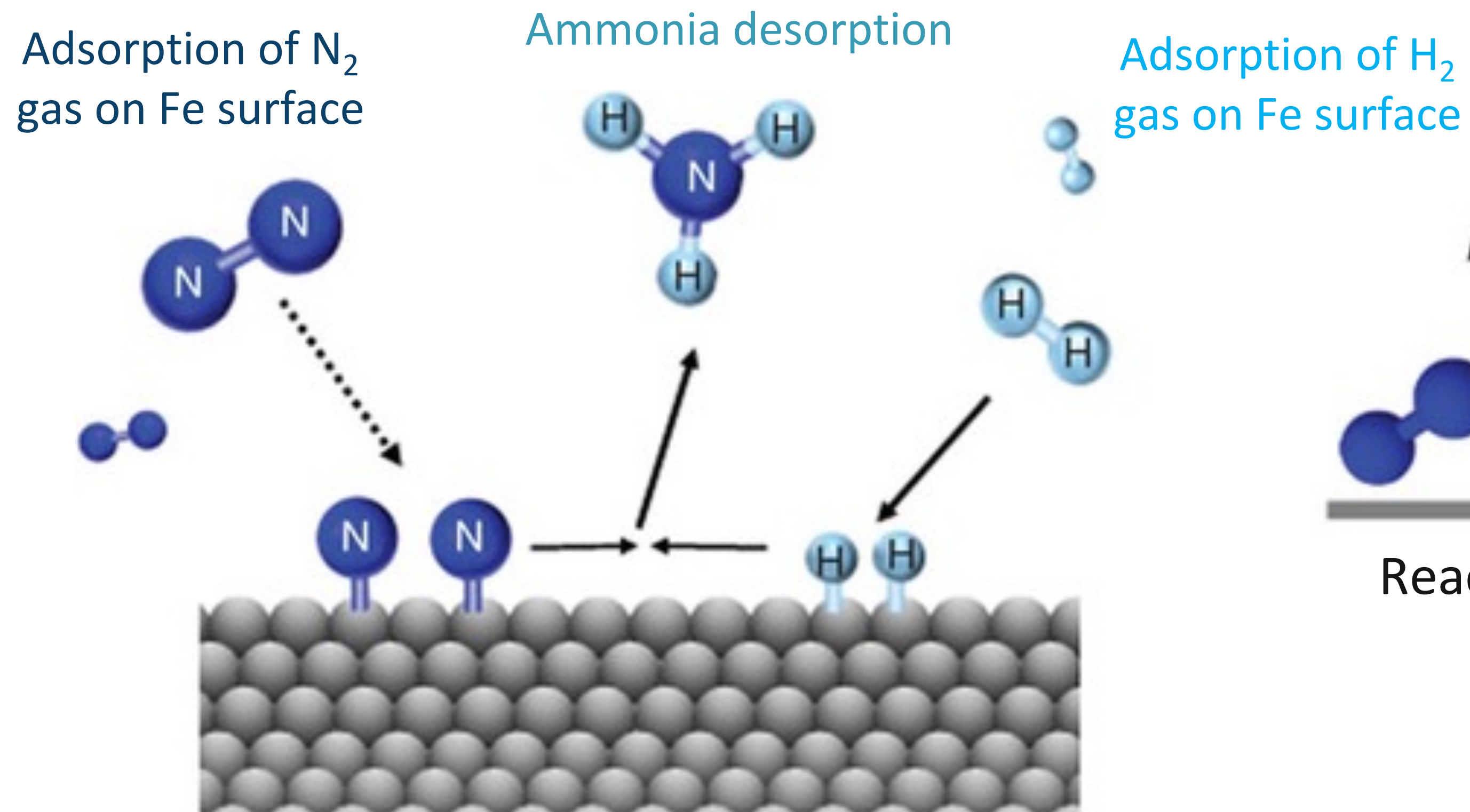


How can Surfaces Serve as Catalysts?

3. Induce one-step reaction (molecular orbital theory)

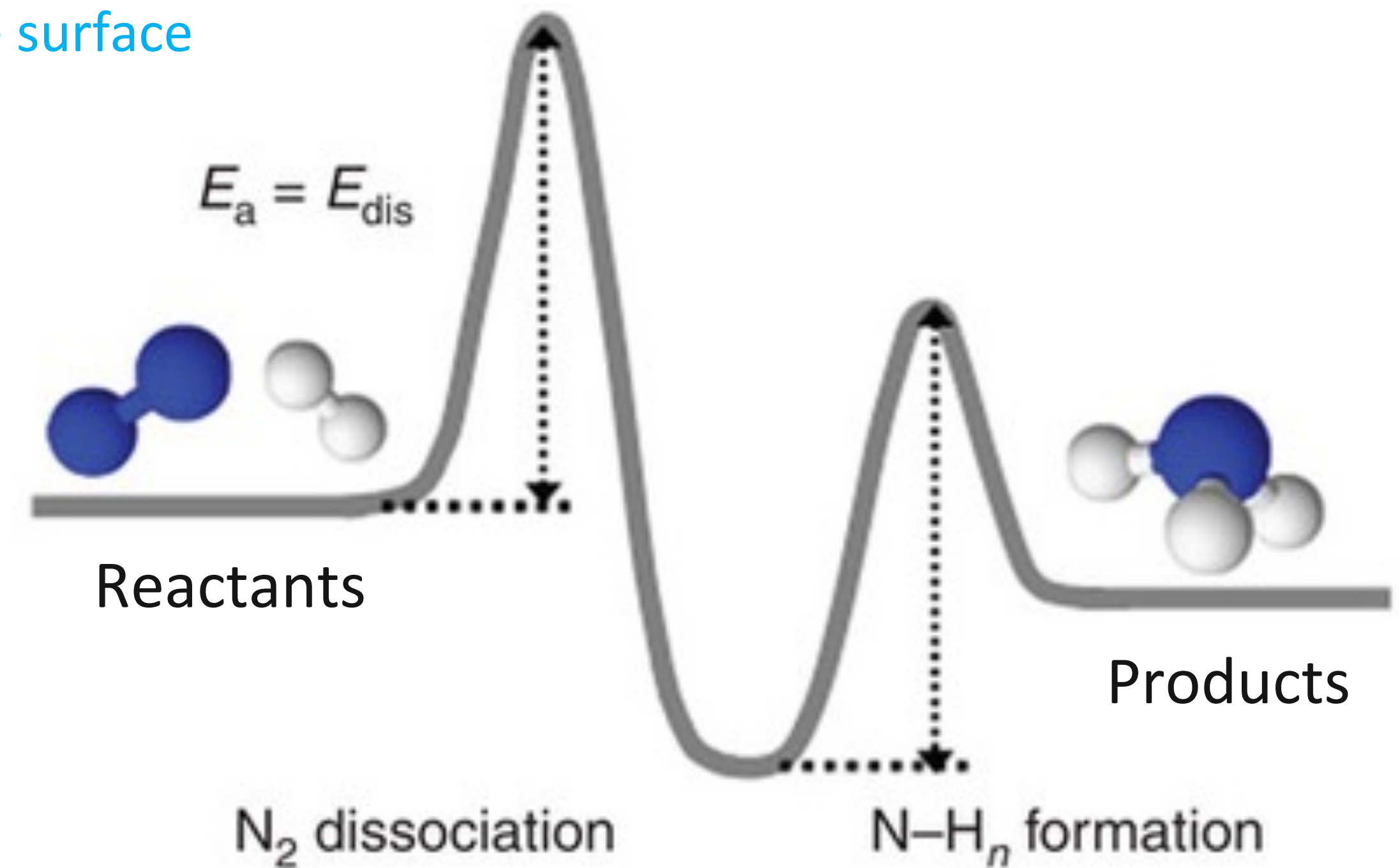


Example of Important Catalyzed Reaction: Ammonia Synthesis



Fe catalyst provides active surface that adsorbs molecules, weakening their bonds

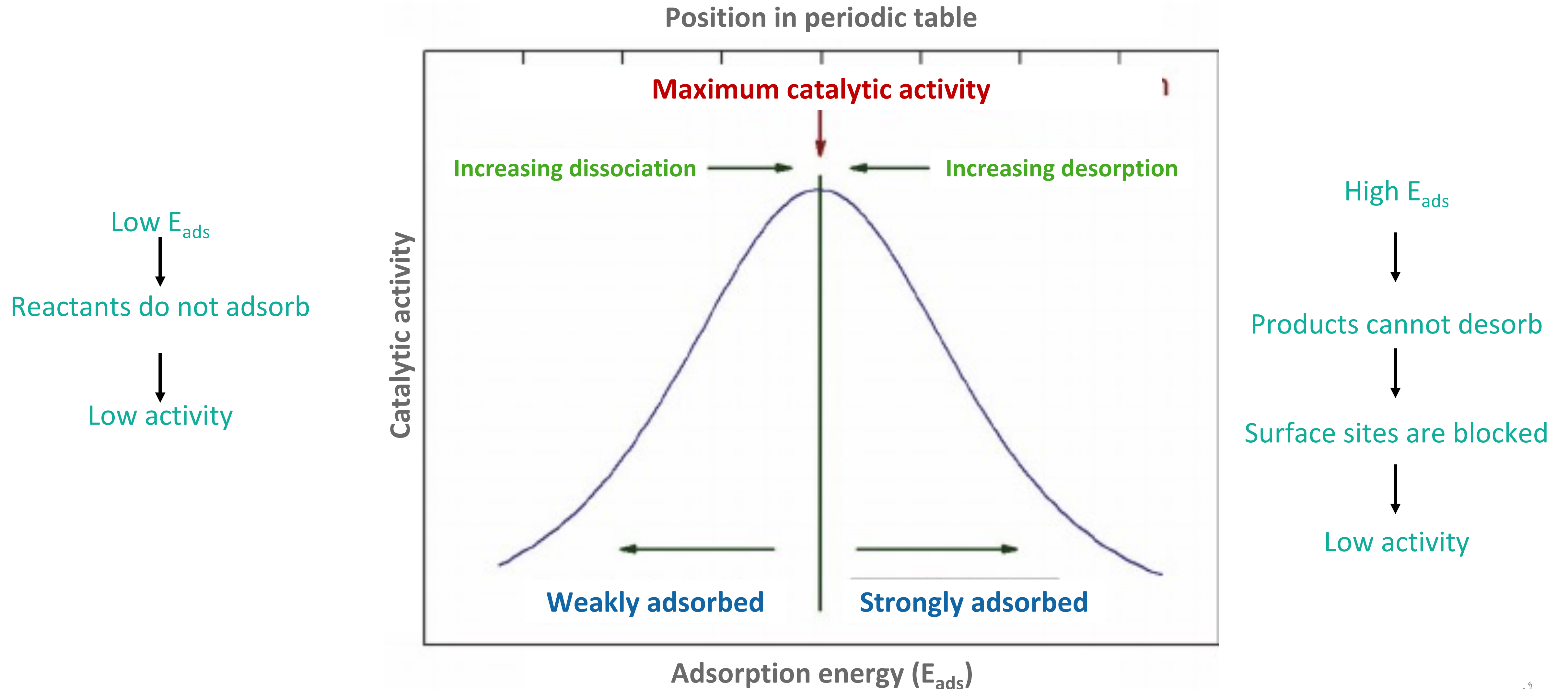
Nitrogen atoms easier to dissociate from the surface



Kitano, et al., *Nat. Comm.* 6, 1, 2015

Catalysis Optimization – Trade-Offs of Adsorption Energy

Adsorption Energy (E_{ads}): energy change when a molecule binds to the catalyst surface

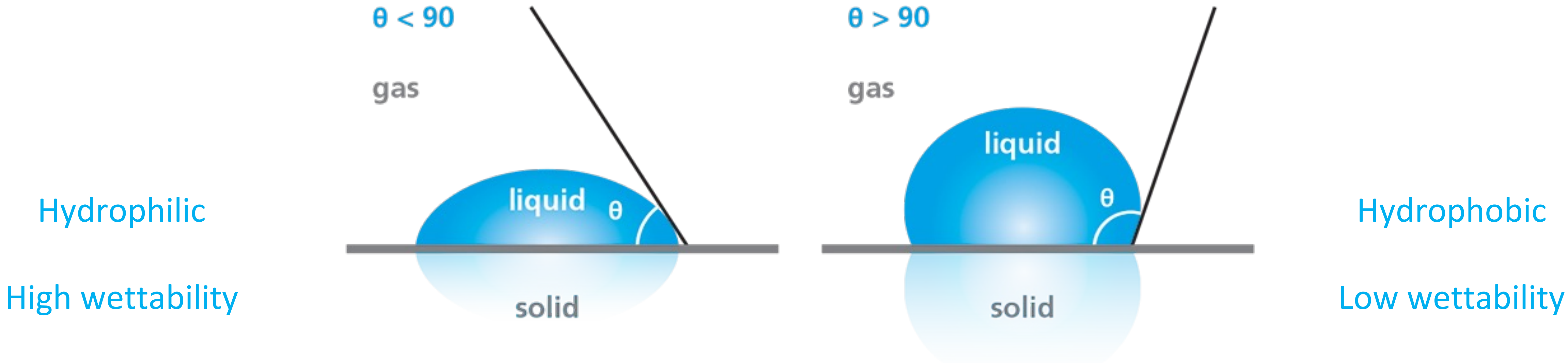


How Can We Characterize Surfaces?

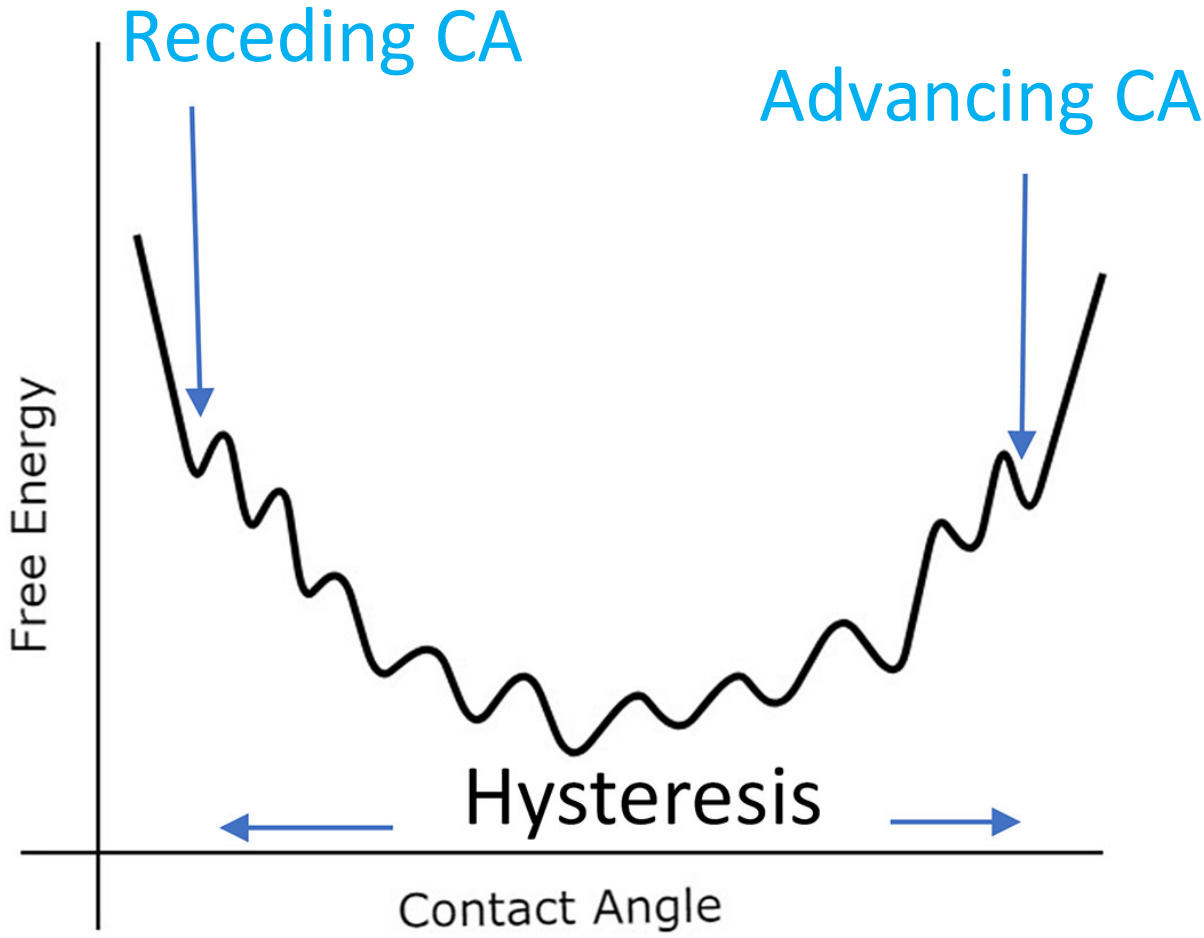
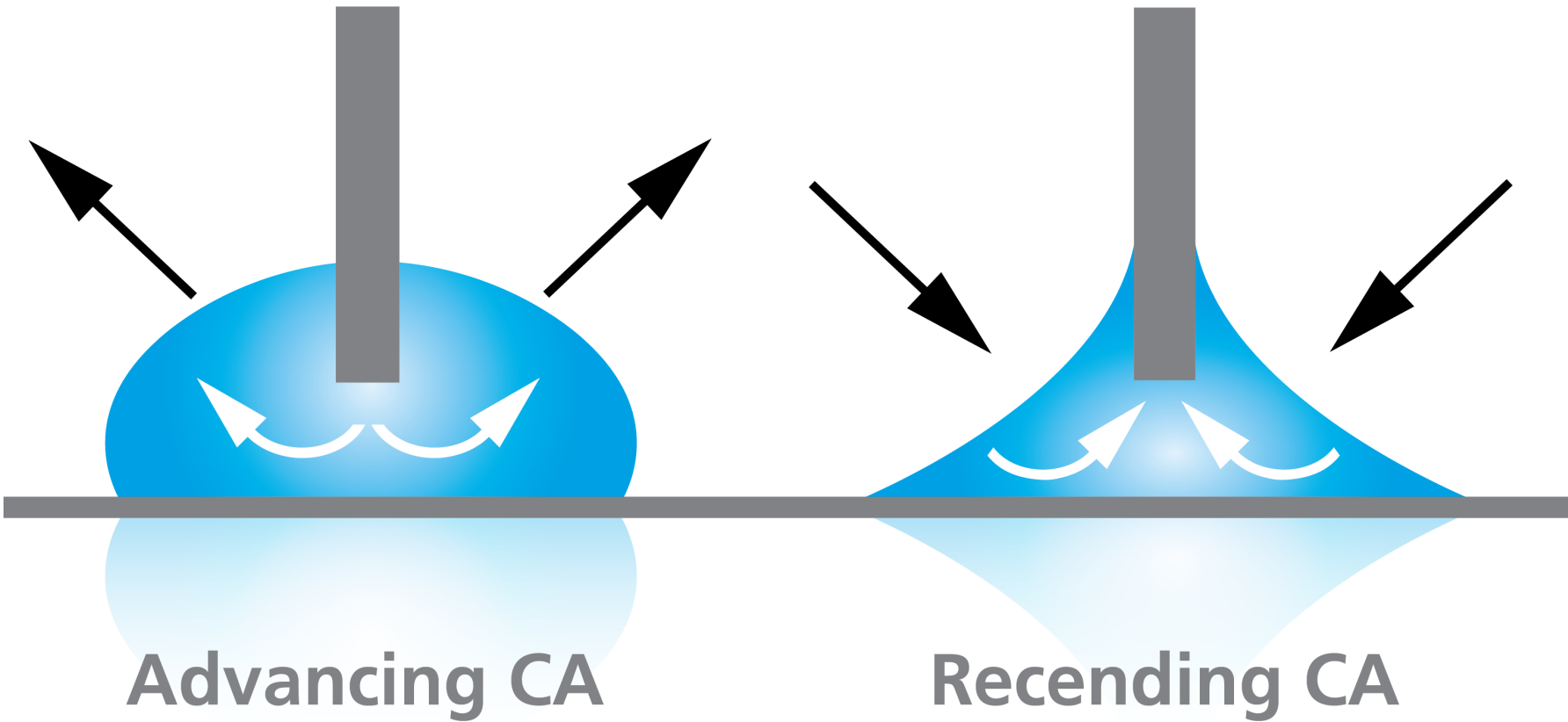
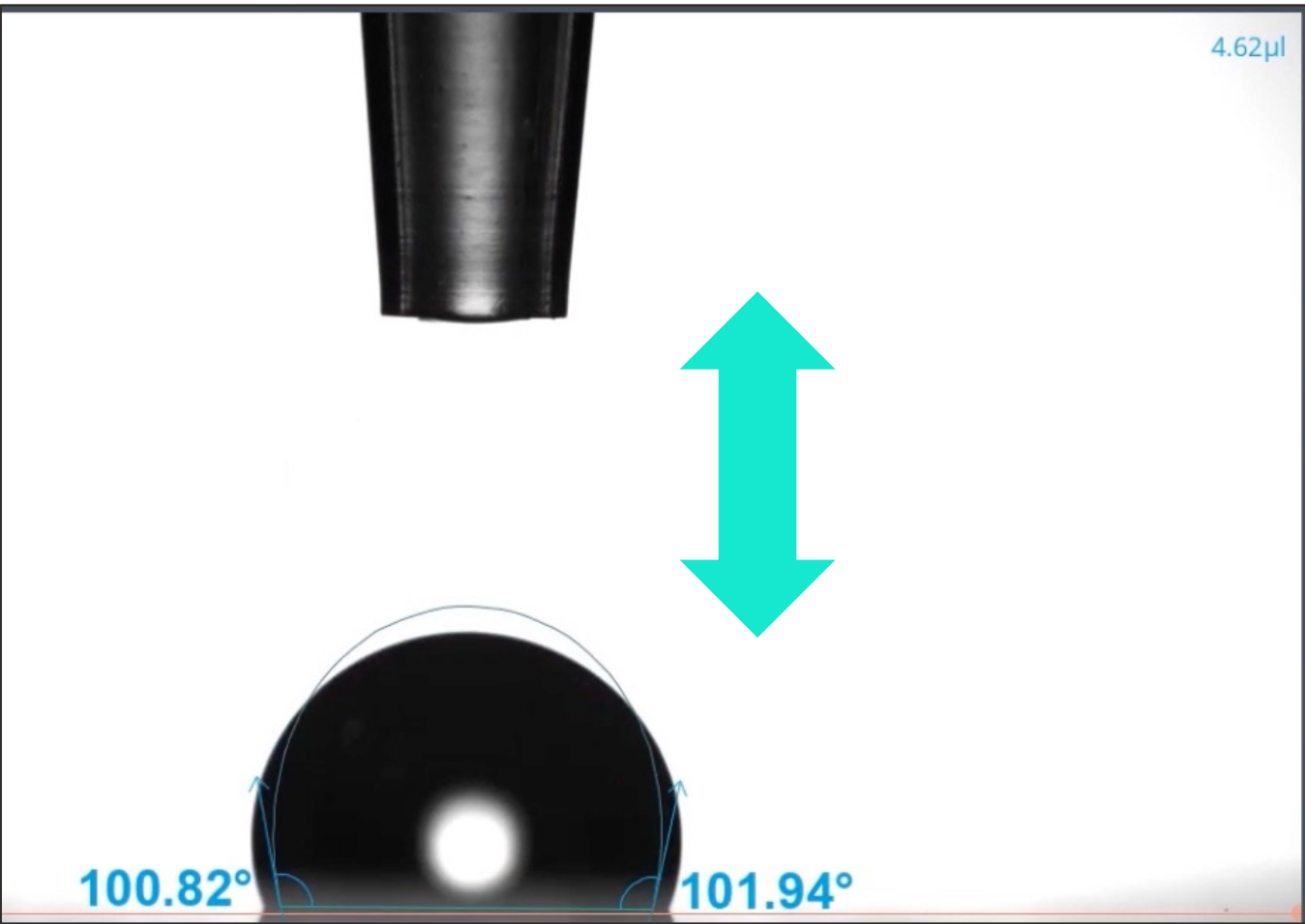
What are some techniques that we covered for monitoring/analyzing surface properties?

- Contact angle – hydrophilicity/hydrophobicity
- Quartz crystal microbalance – surface chemistry and viscoelastic properties
- X-ray photoelectron spectroscopy – elemental composition
- Raman spectroscopy – molecular signatures

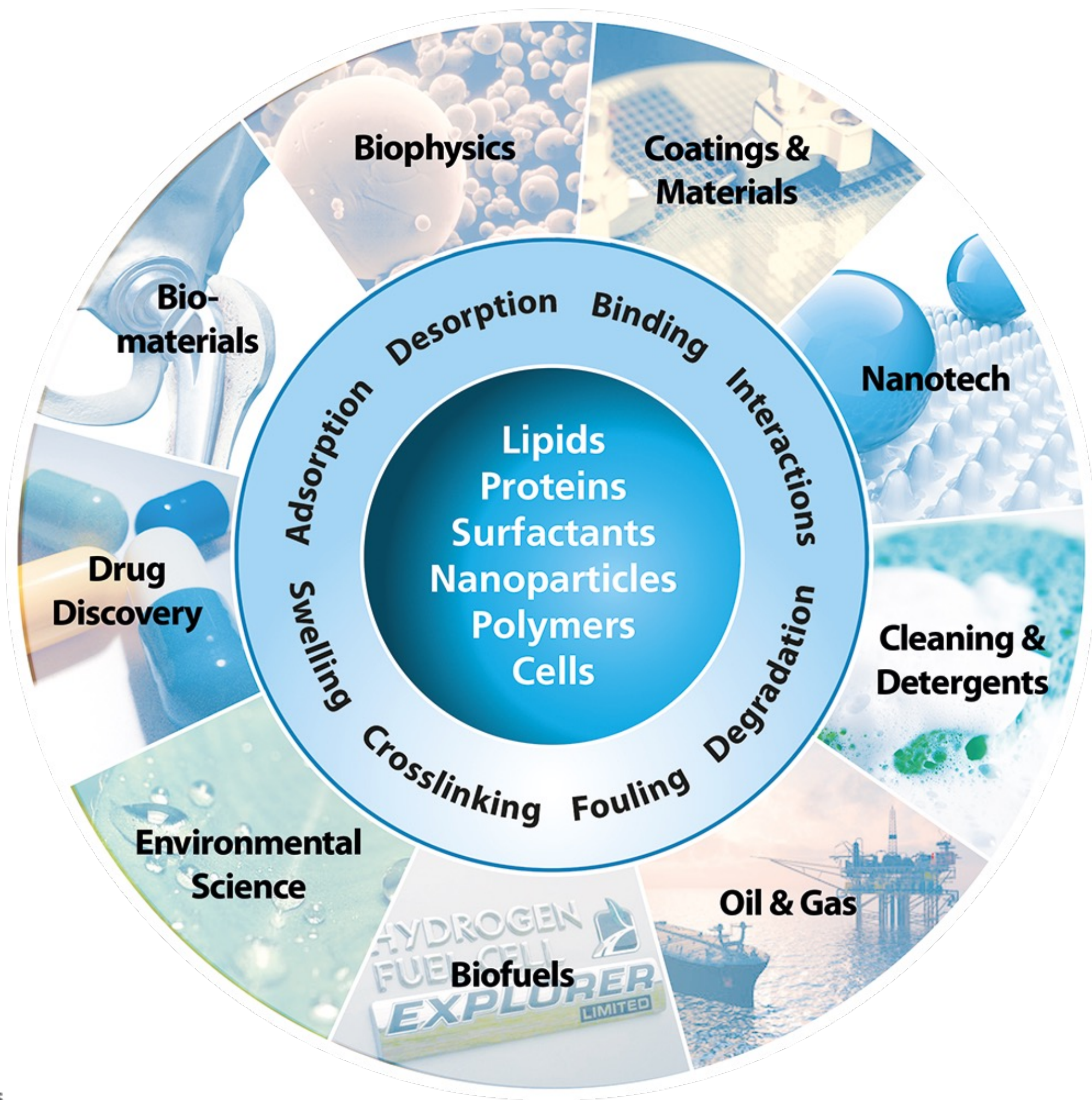
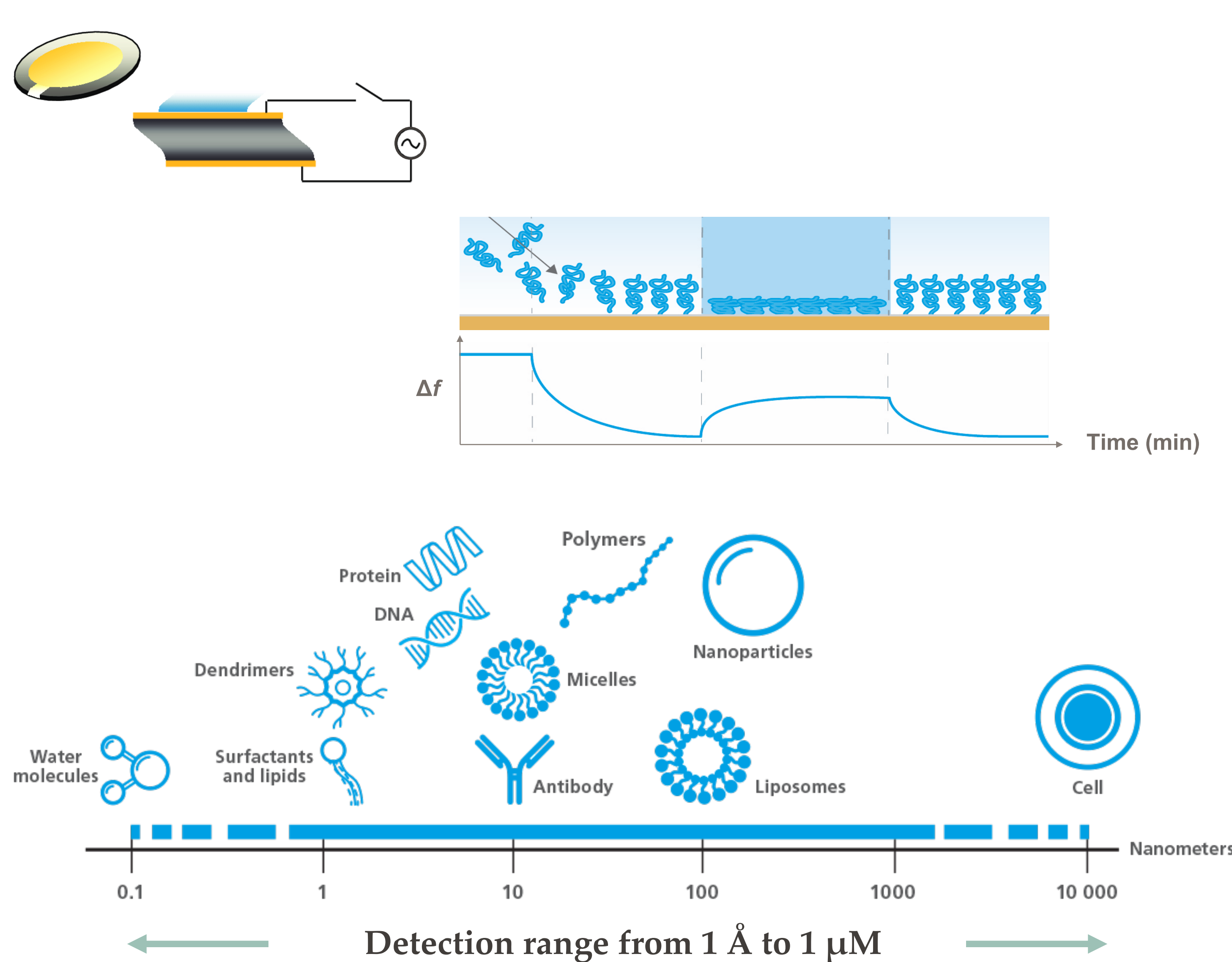
Contact Angle Monitors Wettability of Surfaces



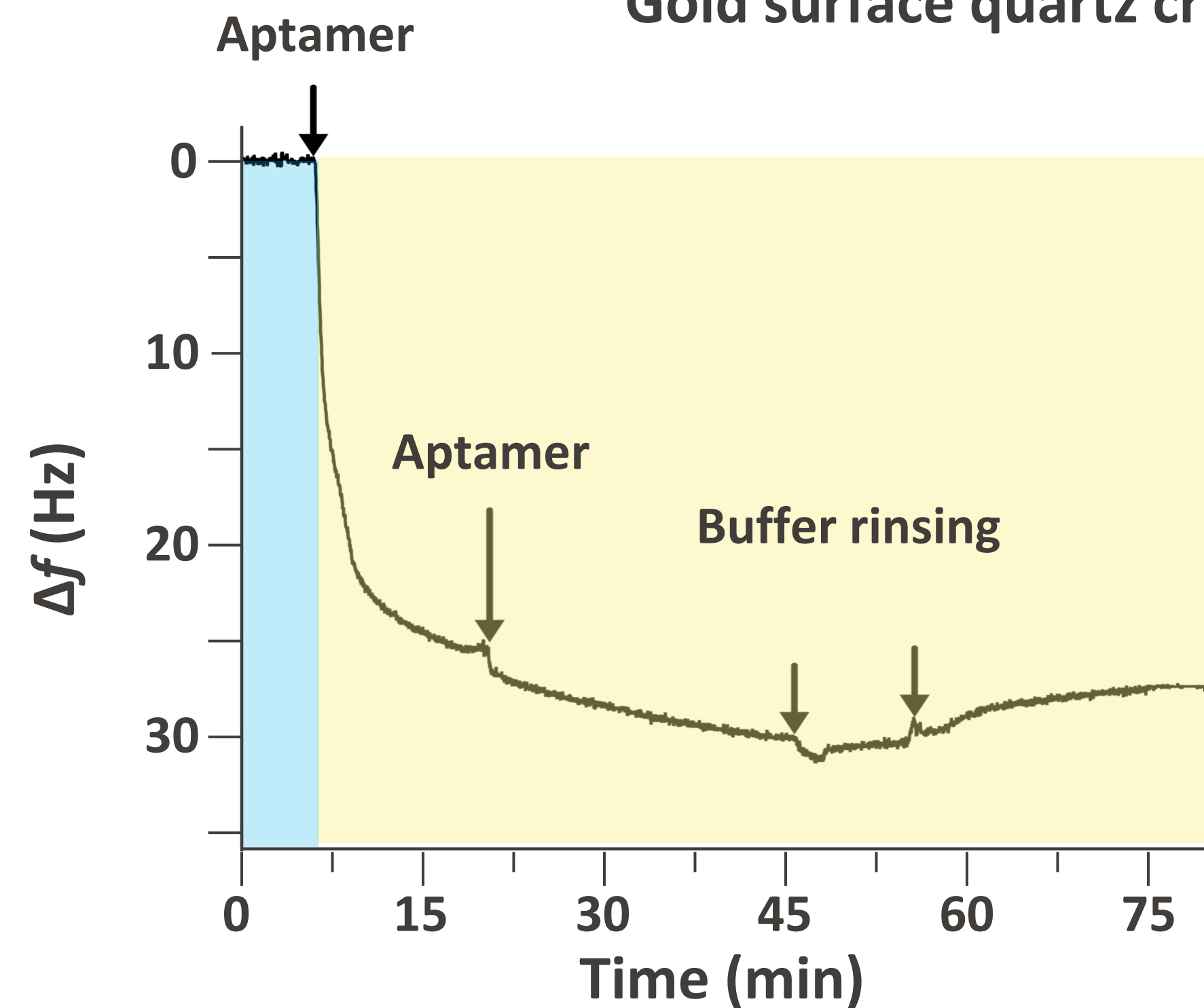
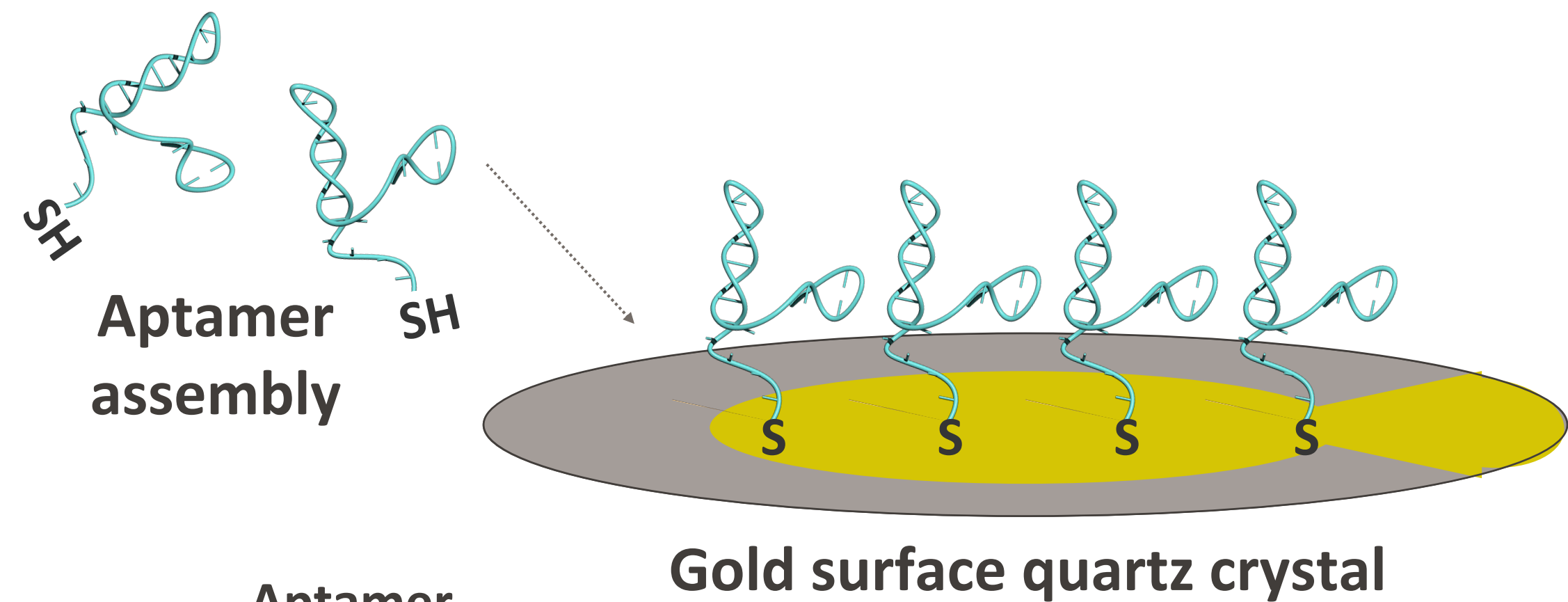
Static Contact Angle / Sessile Drop measured by an Optical Tensiometer



Quartz Crystal Microbalance Monitors Surface Chemistry

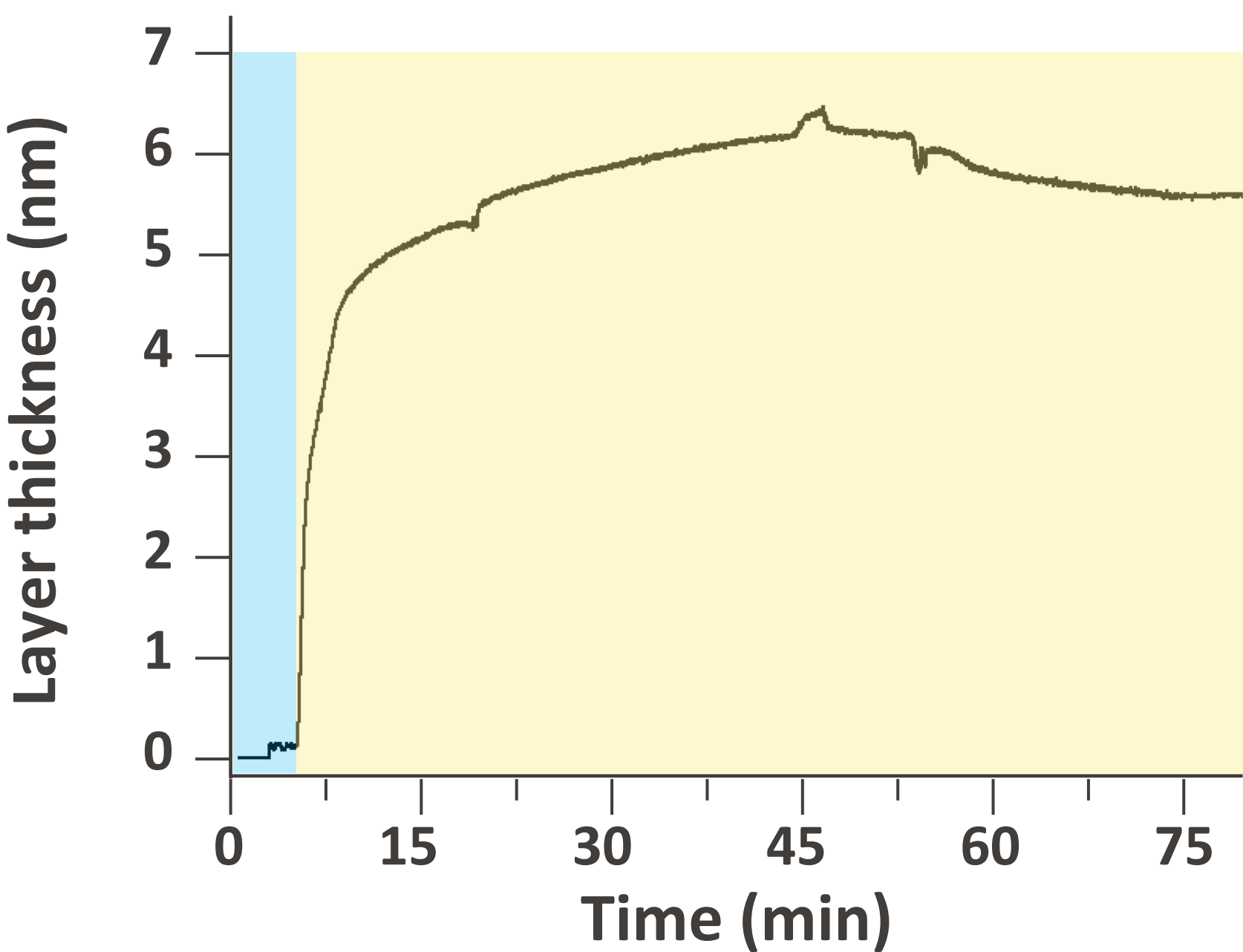


Monitoring the Assembly of Aptamers on Surfaces



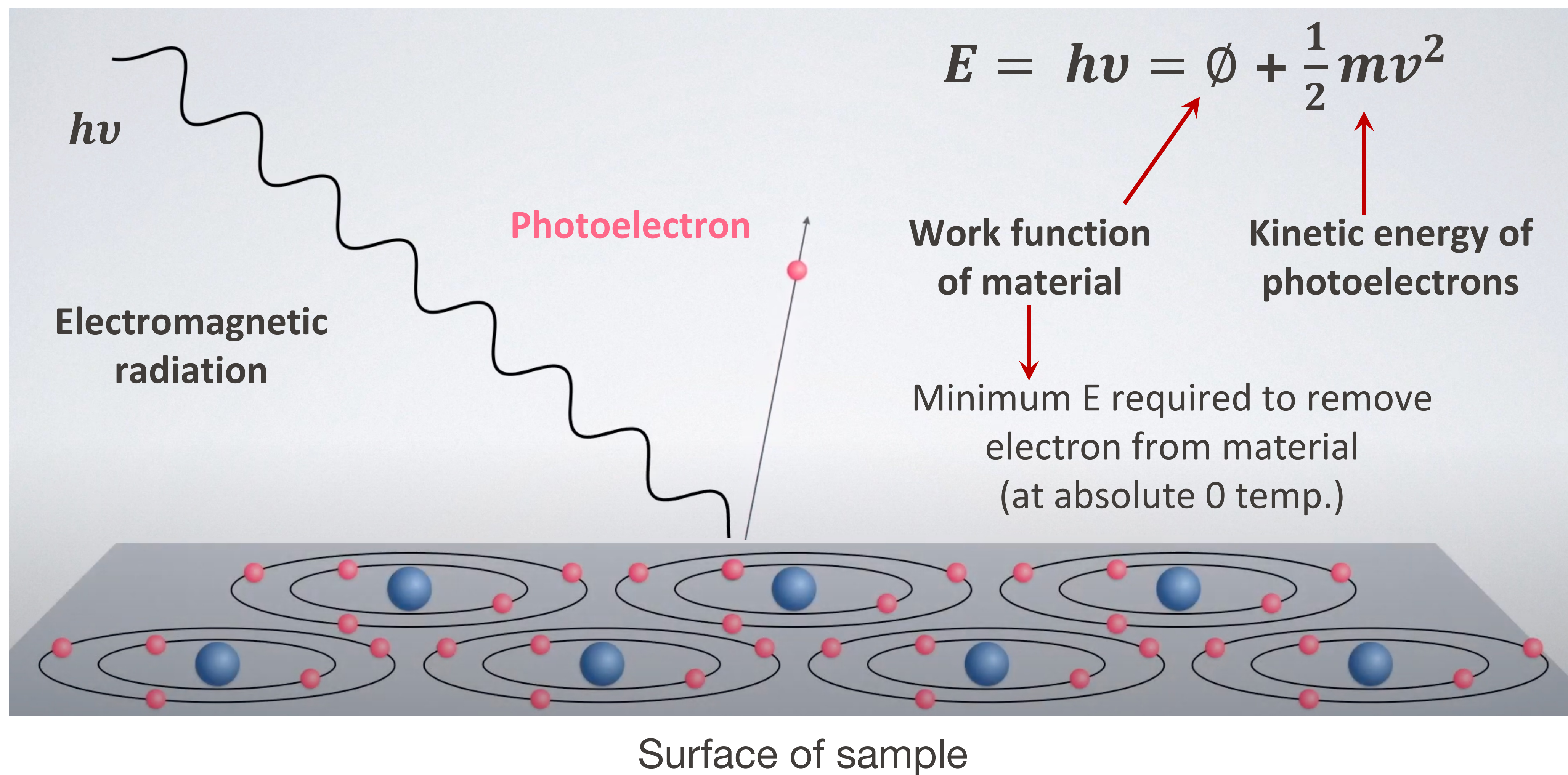
$$\Delta m = -C \cdot \frac{\Delta f}{n}$$

Mass sensitivity constant

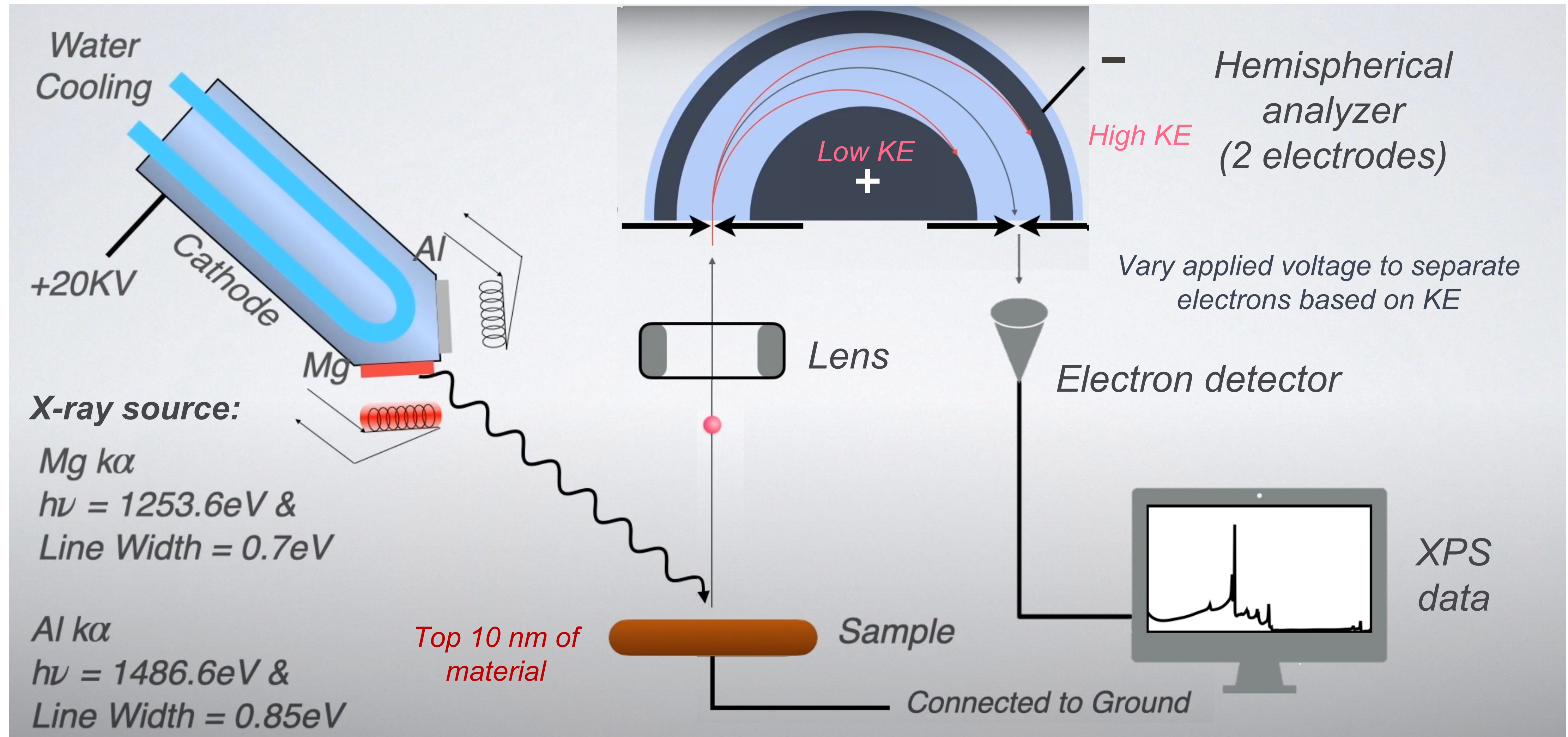


Electron Spectroscopy to Monitor Surface Species

X-ray Photoelectron Spectroscopy (XPS)



How Does XPS Analyze Surface Elements?



**Counteract sample charging*

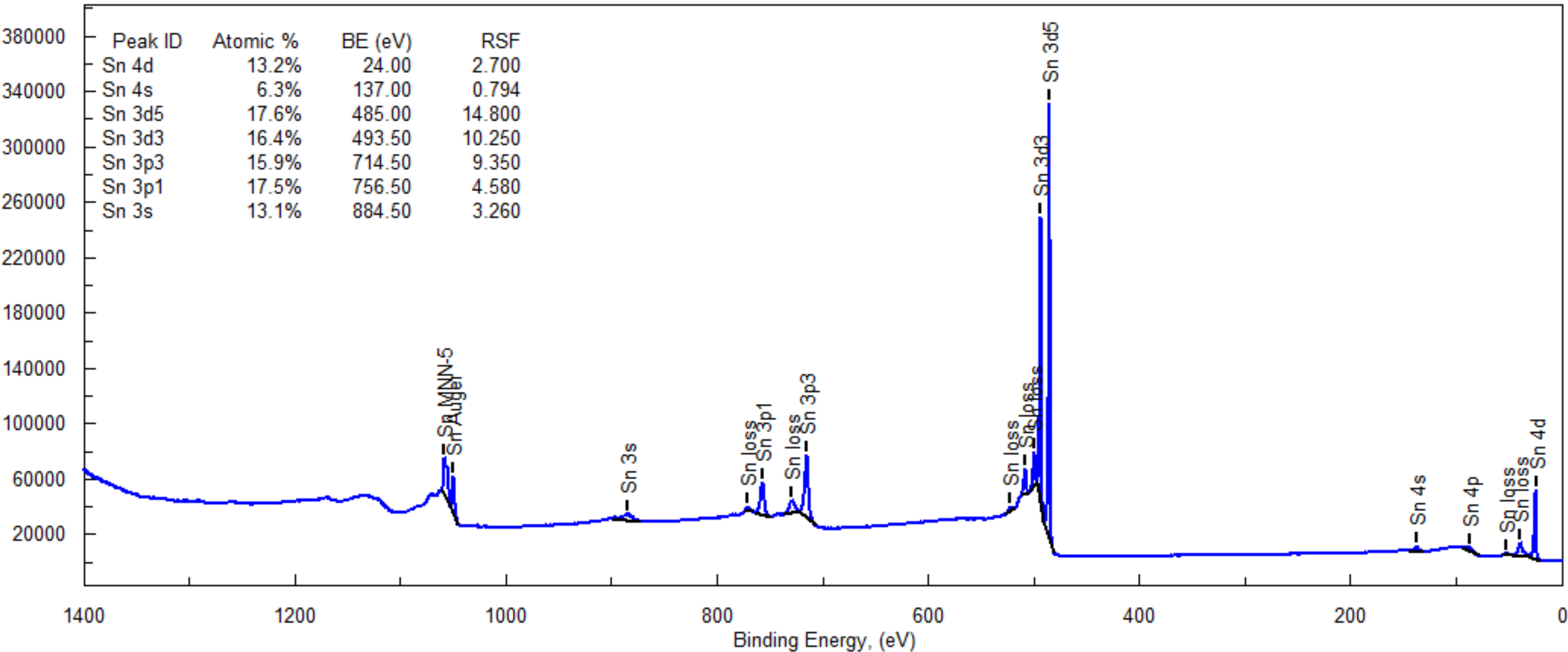
Binding Energy (eV) vs. Number of Electrons Counted

Kinetic energy of ejected electrons is related to the binding energy of the electron in the atom, which is unique to each element

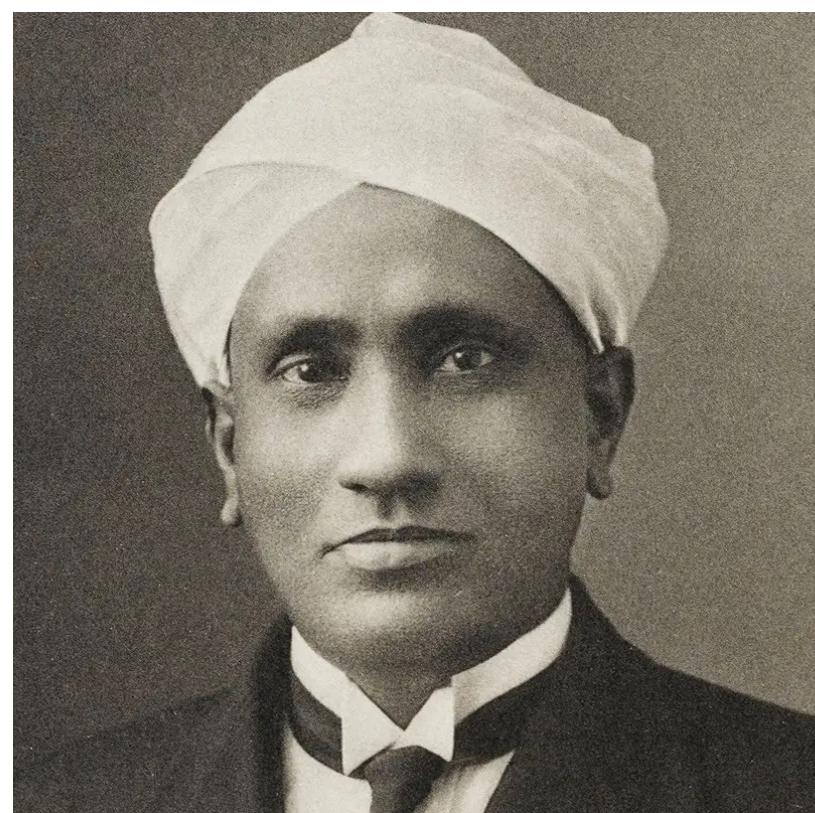
$$E = h\nu = \phi + \frac{1}{2}mv^2$$

Sample Description: Sn, tin

Counts



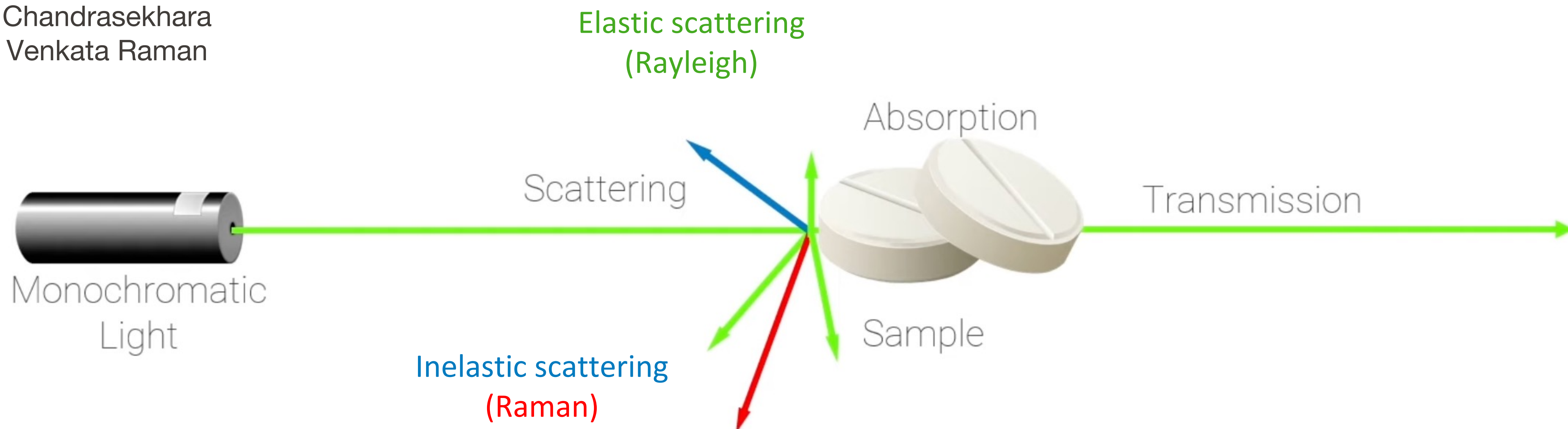
Raman Spectroscopy for Identifying Molecules



Chandrasekhara
Venkata Raman



Nobel Prize in
Physics (1930)



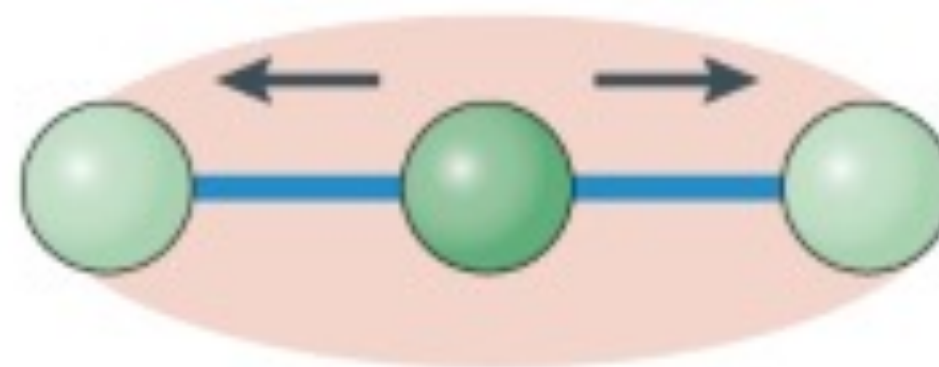
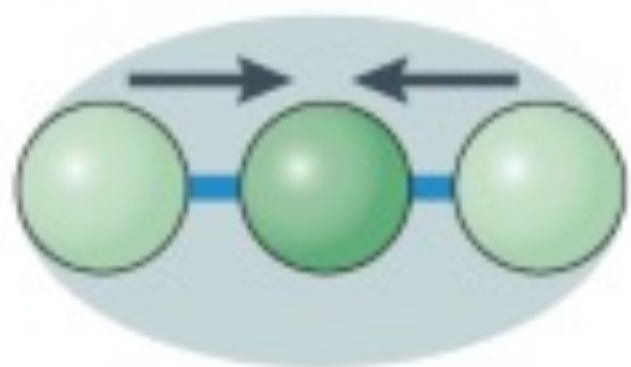
Todaro *et al.*, *Front. Chem.*, 10, 2022



What Exactly is the Raman Shift? Frequency of Vibrations

Polarizability changes as the volume occupied by electrons changes

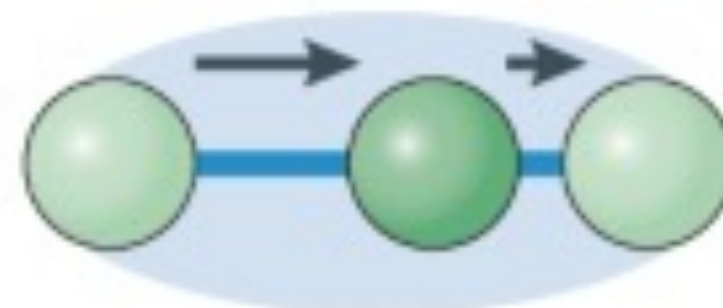
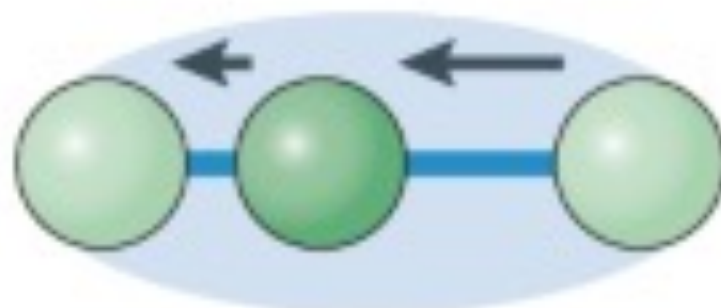
Symmetrical stretch



- Polarizability change during vibration
- **Raman active**
- Infrared inactive

Raman active:
change in
polarizability

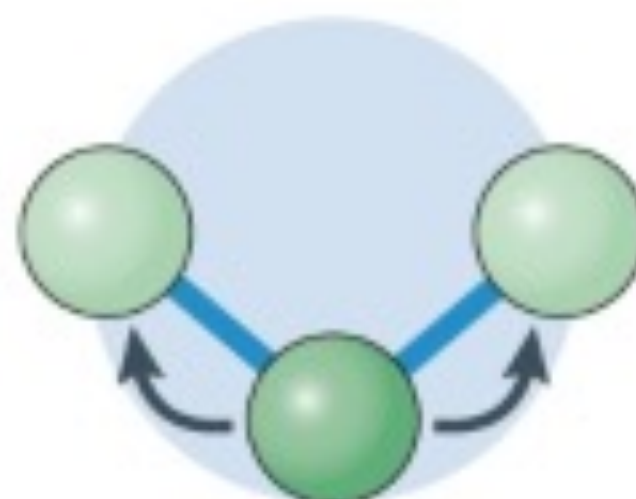
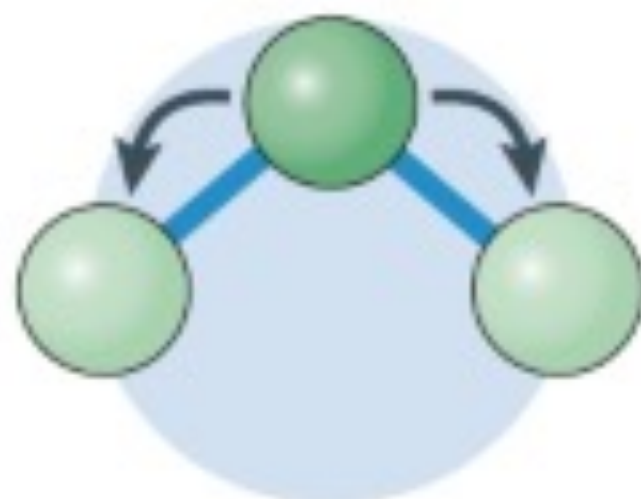
Asymmetrical stretch



- Polarizability unchanged during vibration
- **Raman inactive**
- Infrared inactive

Infrared (IR) active:
change in dipole
moment

Bending



- Polarizability unchanged during vibration
- **Raman inactive**
- Infrared active

What Exactly is the Raman Shift? Frequency of Vibrations

Raman shift depends on the vibrational frequencies of the molecule, which are determined by the mass of the atoms and the stiffness of the chemical bonds.

Vibrational frequency of molecule

$$\nu = \frac{1}{2\pi} \sqrt{\frac{k}{\mu}}$$

Bond force constant (stiffness)

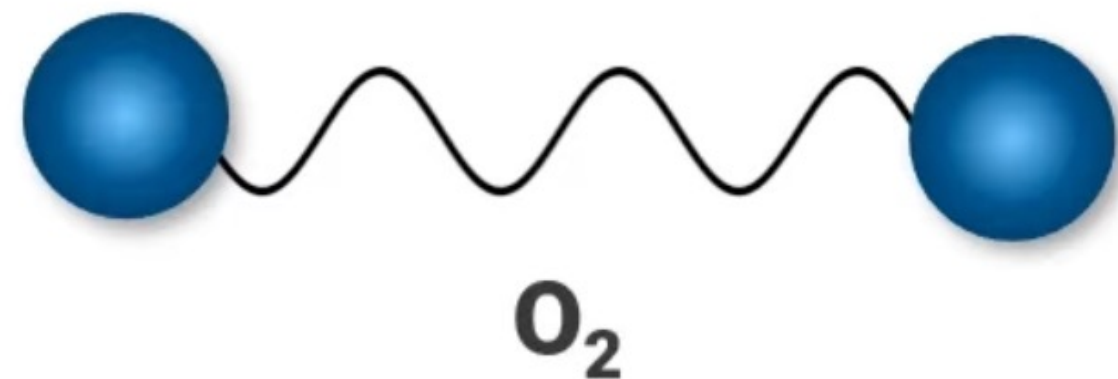
Reduced mass ($m_1 m_2 / (m_1 + m_2)$)

Raman shift

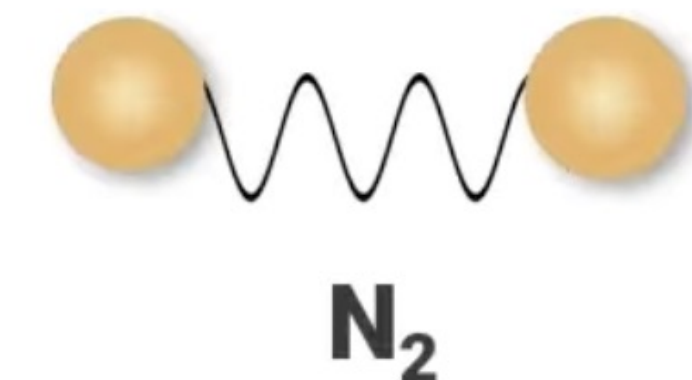
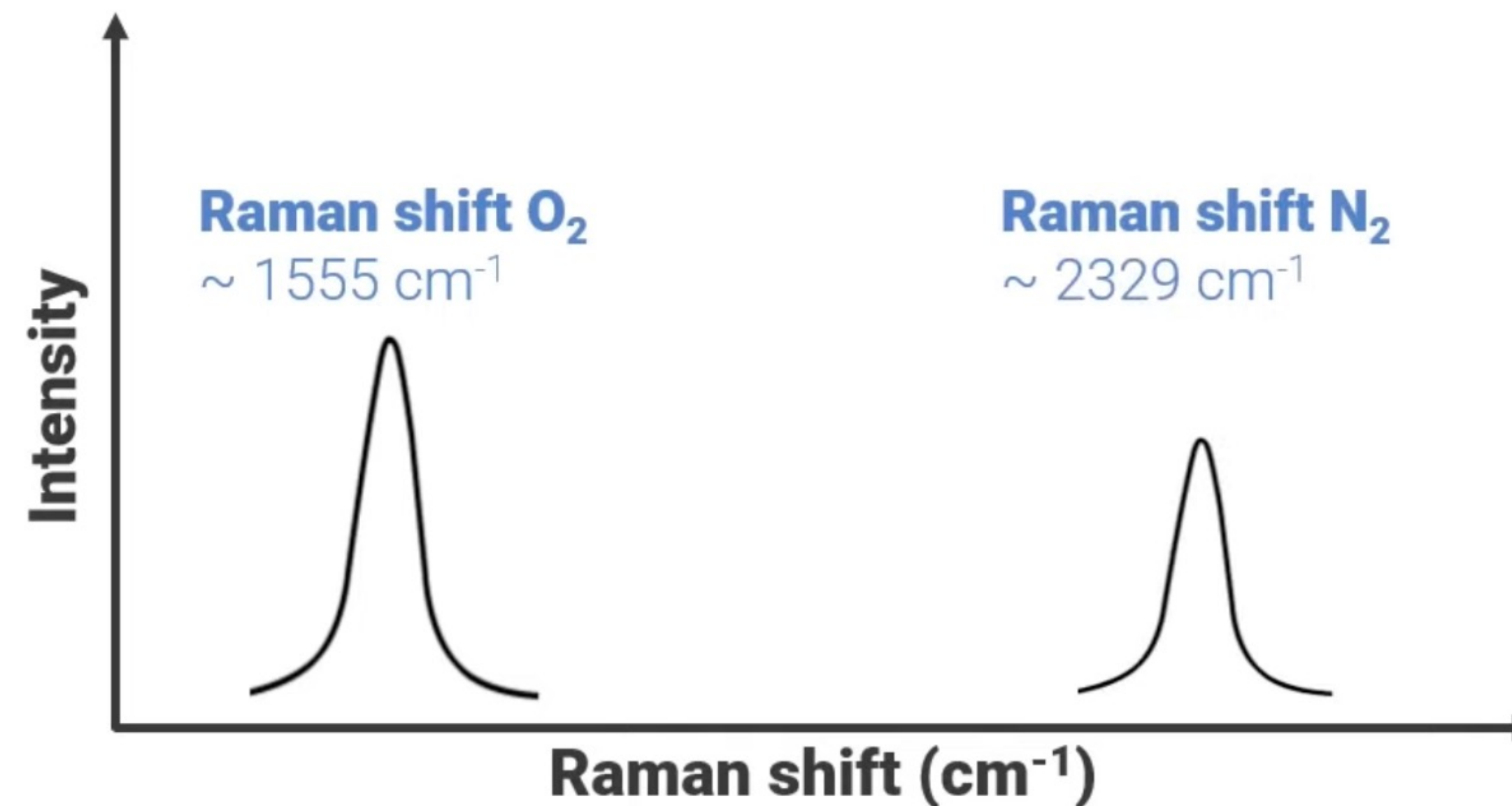
$$\Delta\nu = \nu_0 - \nu_v$$

Vibrational frequency of molecule

Frequency of incident light



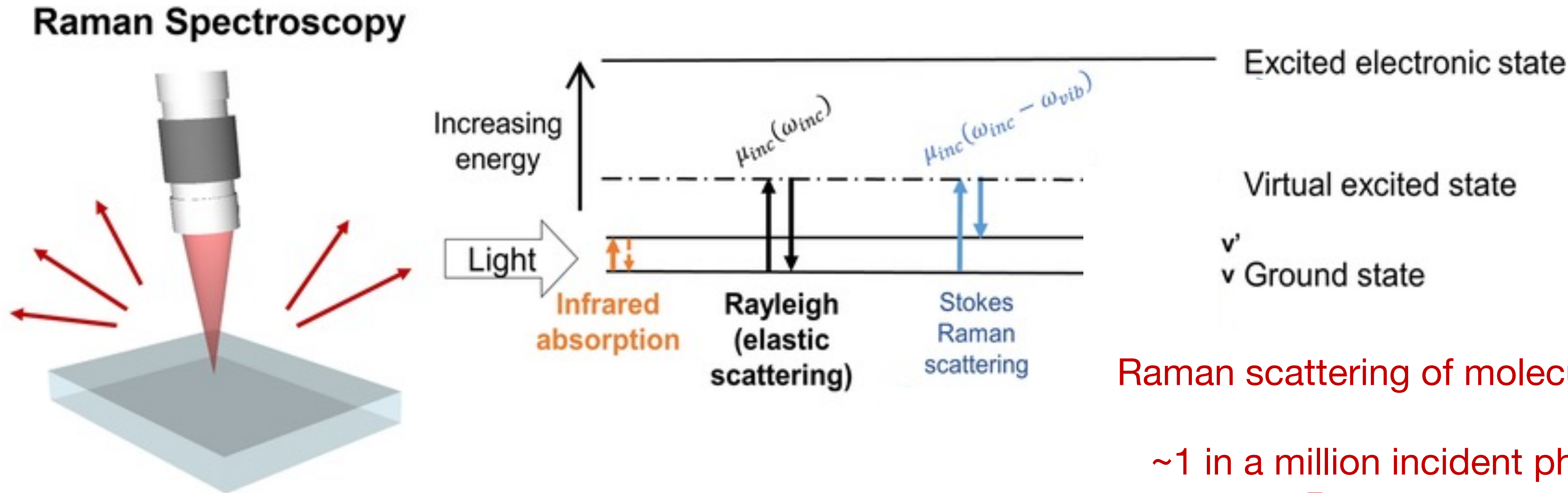
Heavier molecules have long wavelengths and low frequency



Lighter molecules have short wavelengths and high frequency



Raman Spectroscopy for Surface Analysis

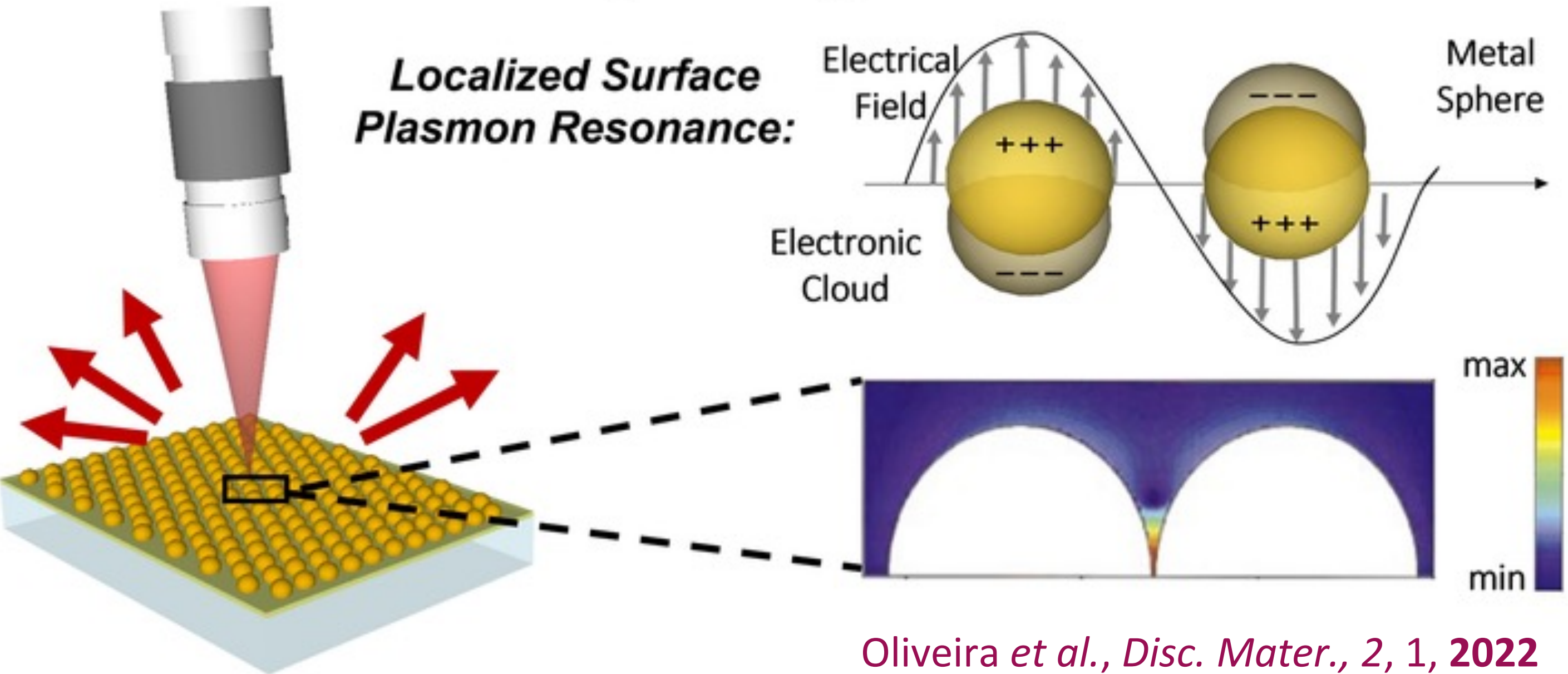


Raman scattering of molecules is weak!

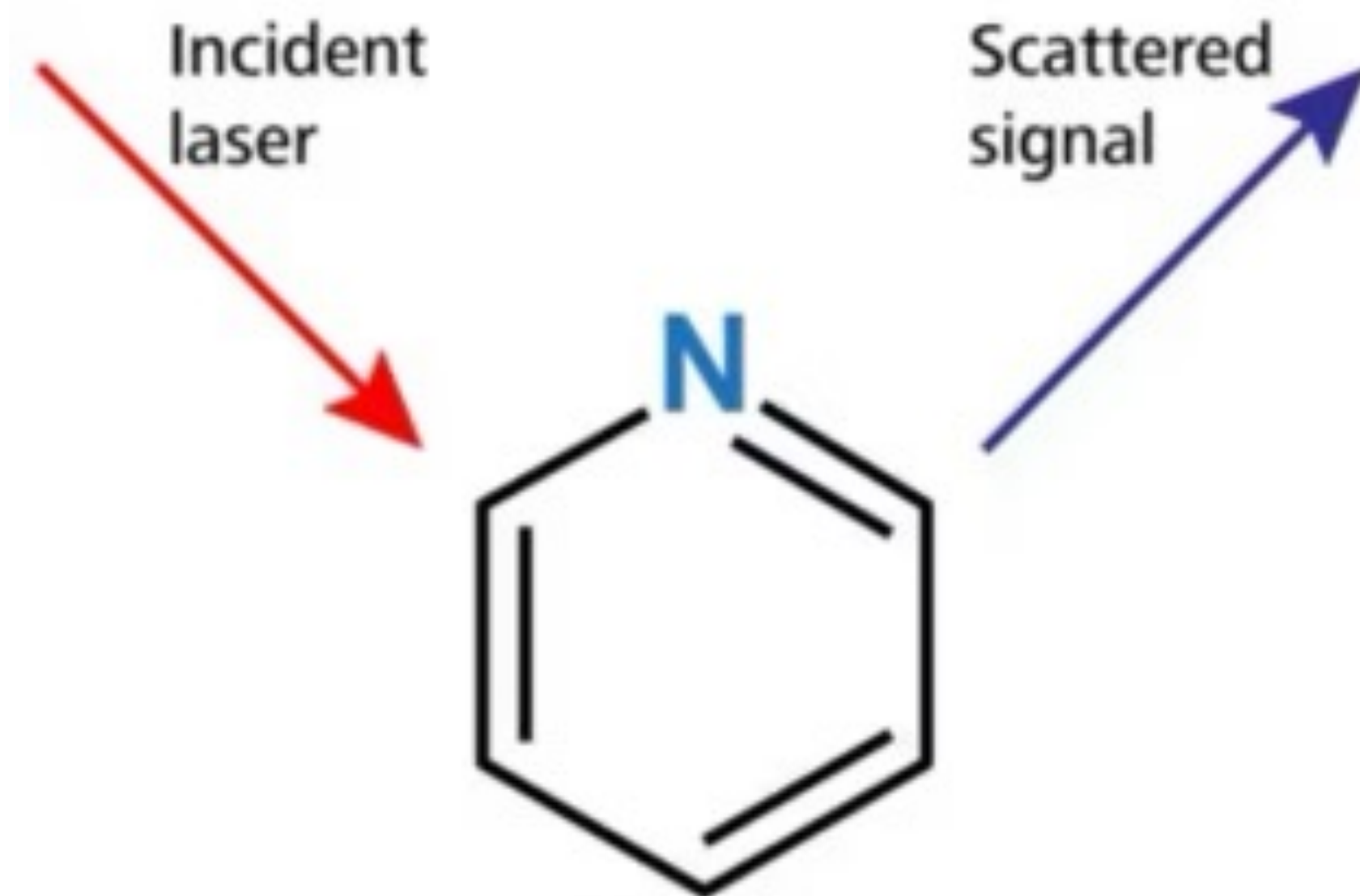
~1 in a million incident photons are Raman scattered

Surface enhanced Raman Spectroscopy

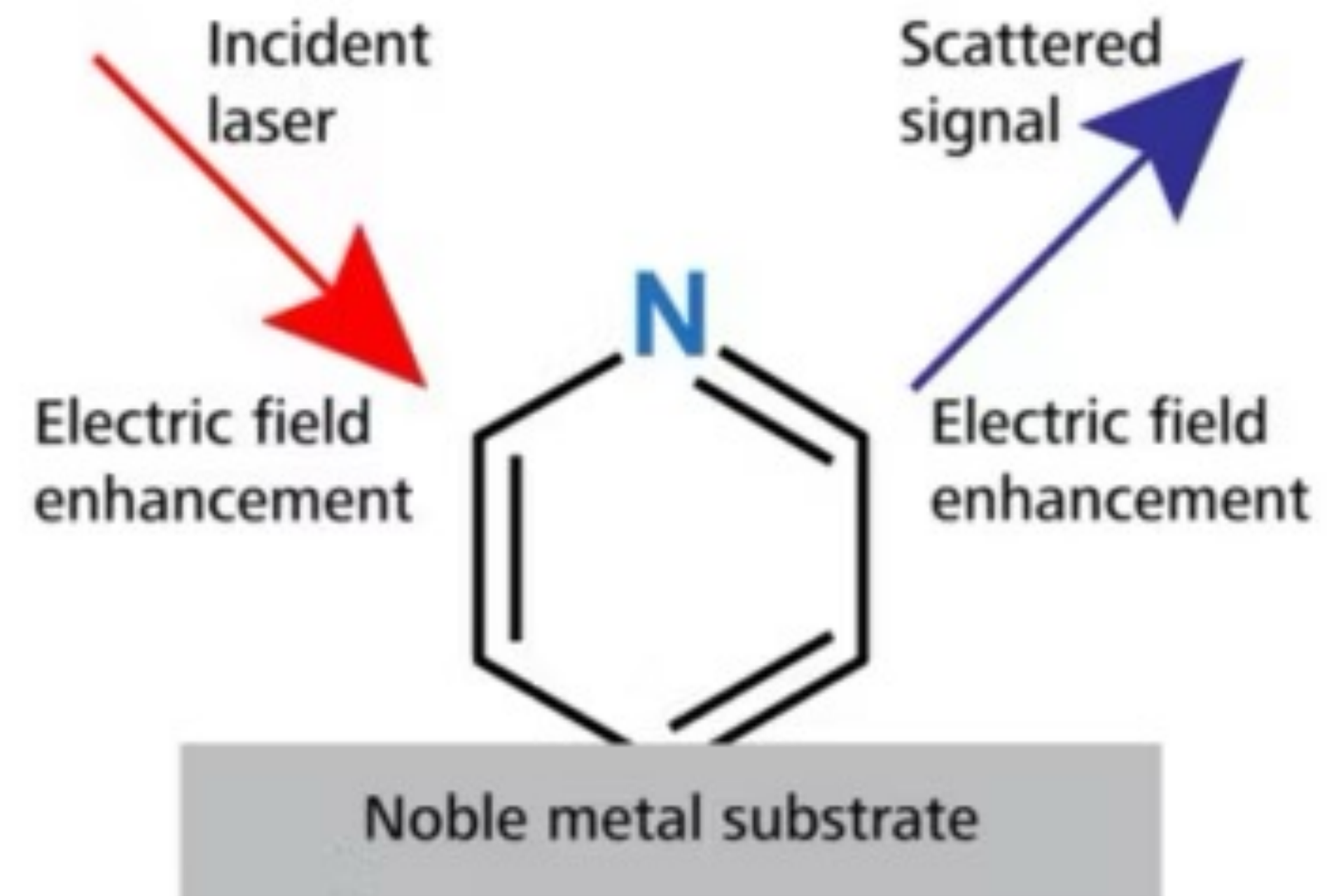
Laser excites sample and drives localized surface plasmons into resonance



Raman vs. Surface-Enhanced Raman Scattering



Normal Raman scattering



Surface-enhanced Raman scattering

Signal enhancement by factors as large as 10^{11}

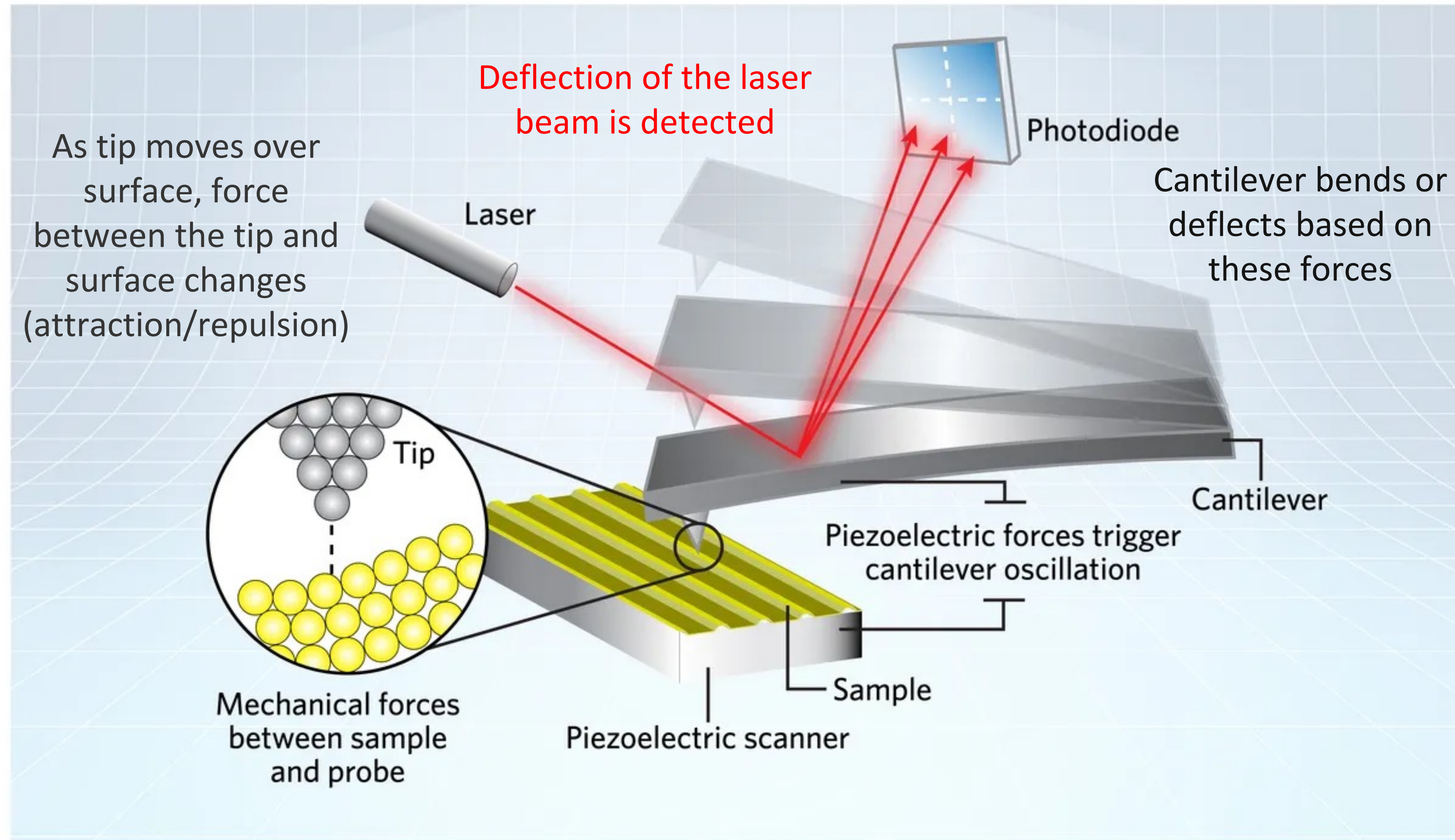
How Can We Characterize Surfaces?

What are some techniques that we covered for visualizing surfaces?

- Atomic force microscopy
- Scanning tunneling microscopy

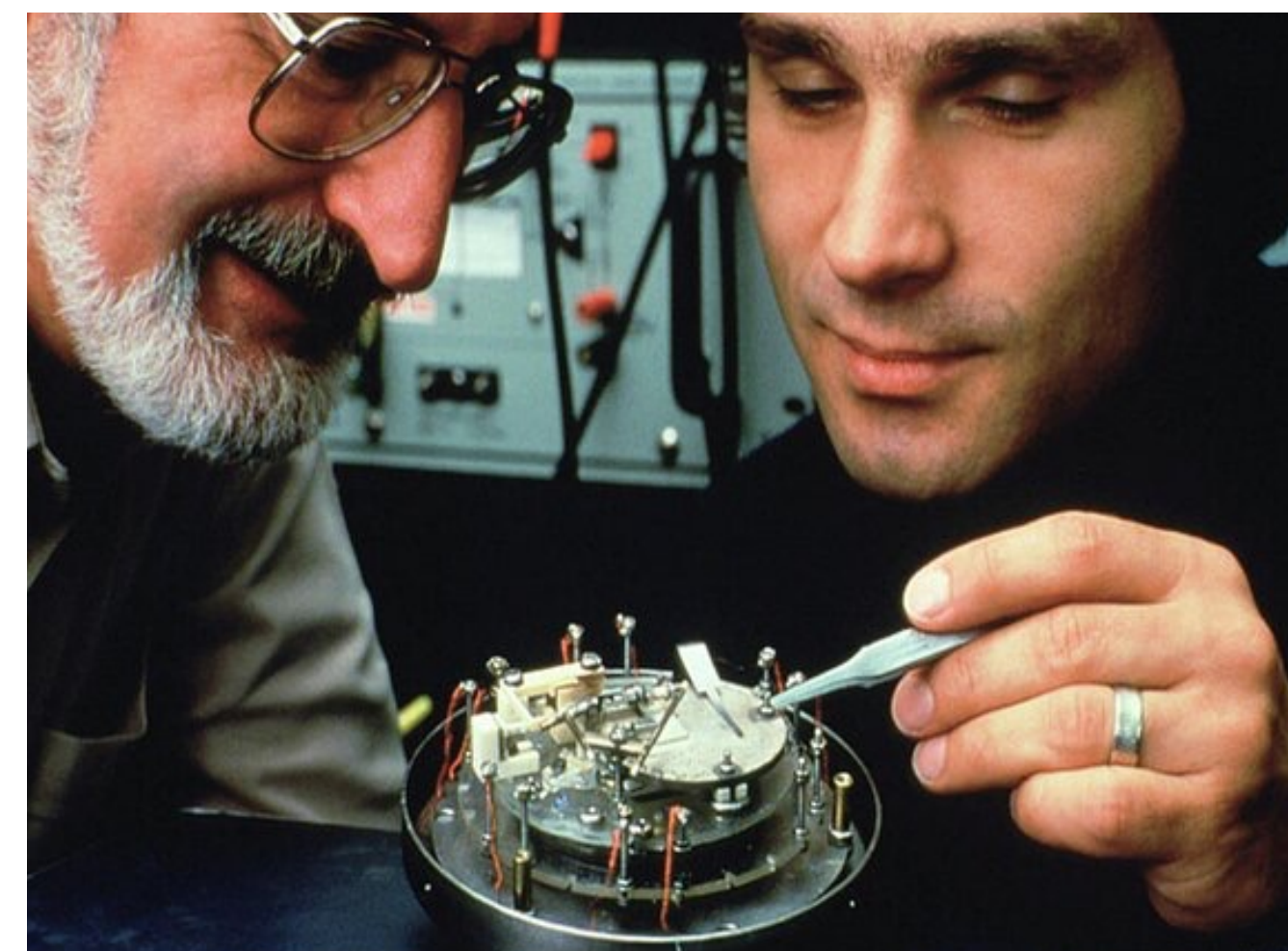
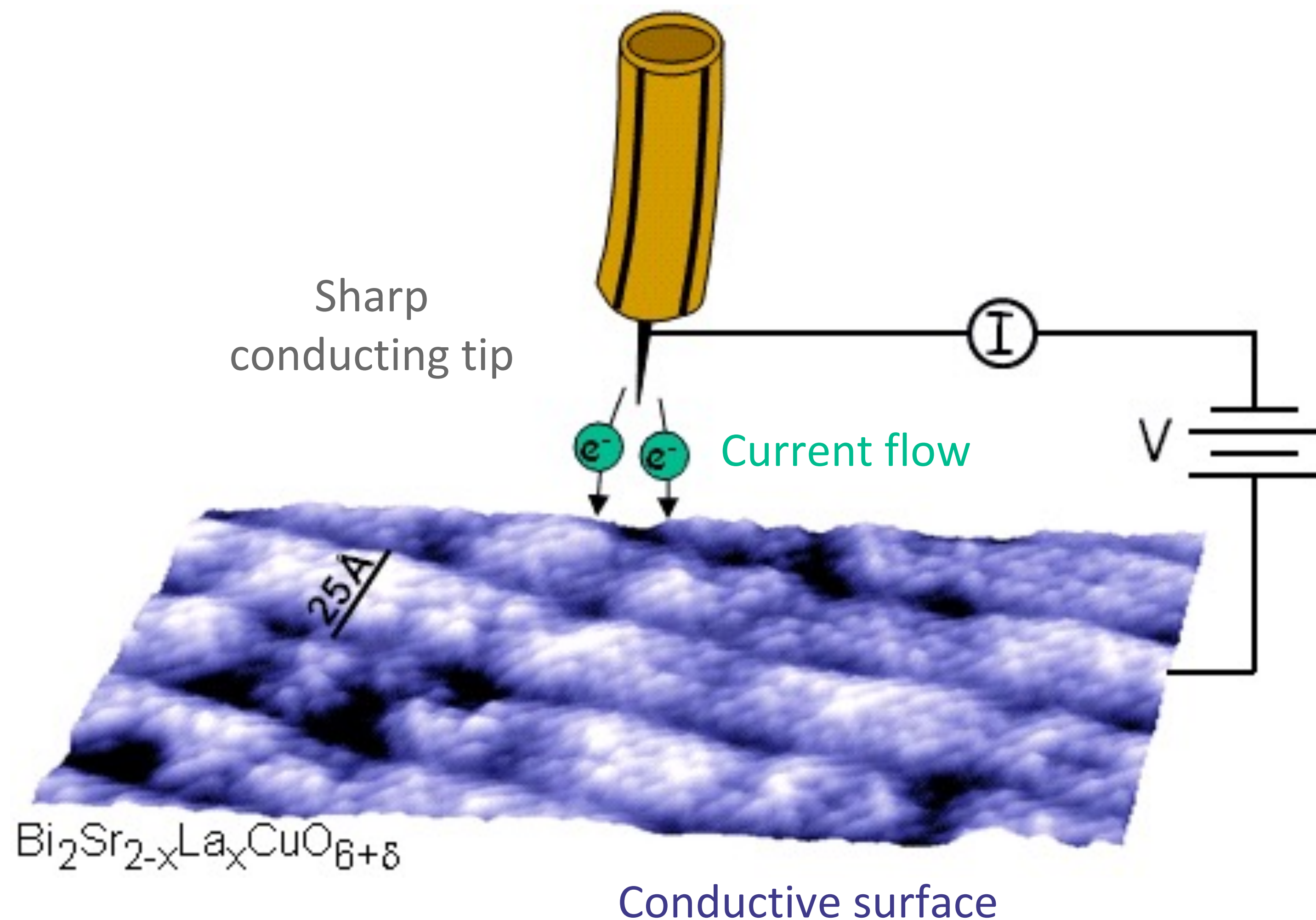
How Can We “See” What is Happening on Surfaces?

Atomic Force Microscopy (AFM)



How Can We “See” Surfaces with Higher Resolution?

Scanning Tunneling Microscopy (STM)

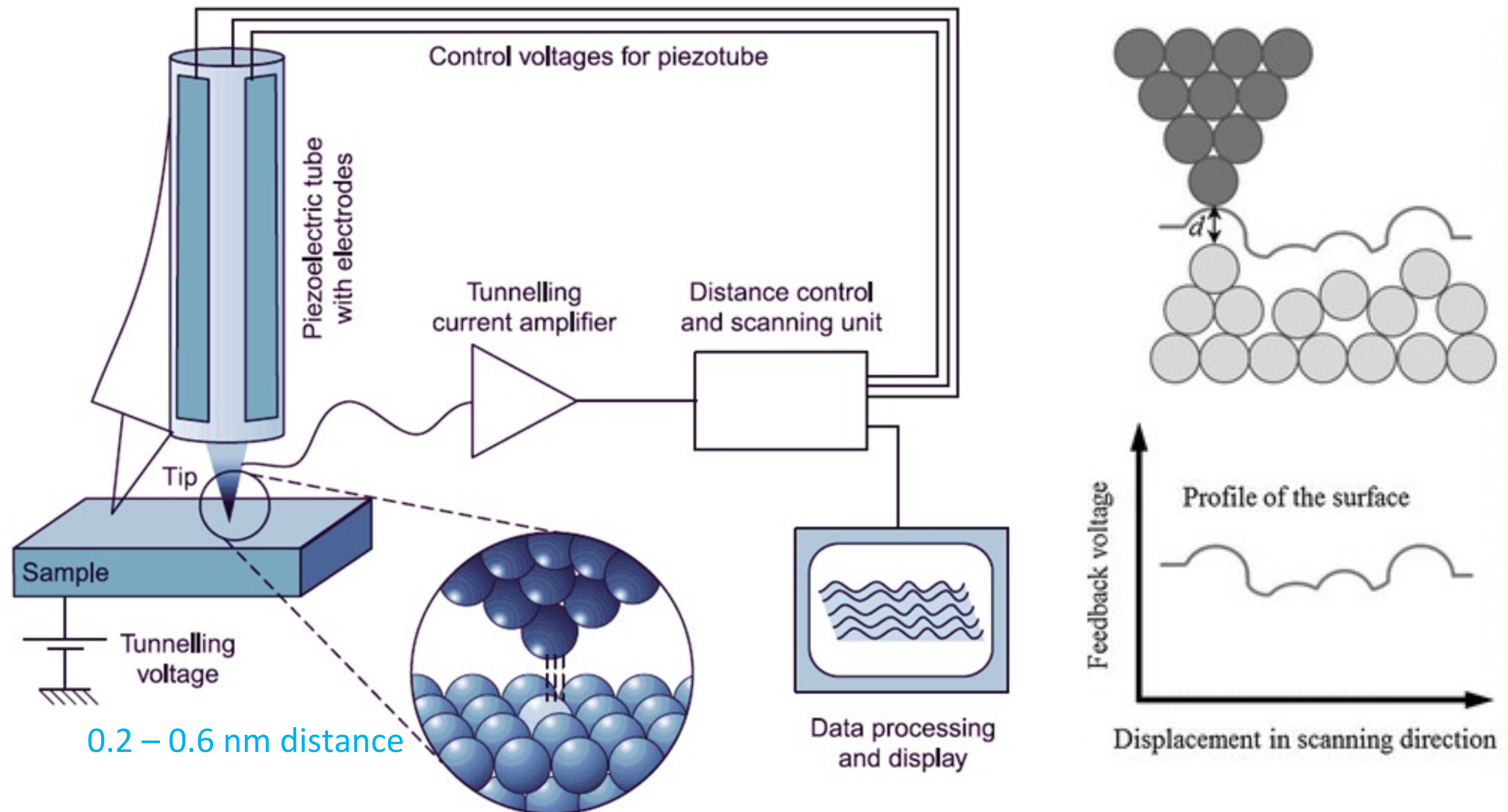


Heinrich Rohrer & Gerd Binnig

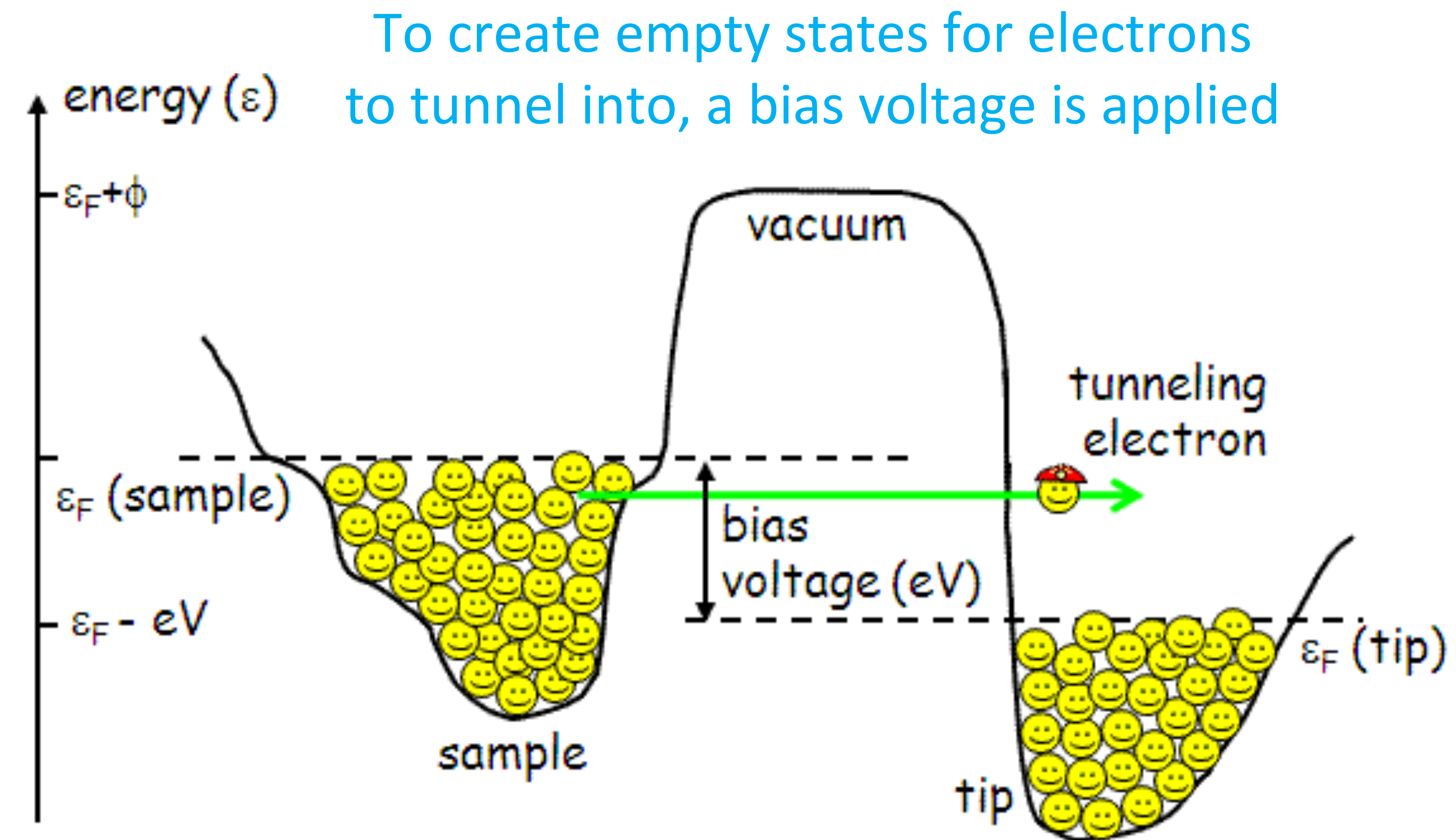
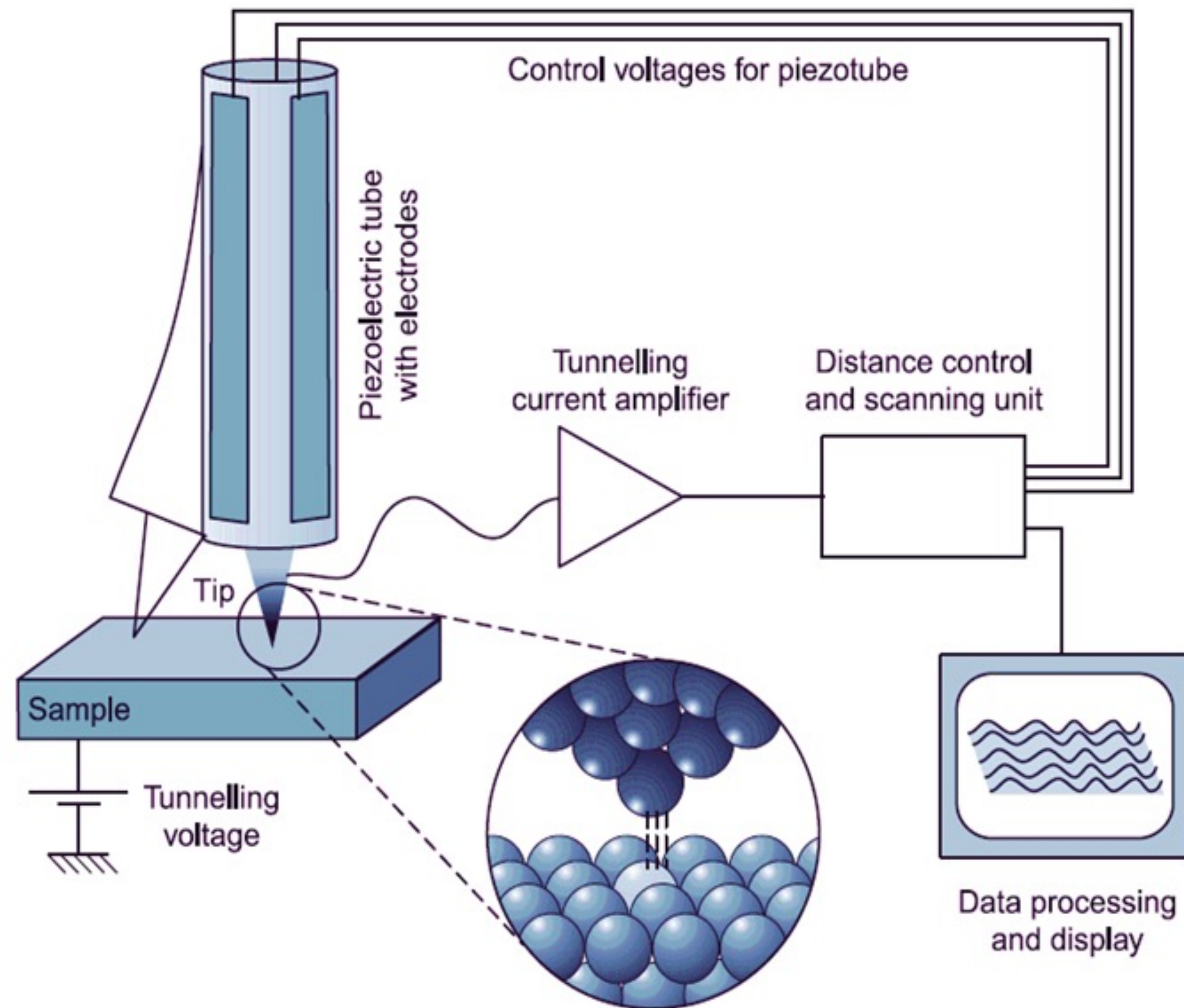


Nobel Prize in Physics for invention of STM (1986)

STM Uses a Tunneling Current to Map Atoms on Surfaces

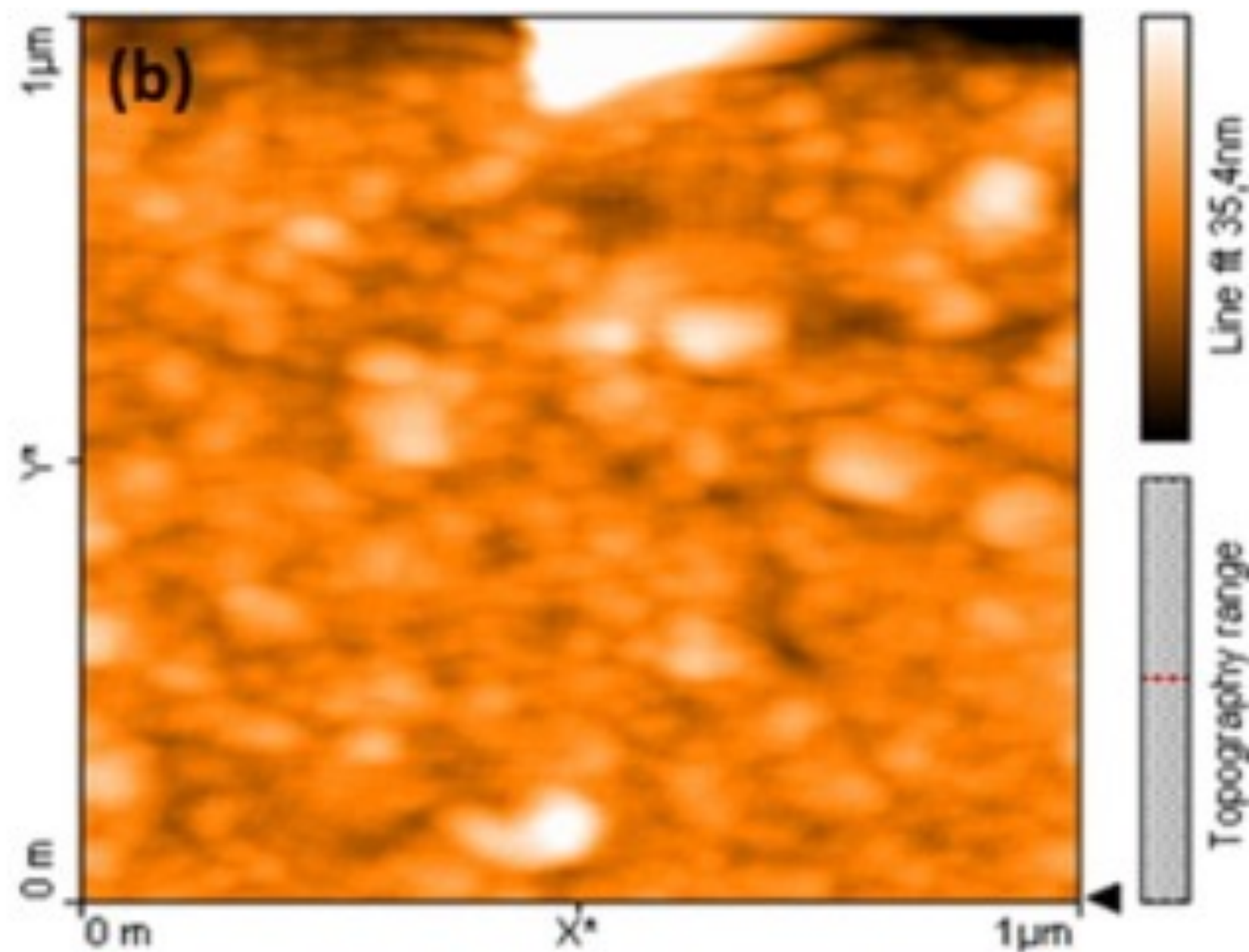


What is a Tunneling Current that Enables STM?



Tunneling current proportional to density of states in sample

Resolution of AFM vs. STM – Hexadecanethiol (C16) on Au (111)



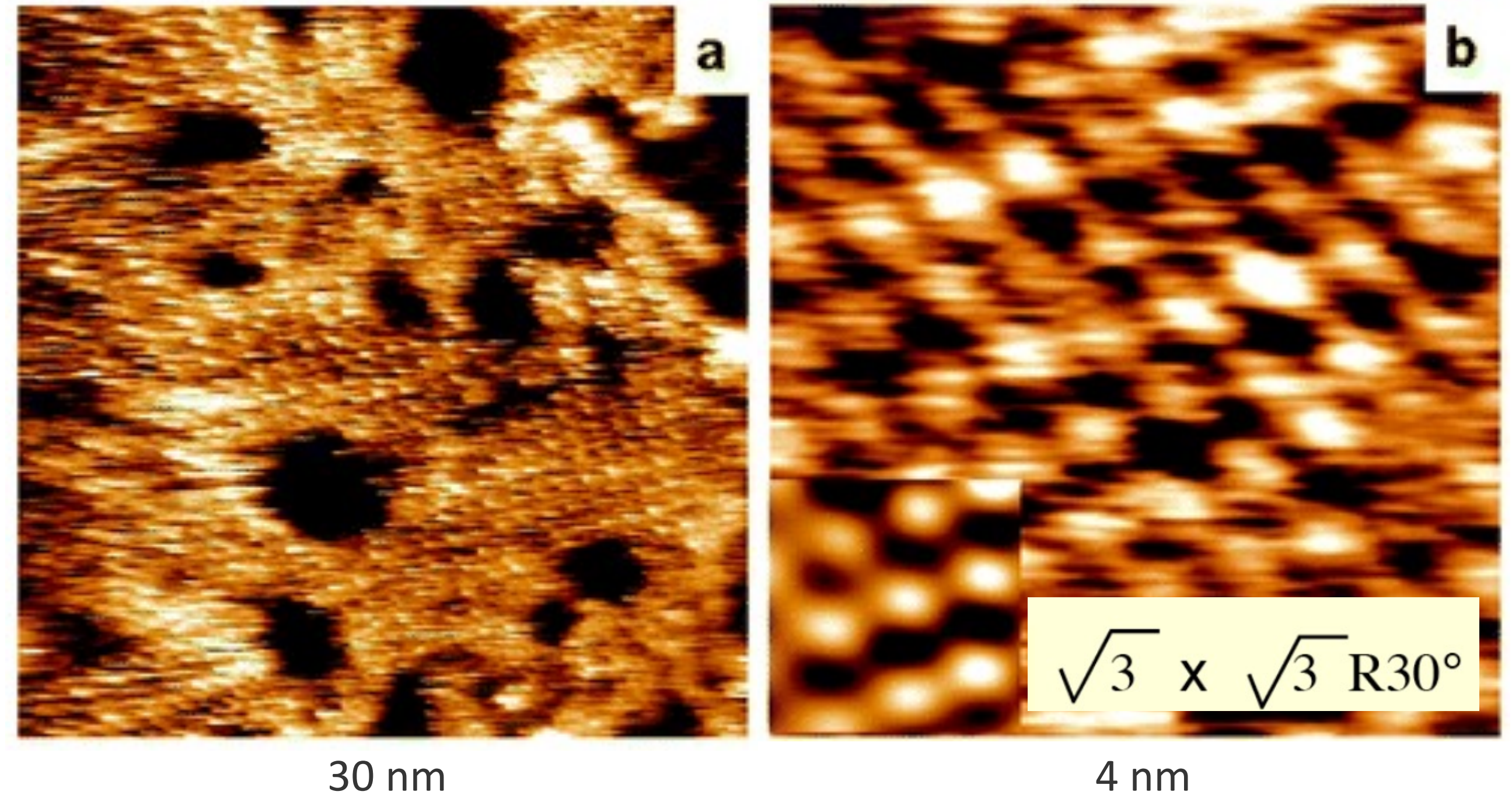
Simpler – works in air and liquids

Conducting/non-conducting surfaces

0.1-10 nm (0.1 is ultimate best equipment)

Topography, force measurements, mechanical properties

Uddin, *Res. Phys.*, 7, 2289, 2017



More complex (requires UHV and low temp.)

Only conducting surfaces

0.1 nm (0.01 nm in vertical direction)

Topography, electronic properties

Mendoza, *Langmuir*, 23, 2, 2006

Happy Holidays and See You in The New Year!



I hope you can apply what you learned about surfaces and interfaces while you are skiing over the holidays!